

**Preparation and Characterization of Spherical  
Agglomerates of diabetic drug by direct compression  
method and a comparative evaluation with innovative  
tablets**

**A Consultancy Project**

**Inception Source Pvt Ltd – Hyderabad**

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# St. Pauls College of Pharmacy

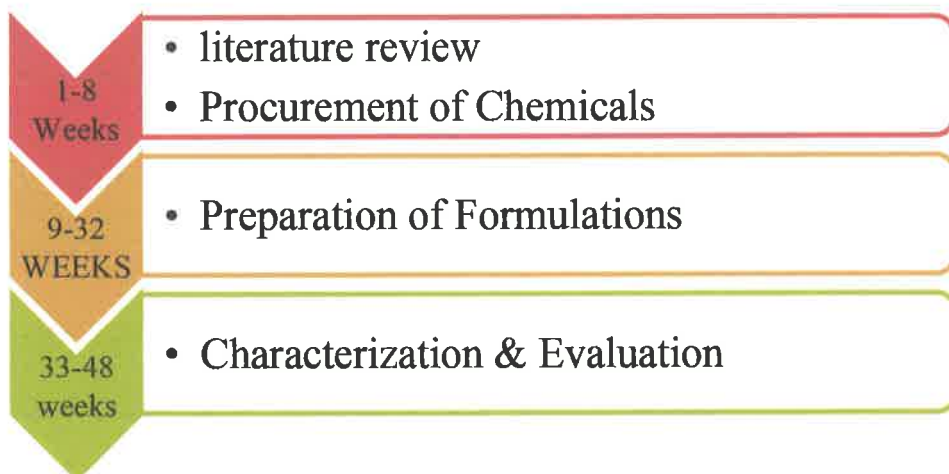
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2018-2019

**Title: Preparation and Characterization of Spherical Agglomerates of diabetic drug by direct compression method and a comparative evaluation with innovative tablets**

**Principal Investigator:** Dr. K. Venu Madhav M. Pharm PhD

**CO-Investigator** : Mr. S. Kiran Kumar M. Pharm



## Cost Analysis

S.No	Parameter	Amount in Rupees
1	Man power	79,450
2	Consumables	95,550
3	Contingencies	25,000
4	Overhead Charges	15,000
<b>Total</b>		<b>215000</b>

  
**Principal**

  
**Principal Investigator**

  
**Co-Investigator**





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## Chapter I

### Introduction-Need for the Study

**1.1 Direct tableting:** API are used in Direct tableting techniques by tablet manufacturers over the past few decades that provides compressed form of tablets which are cost reliable.

The sustainability of APIs in the current market along with the addition of excipients and tableting equipment have made solid dosage forms especially tablet manufacturers to increase their demand and supply as it is the convenient dosage form used by maximum no. of patients. Many advantages are offered by these dosage forms like ease of manufacturing, accurate dosing, convenience in administration and stability criteria when compared to oral liquid formulations, tamperproofness to that of capsules, patient acceptability towards tablets than parenteral etc. that had an impact on making it more popular than others.

“Direct Compression” is the term that is referred as the process through which tablets are directly compressed from the powder blends of active ingredients and excipients that are suitable for its preparation. Pre-treatment is not required for powder blends when wet or dry granulation method is carried out. Out of 100 per cent of APIs less than 20% of drugs can be directly compressed into tablets while the rest of the ingredients lack flow or lubricating properties or cohesion which is required for production of tablets by DC. By using directly compressible adjuvants satisfactory results can be obtained by tablet processing.

Direct tableting of active pharmaceutical ingredients (APIs) is applicable when powders have a better flow property and compression criteria, which is a problem for major of the active ingredients that have poor compressibility and flow characteristics. On addition of excess amount of diluents or by performing wet or dry granulation satisfactory results can be obtained.

### 1.2 DIABETES MELLITUS

Diabetes mellitus is a Greek word which is commonly called as diabetes. It is a chronic metabolic disorder where in sugar levels in blood are high (i.e. above from the normal range) because enough insulin is not produced in the body. Diabetes occurs in pancreas as it produces the insulin hormone (it is the organ that speeds up the transfer of sugar from blood and deliveries into muscle, liver and fat tissues).<sup>3</sup>If body doesn't have enough insulin then sugar accumulates in blood stream which results in diabetes mellitus. Diabetes is the most hazardous disease.

There are 3 major types of DM

- Type 1 diabetes –ID- DM/ juvenile onset DM (5-100%)

- Type 2 diabetes - NID DM/ adult onset DM (90-95%)
- Pre-diabetic stage (IGT)
- Gestational diabetes
- Other types (1-2%):
  - ✓ Genetic syndromes (affecting insulin secretion or action)
  - ✓ Endocrinopathies (Cushing's syndrome, pheochromocytoma, Acromegaly, glaucoma, thyrotoxicosis)
  - ✓ Disease of pancreas -cancer, chronic pancreatitis
  - ✓ Drug or chemical induced - beta blockers, corticosteroids, thiazide diuretics)
  - ✓ Viral Infections

### **DIABETES- TYPE 1:**

Diabetes type 1 also called as IDD. It usually occurs in childhood and hence called juvenile onset diabetes. This Type 1 diabetes occurs due to absolute deficiency of insulin. Other reasons include genetic predisposition. It is the condition of auto immunity where the body attacks own pancreas with antibodies. Ketoacidosis is the first manifestation of the disease which is observed in some children and adolescents. Some of the patients have insulinopenia permanently and are also exposed to ketoacidosis but do not have evidence of auto immunity. Number of medical risks are associated with type 1 diabetes mellitus many of them includes diabetic retinopathy (damage to the tiny blood vessels in eyes), diabetic neuropathy (nerves) and nephropathy (kidney damage) and also includes heart risks.

### **TYPE 2 DIABETES:**

This occurs due to inadequate compensatory of insulin secretory response. It is the most prevalent type of diabetes mellitus. It is called maturity onset of diabetes mellitus because it starts with adulthood. It is also known non-insulin dependent diabetes. It causes major health disturbances especially in blood vessels that are smaller in size in the body, kidney, nerves and eyes and also heart diseases. In this type the pancreas generally produces some insulin, but it is not sufficient for the body needs or body cells and are resistant to body, so it is called as insulin resistance (lack of sensitivity to insulin). Obesity is the main risk factor for cause of diabetes mellitus.

### **GESTATIONAL DIABETES (GD):**

DM that occurs during pregnancy is called as GD. GD is usually diagnosed in second or third trimesters of pregnancy, since high blood sugar levels in mother are being circulated through placenta to foetus. GD is necessary to be regulated in order to save the foetal development and growth. During

method of adding bridging liquid, agitation speed, temperature, to obtain highest yield of spherical particles/crystals.

### **1.3.1. Advantages of Spherical Agglomeration**

1. Flow properties are improved and compression characteristics of the drug which can be directly compressed into tablet and micromeritic property of the drug crystals can be drastically improved which include changes in the size, shape, crystal habit and porosity of drug.
2. The bioavailability of hydrophobic drugs is enhanced with the help of SA, crystalline form is converted into other polymorphic forms that have higher solubility and hence resulted in better bioavailability and this technique can be employed for masking the taste of bitter drugs (ATH & Enoxacin)

### **1.3.2. Applications**

1. By this technique dissolution, solubility, bioavailability of poorly soluble drugs can be enhanced, Flowability and compressibility of drug can be improved by this technique and taste masking of bitter drugs.
2. Used in preparation of other novel drug delivery system like micro particle, micro sponges, micro balloons, micro pellets and also in controlled drug delivery systems.

pregnancy the action of insulin is influenced by hormonal changes, which simultaneously increases glucose levels in the body

**DIAGNOSIS:**

➤ Fasting plasma glucose test:

Normal fasting blood sugar level 70 – 100 mg per dl

FPGL is 126 mg/dl or high is known as diabetes

➤ Oral glucose tolerance test:

A liquid which is containing 75 grams of glucose is taken by a person and the blood sugar level is above 200 mg/dl is diagnosed as diabetes.

➤ Hemoglobin A1C (glycohaemoglobin) test:

It is used to measure the average glucose levels in blood for a duration of 60-90 days. The Hemoglobin A1C level is above 6.5% then the person is diagnosed as diabetes.

**INSULIN:**

Insulin is a hormone that is secreted from pancreas situated behind and below the stomach and helps in body metabolism and controlling the blood sugar levels, pancreas secreted insulin into the blood stream. Insulin circulates allowing sugar enter into the blood stream. Insulin decreases the amount of sugar in blood circulation. Insulin regulates fat and glucose use and storage in body.

It controls blood glucose level by taking glucose which is stored in liver muscle and fat cells as glycogen, liver can store up to 5% of its mass as glycogen.

**1.3 SPHERICAL AGGLOMERATION**

Spherical agglomeration is a unique procedure that increases particle size in which fine crystal formed by different crystallization method are aggregated with the help of bridging liquid to form the spherical crystals and they possess improved the micromeritic properties of powder. The spherical agglomerates can be then directly compressed into tablets. This method was first prepared by Kawashima et al. in 1986. SC is the more effective method as it involves less labour cost, processing time is less, low energy consumption is required, less equipment are required and also less space is required and it saves time and reduces economic risk. The particle design technology is used in industrial sectors to improve the 1<sup>o</sup> and 2<sup>o</sup> characteristics of particles. (1<sup>o</sup> properties like crystal form, crystal habit, particle shape, size, crystal density and porosity. 2<sup>o</sup> properties like flow ability, compressibility, packability, compatibility reduction in air entrapment, wettability and flow). Spherical agglomeration technique is also preferred to improve the solubility, bioavailability and dissolution of poorly soluble and poorly compressible drugs. This process is simple and requires consideration of drug solubility and agglomerating conditions. Parameters which are to be optimized for SA method are amount and

## Chapter II

### 2.1 Review of literature

**JyothiThati *et al.***, has performed Spherical agglomerates of benzoic acid have now been successfully prepared by semi-batch, agitated vessel, drowning-out crystallization in water–ethanol–toluene mixtures. With increasing feeding rate the particle size decreases and the fracture force increases the morphology remains unchanged. Using toluene since the bridging liquid contributes to improved product properties rather than chloroform. To reveal the mechanisms of the synthesis of the agglomerates, experiments have been already performed. The outcomes show that the properties of the particles change, gradually but substantially over the length of the process. Particle size and number increases alongside increasing feed. The spherical shape develops gradually but does not appear immediately, and is shown to be quite definitely the consequence of the agitation of the slurry.

**Mudit Dixit *et al.***, conducted their study on Ketoprofen, which is an anti-inflammatory drug that exhibits poor water solubility and flow properties. By the neutralization method Spherical agglomerates were prepared. Crystallization medium useful for spherical agglomerates of ketoprofen contained 1 M Sodium hydroxide; 0.25 M hydrochloric acid; chloroform (bridging liquid) in the ratio of 20:55:25, respectively. By differential scanning calorimetry, Infrared spectroscopy, X-ray diffractometry and scanning electron microscopy the spherical agglomerates were characterized. Micromeritic and dissolution behaviour studies were carried out. Process variables such as quantity of bridging liquid, stirring time and duration of stirring were optimized. The spherical agglomerates of dissolution profile was compared with pure sample and recrystallized sample. By direct compression and evaluated for tablet properties Tablets were prepared using spherical agglomerates. Decreased crystalline and improved micromeritic properties were exhibited by spherical agglomerates. The dissolution of the spherical agglomerates was improved compared with pure sample. The dissolution profiles of ketoprofen tablets prepared using spherical agglomerates exhibit greater dissolution behaviour than tablets prepared by powder raw material.

**SarfarazMd *et al.***, researched on aceclofenac-disintegrant agglomerates with improved solubility, flow and compression characteristics by a novel crystallo-co-agglomeration (CCA) technique. By using a three solvent system comprising of acetone: DCM: water, Aceclofenac agglomerates were prepared. Acetone-water containing PEG 6000, HPC and disintegrants like sodium starch glycolate (SSG), crospovidone (CP) and croscarmellose sodium (CCS) in different concentrations were used since the crystallization medium. The agglomerates were characterized by FTIR, DSC, PXRD, SEM studies and were evaluated for flow, packing and tableting properties and drug release. DSC and XRPD studies indicated that aceclofenac particles, crystallized in the presence of HPC, PEG 6000 and

disintegrant didn't undergo structural modifications. The dissolution rate of aceclofenac from the agglomerates could possibly be controlled by the quantity of included disintegrant, being enhanced since the latter was increased. Among most of the formulations studied, F-9 prepared by incorporation of CP (18.43%) had shown short disintegration time (18.03 sec) and maximum drug release.

**A.R. Tapaset *et al.***, prepared Felodipine tablets by quasi emulsion solvent diffusion technique to enhance the dissolution rate of felodipine using spherical agglomeration technique with acetone, water and dichloromethane nearly as good solvent, poor solvent and bridging liquid, respectively. In agglomeration process Inutec SP1 was used as an emulsion stabilizer and as hydrophilic polymer. After crystallization process The FTIR and DSC results showed no change in the drug. Sharp peaks in the diffractograms of spherical agglomerates with minor reduction in height of the peaks were showed by PXRD studies. Enhanced solubility in comparison to untreated powder possibly because of the partial conversion to amorphous form was showed by Spherical agglomerates.

**AlladiSaritha *et al.***, prepared meloxicam tablets by using spherical agglomeration technique. The aim of their study is to boost the dissolution rate and to transform the meloxicam crystals in to spherical agglomerates. Conventional crystals have lesser dissolution rates when compared to spherical agglomerates of meloxicam. By differential scanning calorimetry, Infrared spectroscopy, X-ray diffractometry and scanning electron microscopy characterizes the Spherical agglomerates. The DSC results indicated that decline in melting enthalpy related to disorder in the crystalline content. The changes in crystallinity showed by XRD studies. And the IR spectroscopy revealed that there is no pure drug without impurities. The optimized spherical agglomerates provide rapid anti-inflammatory activity that was revealed by the pharmacodynamic activity.

**Sachin kumarpatil *et al.***, using methanol, chloroform and water nearly as good solvent, bridging liquid and poor solvent, agglomerates were prepared. Direct compressible tablets of the agglomerates showed appropriate hardness, friability, and weight variation and disintegration time with improved drug release than conventional marketed tablets. Pharmacokinetic study indicated rapid absorption with higher bioavailability of the drug from the prepared tablets of agglomerates than marketed tablet (Glyburide; Sandoz). Hence, the tablets prepared with the agglomerates of Glibenclamide may reduce the sum total dose of drug and could improve the patient compliance by reducing the dose-related side effects.



## 2.2 AIM & OBJECTIVE OF THE RESEARCH WORK

### AIM

The aim of the current investigation is to prepare, characterize and evaluate spherical agglomerates of Anti diabetic drugs with increased bioavailability characteristics prepared by direct compression method and also a comparative evaluation with marketed tablets for its evaluation.

### OBJECTIVE

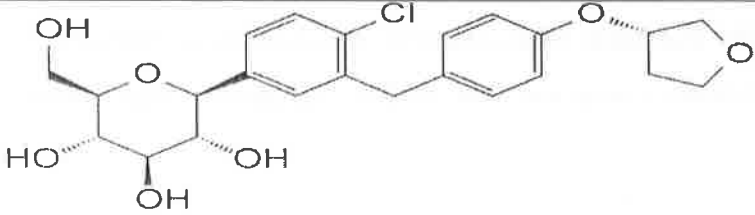
The objective of the current research work embodies

- ✓ Preparation and formulation of selected drug candidates (Glipizide & Empagliflozin) using spherical agglomeration technique.
- ✓ To characterize the prepared formulations for DSC studies, XRD studies, FT-IR studies and SEM
- ✓ To evaluate the potentiality of different polymers used in the formulations for its dissolution criteria, bioavailability and other parameters
- ✓ To optimize the formulation for its desired release profile both in *in-vitro* and *in-vivo*

### III. Drug & Excipient profile

#### 3.1 Drug Profile

Table: Empagliflozin

Structure	
Trade Name	Jardiance
Chemical Name	D Glucitol 1,5-anhydro-1-C-[4-chloro-3-[[4-[[[(3S)-tetra hydro 3furanyl]oxy]methyl]phenyl]
CAS number	864070-44-0
Molecular Formula	C <sub>23</sub> H <sub>27</sub> ClO <sub>7</sub>
Molecular Weight	450.912gm/mol
IUPAC name	(2S,3R,4R,5S,6R)-2-[4-chloro-3-[[4-[(3S)-oxolan-3-yl]oxyphenyl]methyl]phenyl]-6-(hydroxymethyl)oxane-3,4,5-triol
Therapeutic Category	It belongs to SGLT2 inhibitors. It comes under the category of Class –III drugs in BCS classification
Description	<i>It is non-hygroscopic powder and white to yellowish in colour.</i>
Solubility	It is soluble in organic solvents, slightly <i>soluble</i> in water, sparingly <i>soluble</i> in methanol, slightly <i>soluble</i> in ethanol and acetonitrile; <i>soluble</i> in 50% acetonitrile/water; and insoluble in toluene.
Mechanism	Empagliflozin is a SGLT-2 inhibitor. For reabsorption of glucose from kidneys (Glomerular filtrate) SGLT2 co-transporters are responsible. The glucuretic effect resulting from SGLT2 inhibition decreases renal absorption and lowers the renal threshold for glucose which results in increased glucose excretion
Dose and dosage forms	10mg, 25mg in morning with or without food Tablet, Film coated

<b>plasma concentration, Volume of distribution, Plasma protein binding, Metabolism, Elimination</b>	1.5 hours 73.8L 86.2% protein bound Metabolized by 3 metabolites are 2-O-, 3-O- and 6-O-Glucronide. 41.2% eliminated in faeces & 54.4% eliminated in urine.
<b>Biological Half life</b>	5.6-12.4 h
<b>Storage</b>	It is stored at room temperature and away from heat and moisture.

### 3.2 Excipient profile

#### 3.2.1 Caesalpiniaspinosa

*Caesalpiniaspinosa* is a small leguminous tree or thorny shrub which is native to Peru. It is commonly called Tara, the scientific name for Tara spinosa was *Caesalpiniaspinosa*. It is specially cultivated for increased source of tannins, which is based on a galloylatedquinic acid structure.

**TABLE: CAESALPINIA SPINOSA**

<b>Name</b>	<i>Caesalpiniaspinosa</i>
<b>Common names</b>	Tara, Spiny hold back, Taya, algarroba tanino
<b>Kingdom</b>	Plantae
<b>Order</b>	Fabales
<b>Family</b>	Fabaceae
<b>Genus</b>	Tara
<b>Species</b>	<i>Tara spinosa</i> , <i>Caesalpiniaspinosa</i> .
<b>Description</b>	<i>T. spinosa</i> grows at a height of 2–5 m tall and its bark has scattered prickles which is dark grey and contain twigs that are hairy
<b>Viscosity</b>	5,500 cps , up to 145 °C (can withstand high temp conditions)
<b>Uses</b>	Used in controlled release medications, food additives

Other polymers like HPMCK100M, Ethyl cellulose and Sodium alginate were also used in different formulations.

## **IV. Methodology**

### **Plan of Work**

To achieve the above mentioned aim and objective of the research work, the plan has been divided into various distinct phases

**Phase I-** Review of Literature

**Phase II-** Collection of plant for extracting natural polymer and Identification and sourcing of raw materials

**Phase III-** Design, Development and Characterization

**Phase IV-** Performing evaluation for the prepared batches both *in vitro* and *in vivo*.

Table:1 List of equipment

S.No	Name of the equipment	Model
1.	Electronic weighing Balance	CWS602
2.	Dissolution test apparatus	Electrolab TDT08L
3.	Friabilator	Electrolab
4.	Compression machine	Cadmach YAW-300
5.	Stability chamber	VS511
6.	Tablet hardness tester	Monsanto hardness tester SHT-17
7.	Ultra violet spectrophotometer	Labindia 3000+
8.	Differential scanning calorimetry	DSC50
9.	X-ray diffraction	Bruker D5005
10.	Fourier transformer infra-red	FTIR-8033

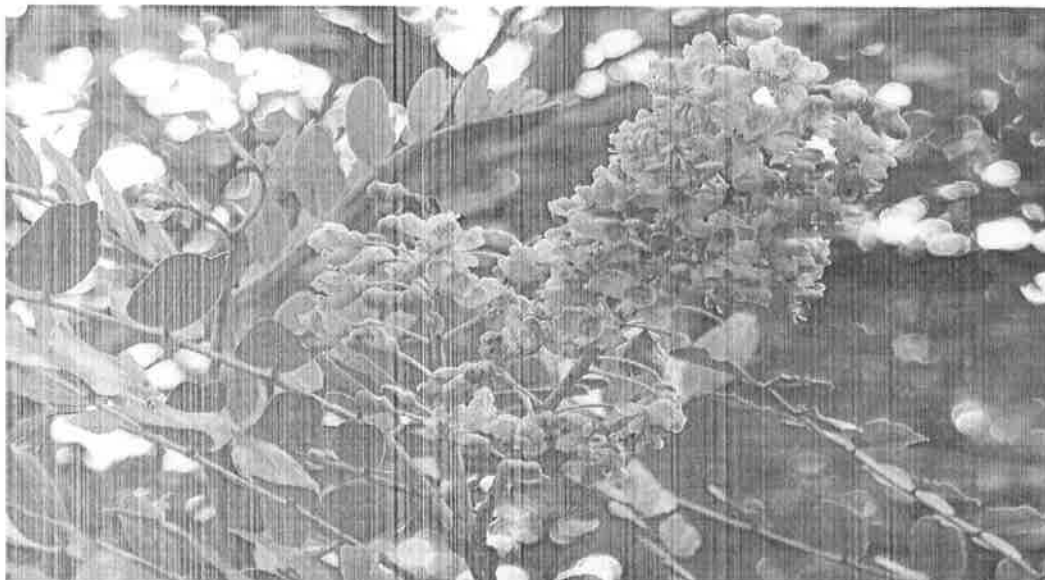
	spectrophotometer	
11.	Verniercalipers	Cd-6”Cs
12.	pHmeter	pHCal10
13.	Highperformanceliquidchromatography	--
14.	Tapped densitymeter	ETD-1020

**Table4.2:Listof chemicals**

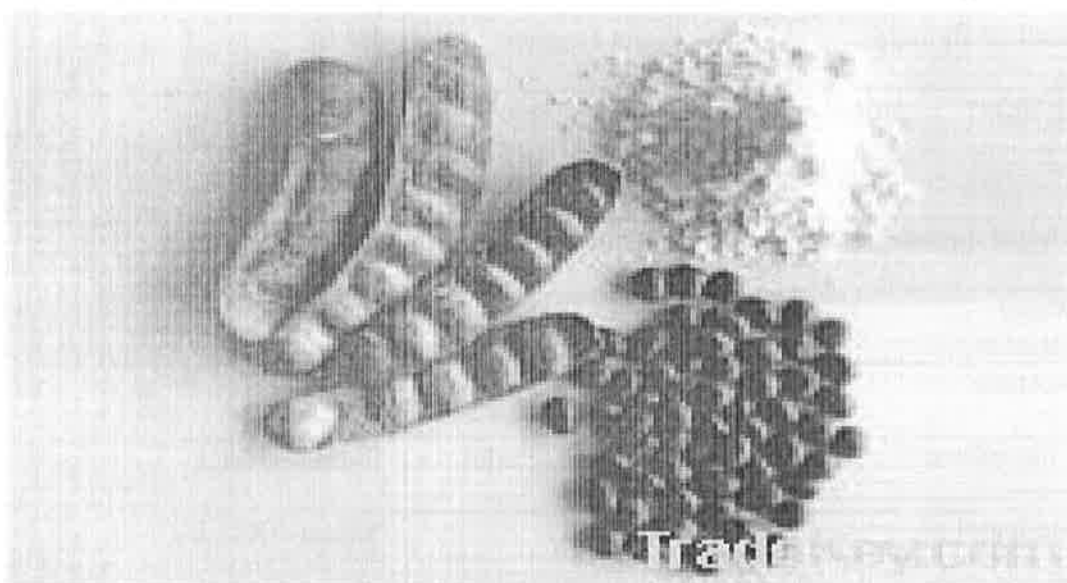
S.No.	nameofthechemical	Grade/variety	Manufacturername
1.	Ethylcellulose	Rand D grade	BASF
2.	Sodiumalginate	Rand D grade	BASF
3.	Hydroxy propyl methylcellulose	Rand D grade	BASF
4.	Magnesiumstearate	Technicalgrade	Sigma-Aldrich
5.	Water	HPLC	Merck
6.	Acetone	HPLC	BRUCE
7.	Chloroform	Analyticalgrade	Sigma-Aldrich
8.	Methanol	HPLC	Sigma-Aldrich
9.	Dichloromethane	Analyticalgrade	Merck

## 4.1 Extraction and characterization of natural polymer

### 4.1.1. Extraction of natural polymer



**Fig1: *Caesalpinia spinosa* plant**



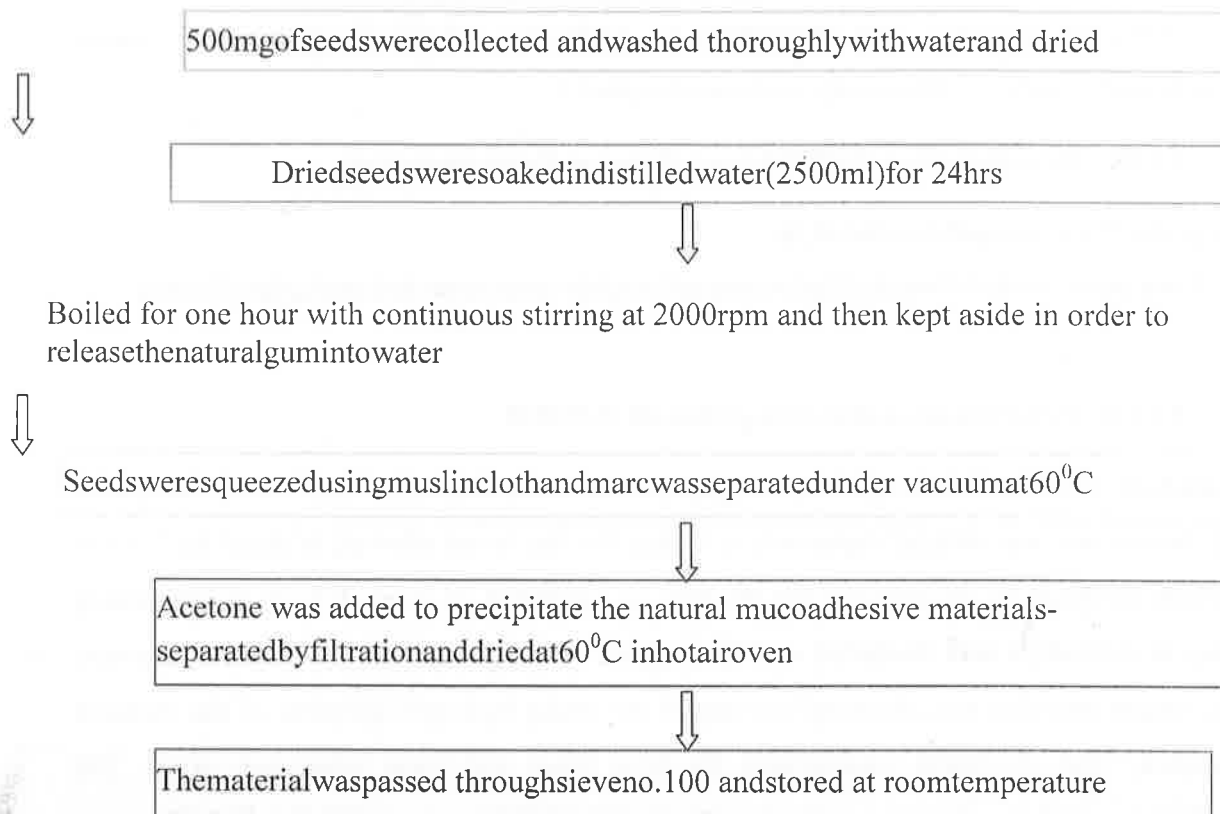
**Fig2: *Caesalpinia spinosa* seeds**

#### 4.1.1.a. Collection and authentication of plants

The seeds of *Caesalpinia spinosa* were collected from in and around areas of Nellore district. The plants were authenticated by Prof. K. Madhava Chetty, department of botany, SV University, Tirupathi, Chittoor district, Andhra Pradesh, India and seed specimens were kept in the laboratory for further use.



#### 4.1.1. b.Extractionofnaturalmucoadhesivematerials<sup>38</sup>



**Fig3: Flowchart presentation of extraction of natural polymer-CSNMM**

#### 4.1.1.c. Percentage yield of natural material

It was calculated from the ratio between the initial weight and the final weight of the extracted MMM. The percentage yield of CS-NMM is 15% w/w.

#### 4.1.2. General characterization of CS-NMM.

##### 4.1.2.a. Identification tests for hydrocolloid

- A small amount of extracted material was mixed with ruthenium red and a cover slip was placed on its top. After a few seconds, lead acetate solution was added. A blotting paper was used to remove the excess amount of stain. Hydrocolloid was stained pink colour.
- A small amount of extracted material was mixed with corallin soda and was placed on a glass slide. 25% sodium carbonate solution was added to it and a cover slip was placed on it. Hydrocolloid was stained pink colour.
- A small amount of extracted material was mixed with distilled water and boiled for few minutes. After cooling a gelatinous mass was observed.<sup>79</sup>

**4.1.2.b. Phytochemical constituents in CS-NMM-identification**

The extracted material was subjected to qualitative chemical analysis by using a standard procedure.<sup>80,81</sup> The results are shown in table 5.1.

**4.1.2.c. Determination of pH of extracted mucoadhesive material**

One gram of the extracted material of CS-

NMM was dissolved in 100 ml distilled water and their pH was measured using a digital pH meter.

<sup>80,81</sup>

**4.1.2.d. Determination of swelling index of CS-NMM**

**Procedure:** CS-NMM (200 mg), was placed on a petri-dish and 10 ml of distilled water was added and the mixture was shaken vigorously at 10 min for 1 hr. It was allowed to stand for 3 hours at room temperature. In between the process, at an interval of every 1 hour the remaining water in Petri-dish was discarded and the increase in weight of the CS-NMM was noted. The weight increase was observed because of the sticky hydrogel property of the material extracted.<sup>82</sup> The procedure was repeated for three times and mean value was noted. The swelling index was calculated for the CS-NMM in water, at pH 1.2 and 7.4. The report is mentioned in table 5.2.

**4.1.2. e. Melting point determination of CS-NMM**

A finely powdered material was filled into one capillary tube which was sealed on one side. It is introduced into a melting point apparatus.<sup>82</sup>

**4.1.2.f. Determination of viscosity of 1% w/v CS-NMM.**

1% w/v aqueous solution of the extract was prepared. The viscosity of the solution was measured at different temperatures using Ostwald's viscometer.<sup>82</sup> The results were depicted in table 5.3.

$$\text{Viscosity}(\eta_1) = \eta_2 \times (d_1 t_1 / d_2 t_2)$$

Where,  $\eta_1$  = Viscosity of natural mucoadhesive material solution,  $\eta_2$  = Viscosity of water,  $d_2$  = Density of water,  $d_1$  = Density of sample solution,  $t_2$  = Time of flow of water (sec),  $t_1$  = Time of flow of sample solution.

**4.1.2. g. Fourier transform infrared spectroscopy (FTIR)**

IR was recorded by KBr samples of CS-NMM the frequency range 4000-400  $\text{cm}^{-1}$  using a Shimadzu, model 8033 (USA).<sup>83</sup> FTIR spectrum was shown as fig. 5.1 and interpretation of data was explained in the table 5.4.

#### 4.1.2.h. Differential scanning calorimetry (DSC)

DSC was performed by taking 10 mg of the sample by using DSC-50 Shimadzu automatic thermal analyzer.<sup>84</sup> DSC spectrum is mentioned in fig. 5.2

#### 4.1.2.i. Solubility studies of CS-NMM

The solubility study was conducted by using different solvents. The results of solubility studies is shown in table 5.5

#### 4.1.2.j. Nuclear magnetic resonance

##### <sup>13</sup>C NMR

<sup>13</sup>C-NMR spectrum was recorded using Bruker II 600 spectrometer in 2% deuterated acetic acid in D<sub>2</sub>O solution for CS-NMM. The measured NMR spectrum was interpreted and reported to confirm the presence of polysaccharides.<sup>85</sup> The <sup>13</sup>C -NMR spectrum of CS-NMM is shown in fig. 5.3.

##### <sup>1</sup>H NMR

<sup>1</sup>H-NMR spectrum was recorded using Bruker AC250 tecmag DSpect (modified) fourier transform NMR spectrometer at 400.13 MHz in 1 M methanol solution at 32°C. NMR spectrum was interpreted to calculate the no. of protons using chemical shift value (PPM) i.e. the chemical shifting range.<sup>86,87</sup> The <sup>1</sup>H-NMR spectrum of CS-NMM is shown in fig. 5.4.

#### 4.1.3. Antimicrobial activity

The extract of *Caesalpinia spinosa* was tested for its antimicrobial effect against some common microorganisms and for growth promoting properties. The sterility test was performed as per Indian Pharmacopoeia. Sterility test was done in order to detect the presence of against *Staphylococcus aureus* in the prepared extract. A simple procedure like agar disc diffusion technique is employed to perform sterility test. A specified quantity of sample was incubated aseptically at 250°C and 45% RH using autoclave at 121°C for 15 min; then allowed to cool to 45°C before pouring into the agar plate. The pH of the agar medium was maintained at 7.4. The stock solution of the extract was prepared on each occasion by careful weighing and dissolving in suitable volume of dimethyl sulphoxide (DMSO) to get a concentration of 100 mg/ml. A tablet of tetracycline was dissolved in appropriate volume of water to get 5 mg/ml of stock solution and the growth of microorganisms in the medium was checked for

14 days and sterilized. The plates were left at room temperature for solidification. Each plate, a single well of 6 mm diameter was made using a sterile borer. The extracts were freshly reconstituted with suitable solvents (dimethyl sulphoxide) and tested at various concentrations. The samples were placed in 6-mm diameter well. Antibacterial assay plates were incubated at  $37 \pm 2^\circ\text{C}$  for 24 h, standard disc (6 mm diameter) with cephalosporin ( $5 \mu\text{g}/\text{ml}$ ) was used as a positive control for antibacterial activity. Plates were kept in laminar flow for 30 minutes for pre diffusion of extract to occur and then incubated at  $37^\circ\text{C}$  for 24 hours. Resulting zone of inhibition was measured at the end of incubation period to clarify the presence of microbial growth. Positive controls were equally set up by using solvents and test organisms without extracts. The tube with least concentration of extract without growth after incubation was taken and recorded as the minimum inhibitory concentration.

#### 4.1.4. Stability testing studies

The physical and chemical stability of a product have been tested under defined storage conditions and the shelf-life was established. Quality of crude drug material depends upon the content variation and stability during storage. Environmental factors such as temperature, light, air (specifically oxygen, carbon dioxide and water vapors) and humidity may affect stability. Similarly, factors such as particle size, pH, the properties of water and other solvents employed results from can influence stability. Hence an accelerated stability studies were performed as per ICH guidelines for a period of 6 months. These studies were performed on the extract taken. The results are illustrated in table: 5.7.

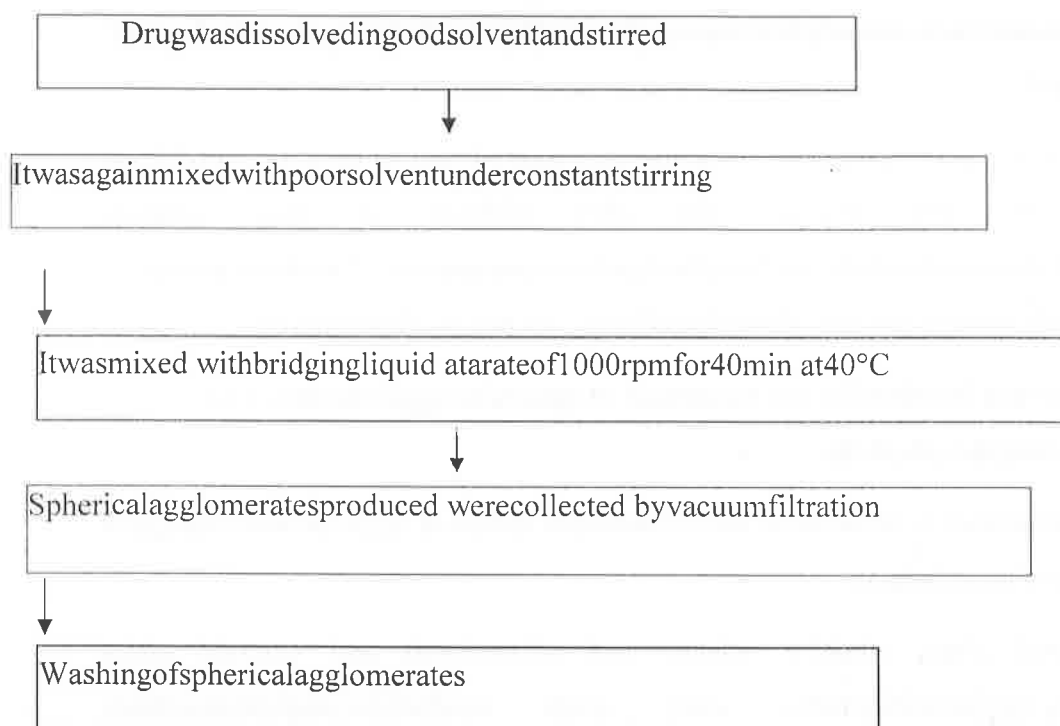
#### Accelerated conditions:

- i) at  $25^\circ\text{C} \pm 2^\circ\text{C}/60\% \text{ RH} \pm 5\% \text{ RH}$
- ii)  $40^\circ\text{C} \pm 2^\circ\text{C}/65\% \text{ RH} \pm 5\% \text{ RH}$
- iii)  $40^\circ\text{C} \pm 2^\circ\text{C}/75\% \text{ RH} \pm 5\% \text{ RH}$
- iv)  $8^\circ\text{C} \pm 2^\circ\text{C}/60\% \text{ RH} \pm 5\% \text{ RH}$  for a period of 6 months

#### 4.2 Preliminary studies for the preparation of spherical agglomerates

In spherical crystallization method as reported by Kwashima et al.,<sup>17</sup> was chosen for preparation of spherical agglomerates by direct compression method.

The flow diagram of the method is outlined below:



**Fig4: Flow diagram for the preparation spherical agglomerates**

In this method, generally a good solvent is required to dissolve the drug. Bridging liquid helps in collecting the crystals that are suspended within the system that forms bridges among the liquid interface among the crystals because of capillary negative pressure and interfacial tension that is exerted between the interface of solid and liquid. A poor solvent is required for precipitation of drug. Hence, preliminary trials were taken as follows for selection of a good solvent, bridging liquid and poor solvent.

#### **4.2.1 Selection of bridging liquid, poor solvent and good solvent**

The solubility of the drugs was determined in different solvents by adding 100 mg of drug to 1 ml of solvent with stirring. The list of solvents used for studying solubility is shown in table no. 5.7 along with the solubility observations.

#### **4.2.2. Selection of bridging liquids:**

The bridging liquid must be miscible with the good solvent selected—dichloromethane. Hence, miscibility studies of dichloromethane was studied with n-hexane, chloroform and glycerine in proportions of 1:1, 1:2, 1:3, 1:4 and 1:5 respectively.

### 4.2.3 Preliminary selection of process parameters affecting the formation of spherical agglomerates

Various factors affecting this process are stirring rate, type of non-solvent, rate of addition of drug solution and stirring time after addition of drug solution. Hence, different trials were taken for identifying the significant parameters.<sup>21</sup> The observations with respect to each process are also shown in table no. 5.9 and 5.10 respectively.

### 4.2.4 Mechanism involved in the formation of spherical agglomerates and factors affecting the sphericity

The following mechanism is involved in the formation of spherical agglomerates through the spherical crystallization technique.

- Internal phase (dichloromethane and chloroform) and external phase (water) are miscible, but for a very small time before complete miscibility takes place, internal phase may exist as globules.
- Diffusion of dichloromethane and chloroform may take place from the globules into water. In addition, diffusion of water can take place from the external phase into globules. Both diffusion processes may be responsible for the formation of drug particles due to anti-solvent effect.
- The spherical shape of particles may be due to formation of particles with globules which are generally spherical in nature.
- The degree of sphericity may be influenced by the life span of the globule. Longer the life span of globule, more spherical may be the agglomerates. Time duration for which the internal phase exists as globules, may be influenced by its viscosity. As the viscosity increases, life span of the globules increases. Chloroform in the internal phase helps in increasing the viscosity of the internal phase.<sup>24</sup>

## 4.3 Preparation and characterization of spherical agglomerates

### 4.3.1 Preparation of spherical agglomerates

Agglomerates of drug were prepared by spherical crystallization method. 10 mg drug was taken and dissolved in dichloromethane. Chloroform is added to the solution containing drug and thoroughly mixed. The mixture of drug, dichloromethane and chloroform was added at a rate of 1 ml/min to water stirred at

rate of 1000 rpm using magnetic stirrer. Stirring was continued for a period of 40 minutes after complete addition of mixture. The particles of drug obtained in the water were separated by vacuum filtration and dried at 40°C. Particles were washed with water (25 ml each 3 times) to make them free from solvents. The agglomerates obtained were free flowing and of spherical in shape.<sup>24</sup>

#### **4.3.2 Characterization of spherical agglomerates**

The prepared spherical agglomerates of drugs were characterized<sup>33</sup> as per the details mentioned below.

##### **i) DSC study**

A DSC study was performed in order to detect polymorphic transition and thermal properties of the drug while crystallization occurs. These changes can be measured by using thermal analyser. About 3-5 mg of drug was mounted on aluminium sealed pans, and temperature was fixed from 25°C-250°C/min, under nitrogen fixed environment. The DSC apparatus must be calibrated using Indium which is a pure metal, before every study<sup>33,88</sup>.

##### **ii) FT-IR spectroscopy**

The FT-IR spectra were measured using a Shimadzu, model 8033 (USA) which is maintained at ambient temperature. The drug samples that should be detected were dispersed in KBr powder and pellets were made by applying 5 ton pressure<sup>33,89</sup>.

##### **iii) X-Ray diffraction studies**

X-Ray powder diffraction studies were analyzed at room temperature using Bruker diffractometer, where Cu acts as anode material and graphite monochromator, which is operated at a voltage of 40 mA, 45 kV. It is used to determine the conversions of state i.e. from crystalline to amorphous forms and interactions between drug and excipients used are noted if any.<sup>33</sup>

##### **iv) Scanning electron microscopy (SEM)**

SEM (Shimadzu-LV-5600, USA, with magnification of 250X) photographs were considered in order to confirm spherical nature and also to measure the surface topography of the prepared crystals<sup>90</sup>.

#### 4.3.3. Preformulation studies

These studies are essential for determining chemical and physical characteristics of drug substances along with its combination of other excipients. It is the preliminary step to develop any of the desired dosage forms. With the help of this preformulation parameters, we can assess the nature and character of the drug and its release.

Some of the preformulation studies are listed below:

Organoleptic evaluation, particle size distribution, bulk and tapped density, diameter, Carr's index, Hausner's ratio, angle of repose, drug-excipient compatibility studies<sup>91</sup>.

#### 4.3.4 Organoleptic characteristics:

This can be evaluated by color, odor, taste, and elegance of the tablet, its size and shape, surface texture, unique identification marks etc were usually done by physical examination. The results were given in table 4.3.

**Table:4. Organoleptic properties of prepared tablets of andempagliflozin**

S no.	Properties	Observation
1.	Description	Round in shape
2.	Colour	White
3.	Taste	Tasteless
4.	Odour	Odourless
5.	Elegance and surface texture	Smooth

#### 4.3.5 Flow properties

##### i. (Angle of repose):

It is defined as maximum possible angle obtained between surface of pile of powder and the horizontal plane.<sup>92,93</sup> Through fixed funnel method angle of repose can be determined. Funnel is fixed at a specific height (2.5cm) on to a burette stand. The sample of powder is allowed to pass through the funnel allowing it to form a pile. No more granules are added when the pile reaches the edge of the funnel. This region is



encircled to measure radius. The same procedure is repeated for three more times and the average value is noted. It can be calculated by using the following equation :

$$\text{Angle of repose } (\theta) = \tan^{-1}$$

(h/r) Where, h = height of pile = radius of

the base of the pile  $\theta$  = angle of repose

**Table:4 Angle of repose and corresponding flow properties**

Angle of repose	Flow property
<25	Excellent
25-30	Good
30-40	Passable
>40	Very Poor

#### **Determination of bulk density:**

Sufficient amount of powder (W) is weighed and is poured into a measuring cylinder which is graduated and volume ( $V_0$ ) can be measured along with bulk density by following formula<sup>92</sup>,

$$\text{Bulk density (BD)} = \text{Weight of the powder} / \text{volume of powder}$$

#### **Tapped density determination:**

Sufficient amount of powder (W) is weighed and is poured into a measuring cylinder which was fixed to the 'tapped densitometer' and tapped for number of times (500, 750 and 1250) until the variation in the volume after consecutive tappings was  $\leq 2\%$  which is graduated and volume ( $V_0$ ). The final reading was represented by ( $V_f$ ). The tapped density, carr's index, hausner's ratio were calculated using the volume of blend.<sup>92</sup>

$$\text{Tapped density} = W / V_f \text{ g/ml}$$

#### **Carr's index or compressibility index:**

Carr's index is also known as compressibility. It is indirectly related to the relative flow rate, cohesiveness and particle size. It is simple, fast and popular method of predicting powder flow characteristics.<sup>92,93</sup>

$$\text{Carr's index (\%)} = [( \text{Tapped density} - \text{bulk density} ) / \text{tapped density}] \times 100$$

**Table5: Carr's index and corresponding flow properties**

<b>Carr's index (%)</b>	<b>Flow character</b>
<15	Excellent
16-18	Good
18-21	Fair to passable
23-25	Poor
33-38	Very poor
>40	Very very poor

**Hausner's ratio:** The flow properties of powder are indicated and it is ratio of tapped density to bulk density<sup>94,95</sup>.

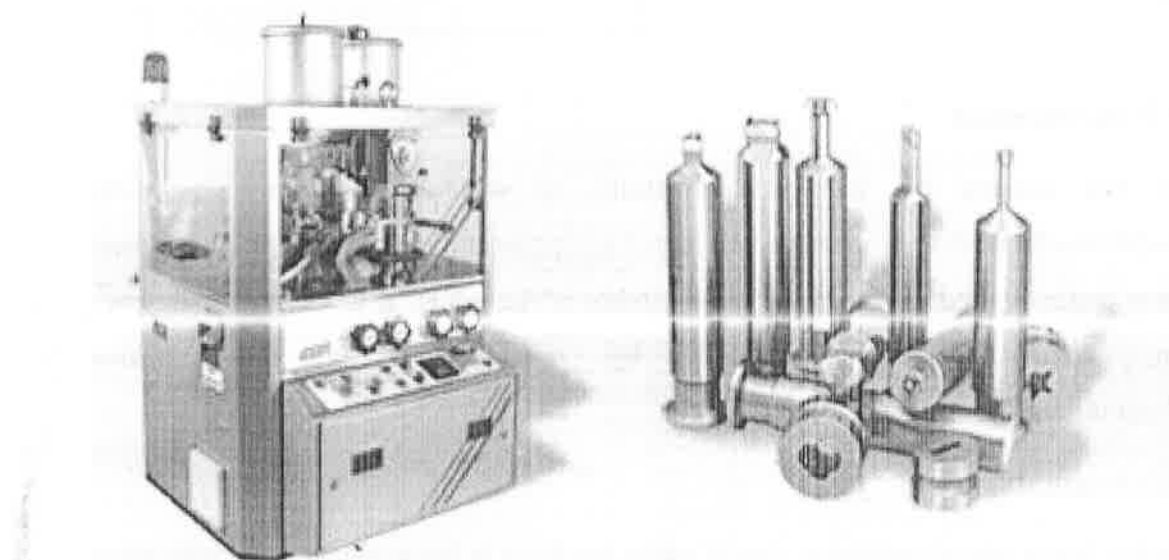
$$\text{Hausner's ratio} = \frac{\text{Tapped density}}{\text{bulk density}}$$

**Table6: Limits of Hausner's ratio**

<b>Hausner's ratio</b>	<b>Type of flow</b>
<1.25	Good flow
1.26-1.34	Passable flow
1.35-1.45	Poor
1.46-1.59	Very poor

#### 4.4 Final tablet compression (direct compression):

In this process, the optimized sustained release granules were introduced initially in to the die cavity and later a slight pre-compression was done so as to distribute the layers uniformly.



**Fig5: Tablet punching machine**

#### 4.5 Post compression studies

##### Evaluation of tablets:

The evaluation includes the diameter, weight, shape, thickness, size, hardness, friability, floating time and *in vitro*-dissolution characters.<sup>96,97,98,99</sup>

**4.5.1 Weight variation:** The tablet weight was determined to verify that a tablet which is being weighed is according to the prescribed criteria. 20 tablets were weighed and average weight was calculated to its individual weight as per the USP weight variation test procedures. The weighed tablets should meet the USP limits not exceeding more than 2 tablets of its individual weights and no single tablet should differ by more than 2 times the percentage limit. USP limits of % deviation of tablets are shown below.

**Table 7: Limits for tablet weight variation test (USP29-NF34)**

Average weight of tablet (mg)	% Difference permitted
130 or less	±10%
From 130–324	±7.5%
> 324	±5%

**4.5.2 Hardness test**

Hardness test ensures the stability and ability to withhold the stress or shear strength while mechanical shocks occurred while packaging, handling and transportation. Monsanto hardness tester is used to determine the hardness of the tablet. It is denoted by kg/cm<sup>2</sup>. The mean values were determined by considering 3 tablets that were picked randomly from the batch.

**4.5.3 Friability**

The friability test is almost similar to that of tablet hardness in the evaluation of withholding capability of the tablet prepared. Roche friabilator is the instrument used for determine friability.<sup>100</sup> The tablets were weighed and kept in the apparatus and were subjected to rotation at an rpm of 100. The tablets were weighed after the revolutions and compared to its initial weight. Friability is expressed in %.

Limits: weight loss of not more than 1% of the original

weight. The percentage friability was calculated using the formula:

$$\% \text{ friability} = (W_1 - W_2) / W_1 \times$$

$$100$$

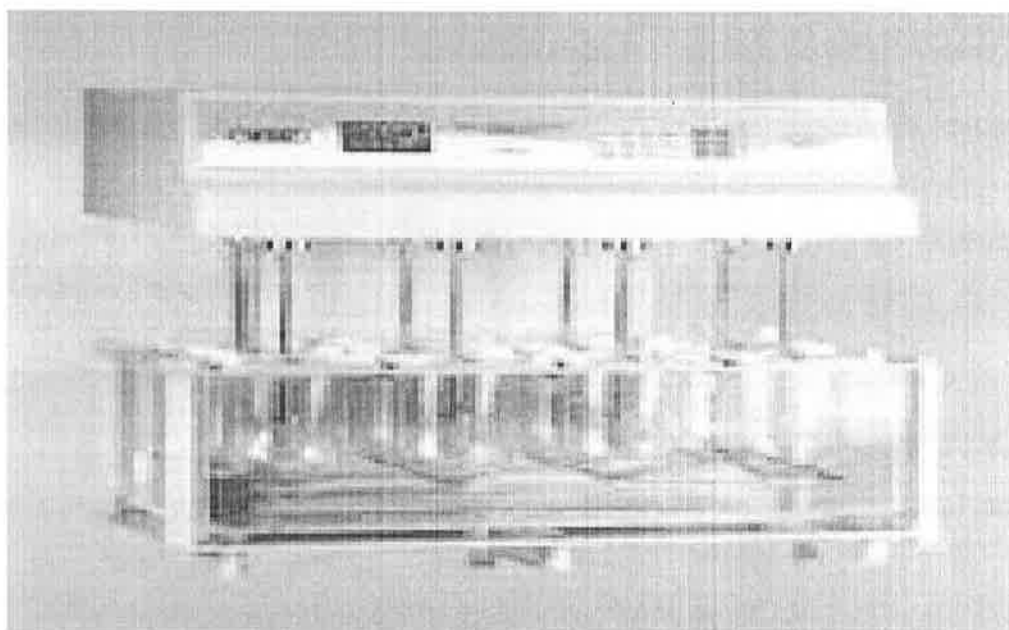
$W_1$  = Initial weight

$$W_2 = \text{Final weight of tablet}$$

**iv In-vitro dissolution studies:** The dissolution criteria used for studying the drug release from the tablets:

**TABLE 8:***In-vitro*dissolutionparametersforempagliflozin

Apparatus	USP 26apparatus typeII(Paddle)
Agitationspeed(rpm)	75rpm(for empagliflozin)
Medium	0.1NHCl and 7.5 pHphosphatebuffer
Volume	900ml
Temperature	37.0± 0.5 <sup>0</sup> C
Time	0.5,1,2, 4,6, 8,10and12hours
Wavelengths	276nmand272nm

**Fig6:**USP26apparatus typeII(paddle)-dissolutionapparatus.

#### 4.6 Kinetic-models

Kineticmodelsdepicts the drugreleaserate kineticsof preparedformulations withrespecttodissolutionprofile,namely,zeroorder,firstorder,andhiguchirespectively.

$$Q_t = Q_0 + K_0 t \dots\dots\dots (1)$$

where,  $Q_t$ -amountofdrug releasedattimet;

$Q_0$ -amountofdruginthesolutionat  $t = 0$ , (usually,  $Q_0 = 0$ ) and  $K_0$ -

zeroorderreleaseconstant.

$$\log Q_t = \log Q_a + (K_1/2.303)t \dots\dots\dots (2)$$

$Q_a$ -total amount of drug in the matrix and  $K_1$ -

the first order kinetic constant.

$$Q_t = KH.t^{1/2} \dots\dots\dots (3)$$

where,  $KH$ -Higuchi rate constant.

Further, to characterize the mechanism of drug release from matrices, dissolution data were analyzed using the equation proposed by Korsmeyer and Peppas.

$$Q_{(t-l)} / Q_a = KK(t-l)^n \dots\dots\dots (4)$$

where,  $Q_t$ -the amount of drug released in time  $t$ ,

$l$ -lag time ( $l=2$  hours)

$Q_a$ -total amount of drug that must be released at infinite time

$KK$  - constant comprising the structural and geometric characteristics of the tablet, and  $n$  is the release exponent indicating the type of drug release mechanism.

To determine the exponent  $n$ , points in the release curves where  $Q_{(t-l)} / Q_a > 0.6$ , were only utilized. If  $n$  reaches to 0.5, the release mechanism is Fickian.

If  $n$  reaches to 1, the release mechanism is zero order and on the other hand if  $0.5 < n < 1$ , non-Fickian (anomalous) transport can be obtained.

Anomalous (non-Fickian) transport usually refers to drug release by adding both diffusion and erosion of the polymeric matrix. The criteria suggested in the selection of 'best model' was the one with the highest coefficient of determination ( $r^2$ )<sup>101</sup>.

**Table:9 Interpretation of diffusional release mechanisms**

Release exponent ( $n$ )	Drug transport mechanism
$0.45 \leq n$	Fickian diffusion
$0.45 < n < 0.89$	Non-Fickian transport
$0.89$	Case II transport
$n > 0.89$	Super case II transport

#### 4.7 Optimization Of Formulation Parameters

Preparation of spherical agglomerates are affected by many process variables and needs to be optimized for optimal response. The process variables like stirring speed, stirring time and rate of addition of drug solution identified on the basis of above preliminary trials were fixed and kept constant throughout the study.

Rate of addition of drug solution-

1 ml/min Stirring speed-1000 rpm

Stirring time after addition of drug solution- 40 min

In the present study, the independent variables like volume of dichloromethane, volume of water and % of chloroform in dichloromethane were chosen based on the results obtained from the preliminary studies conducted.

##### 4.7.1 Selection of best batch

During the optimization of a multivariable process, such as spherical agglomeration, the responses were taken into consideration in order to produce a product of desired characteristics.

#### 4.8 Stability studies

The selected formulation was subjected to stability studies as per ICH guidelines. The tablets were packed in HDPE bottles and were stored at following conditions.

**Table 10: Conditions of stability studies**

Study	Storage condition	Minimum time period data covered
Accelerated conditions	40°C ± 2°C / 75% ± 5% RH	4 months

#### 4.9 In-vivo evaluation of the prepared tablets:

The pharmacokinetic parameters were estimated for the *in-vivo* study for the optimized formulation and marketed tablet for comparison of the parameters.

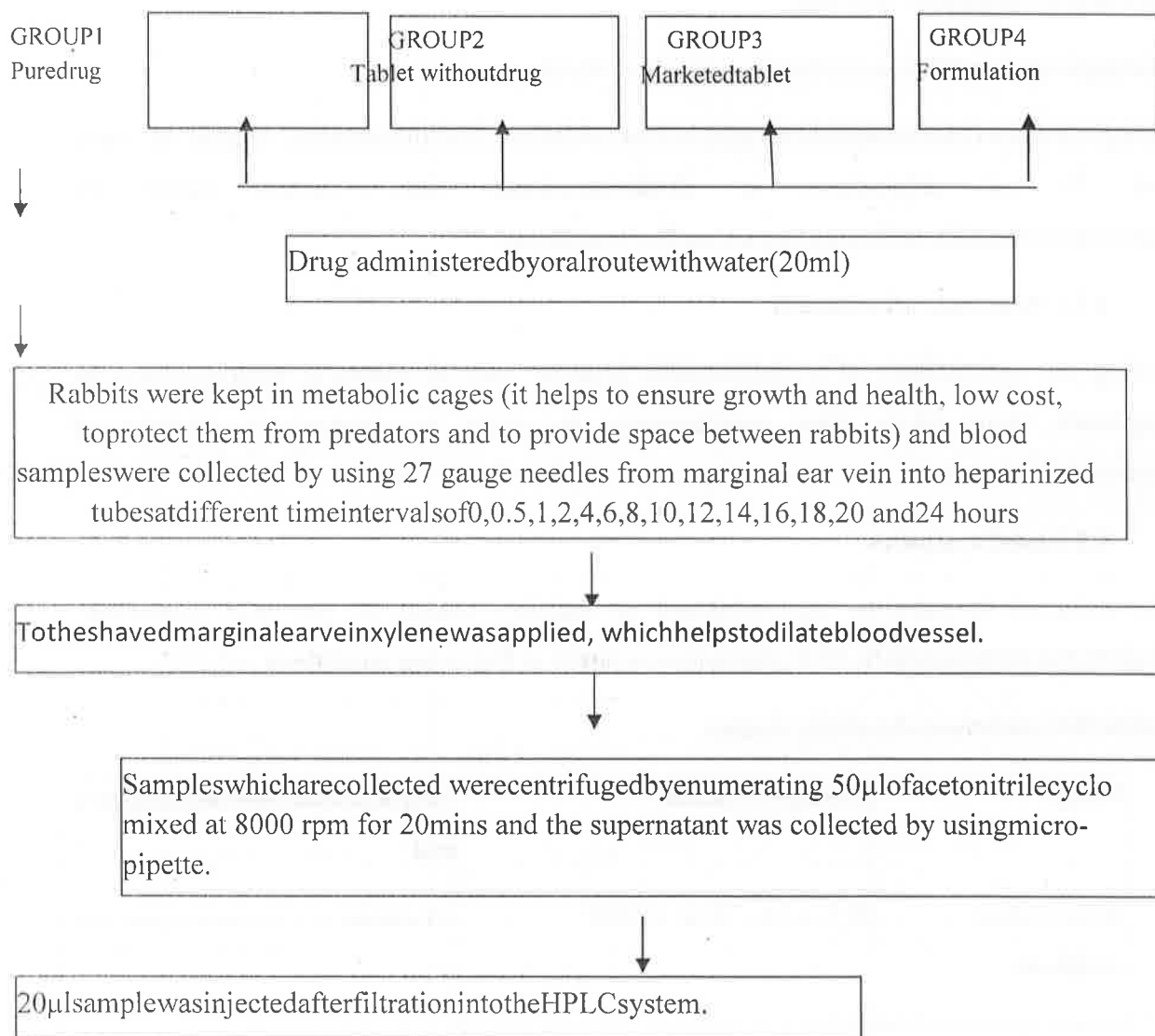
Pharmacokinetic parameters were used for determination of parameters such as maximum concentration of serum ( $C_{max}$ ), time to reach the maximum concentration of

serum ( $T_{max}$ ), area obtained under the plasma-concentration time curve (AUC), volume of distribution ( $V_d$ ), half-life ( $t_{1/2}$ ), mean residence time (MRT) and clearance ( $Cl_T$ ).

#### 4.9.1. Groups for the *in-vivo* study:

*In-*

*vivo* study was performed, making four groups of healthy albino rabbits. Each group consists of four rabbits ( $n=4$ ).



**Fig7: Flowchart representation of preparation of sample solutions**

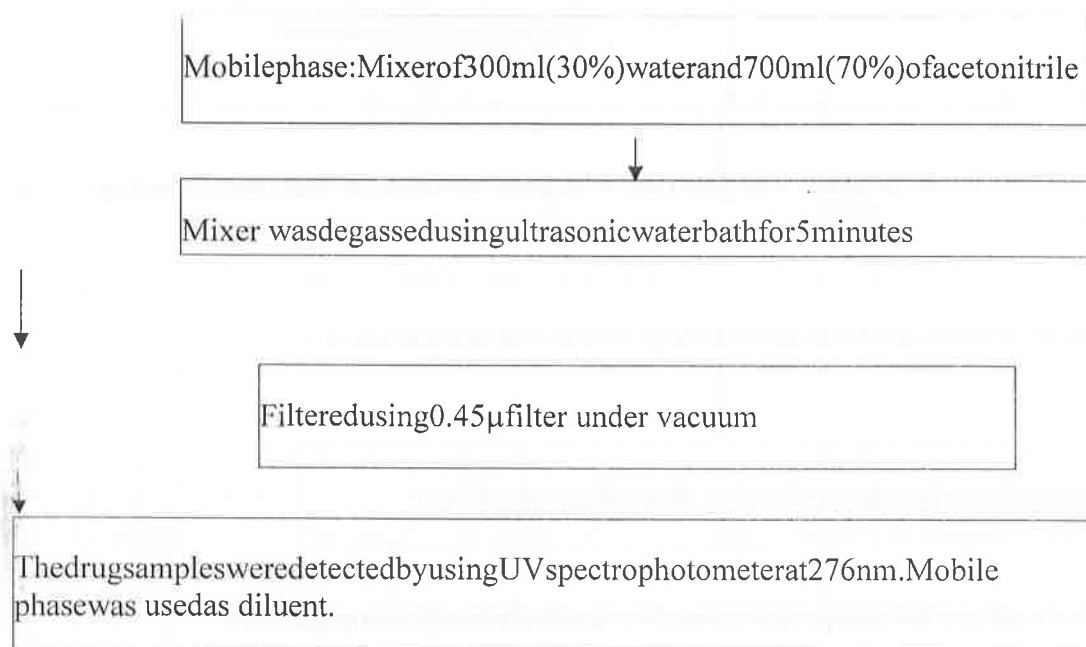


#### 4.9.2. Experimental

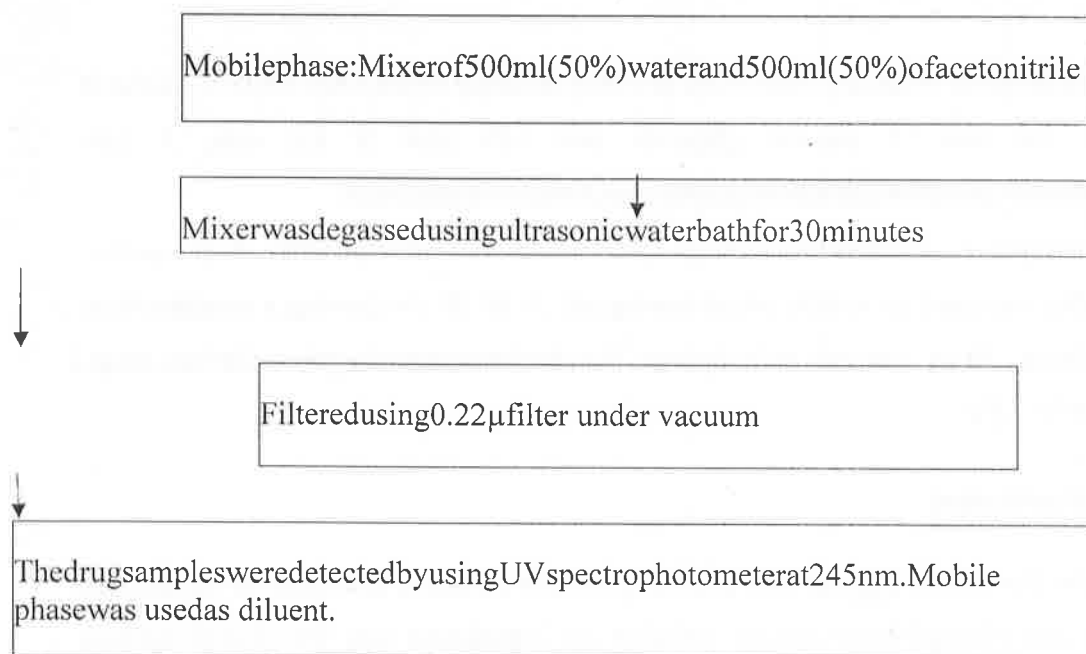
##### methodology HPLC analytical method development

##### evelopment

Glipizide and empagliflozin content present in the plasma was estimated by using HPLC method and a calibration curve was plotted.<sup>107</sup>



**Fig8: Flowchart representation of HPLC analytical method development for glipizide**



**Fig9: Flowchart representation of HPLC analytical method development for empagliflozin**

### Preparation of standard solution of empagliflozin

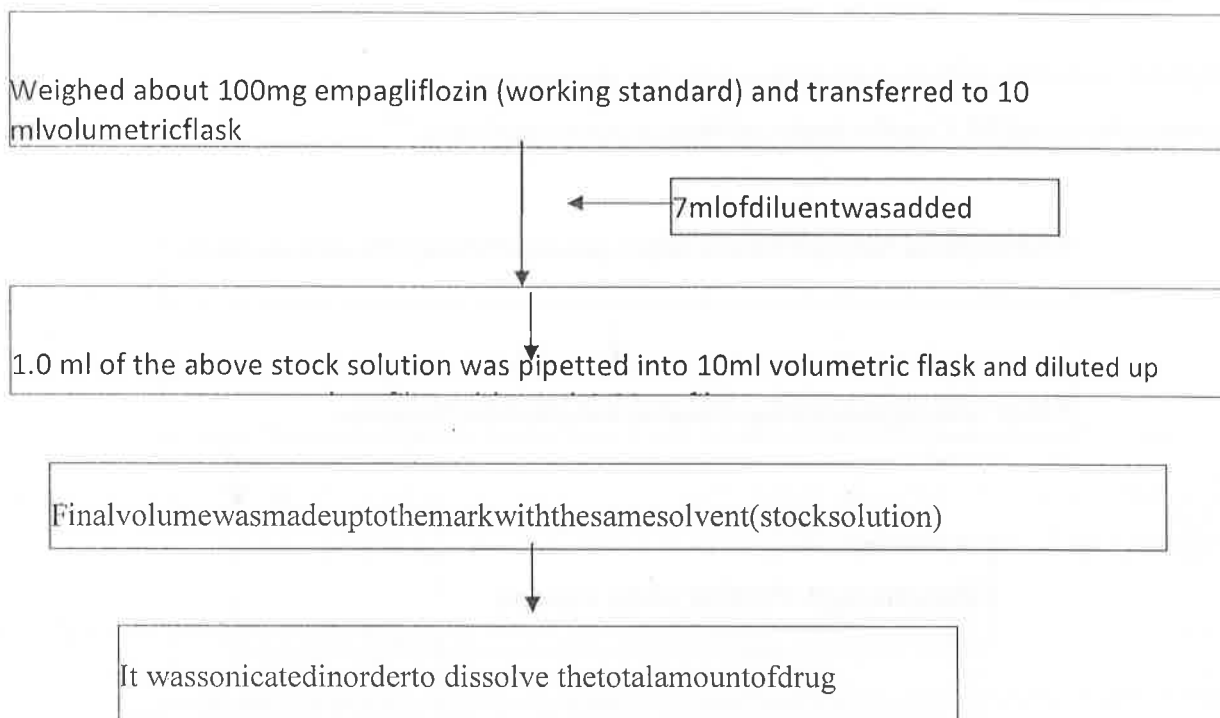


Fig.11: Flowchart representation of preparation of standard solutions for empagliflozin

### 4.9.3. Analytical instrument and method

HPLC (2695 separation module), with PDA detector utilizing INERTSIL ODS  $C_{18}$  column (250 mm x 4.6 mm, 5  $\mu$ m-for glipizide and 150 mm X 4.6 mm, 5  $\mu$ m-for empagliflozin) reverse phase chromatography is applied for estimation of drugs. Column temperature along with instrument temperature is controlled at normal temperature. Acetonitrile: phosphate buffer was used as mobile phase having pH 3 (50:50 v/v), having a constant flow rate of 1.5 ml/min, 20  $\mu$ l -volume of injection. The detection wavelength was 245nm, temperature maintained at  $20^{\circ}\text{C} \pm 2^{\circ}\text{C}$ .

### HPLC method validation

10  $\mu$ l of sample free blank plasma and glipizide sample solution and 20  $\mu$ l of sample free blank plasma and empagliflozin sample solution are introduced into the system to know about the specificity. By injecting 20  $\mu$ l solution the chromatograms were developed. The peak area was estimated for each drug solution. The standard graph

was plotted and correlation coefficient was determined. To estimate the inter and intraday precision repeatedly, the same procedure is repeated for 6 times. Robustness, LOD and LOQ were validated simultaneously.

#### **4.9.4. Assessment of pharmacokinetic parameters**

To assess the pharmacokinetic parameters of empagliflozin test and control, noncompartmental method was preferred with the help of Thermo scientific KINETICA 5.2 software (plasma concentration vs. time data).

#### **4.9.5. Statistical analysis**

Statistical data was analyzed using graph pad prism 6 software data. Paired t-test was used for finding the similarity of PK parameters of test and control samples and a value of  $p < 0.05$  was found to be significant and ANOVA was considered to estimate any differences PK criteria in a group.

## V. Results & Discussion

### 5.1 General characterization of CS-NMM.

#### Identification tests for hydrocolloid

**Inference:** The results proved that the extracted material was hydrophilic in nature.

#### Phytochemical constituents in CS-NMM- identification

**Table 11. Phytochemical constituents identified**

Tests	Results
	CS-NMM
Alkaloids	—
Carbohydrates	+
Flavonoids	+
Tannins	+

—Absence                      +Presence

**Inference:** CS-NMM exhibited the presence of carbohydrates, flavonoids and tannins.

#### 5.1.2.a. Determination of pH of extracted mucoadhesive material

The pH was determined as  $6.5 \pm 0.5$ .

**Inference:** The pH of 1%w/v solution of CS-NMM was found to be 6.5. The material extracted was slightly acidic in nature. Hence, the formulations that are prepared by using this polymer can be compatible and will not cause any irritation when administered into the GIT.

**Table 12.Determination of swelling index of CS-NMM**

**Table 5.2: Swelling index of NMM**

Parameter	Result
	NMM
Swelling index after 3hr	
Distilled water	17.37± 0.51
pH-1.2	15.83± 0.30
pH-7.4	13.19± 0.47

$$\text{Swelling index} = [(w_2 - w_1) / w_1]$$

Where,  $w_1$  = weight of NMM before swelling,  $w_2$  = weight of NMM after swelling

**Inference:** The swelling index study revealed that the material absorbs more or less times of water by its weight.

#### 5.1.2. e. Melting point determination of CS-NMM

The melting point was recorded as 339.9°C.

**Inference:** The high range of melting point i.e. 339.9°C represents that the material is heat stable within various ranges of temperature, hence can be used in different pharmaceutical formulations.

#### 5.1.2.f. Determination of viscosity of 1% w/v CS-NMM.

**Table 13: Viscosity of 1% w/v solution of CS-NMM**

Temperature (°C)	Viscosity in poise
	CS-NMM
37°C	0.0149
45°C	0.0126
60°C	0.0083

**Inference:** It was revealed that as temperature increased, the viscosity of CS-NMM has been decreased.

### 5.1.2. g.Fouriertransforminfraredspectroscopy(FTIR)

FTIR spectrum was shown as fig.5.1 and interpretation of data was explained in the table 5.4.

#### Fouriertransforminfraredspectroscopy(FTIR)

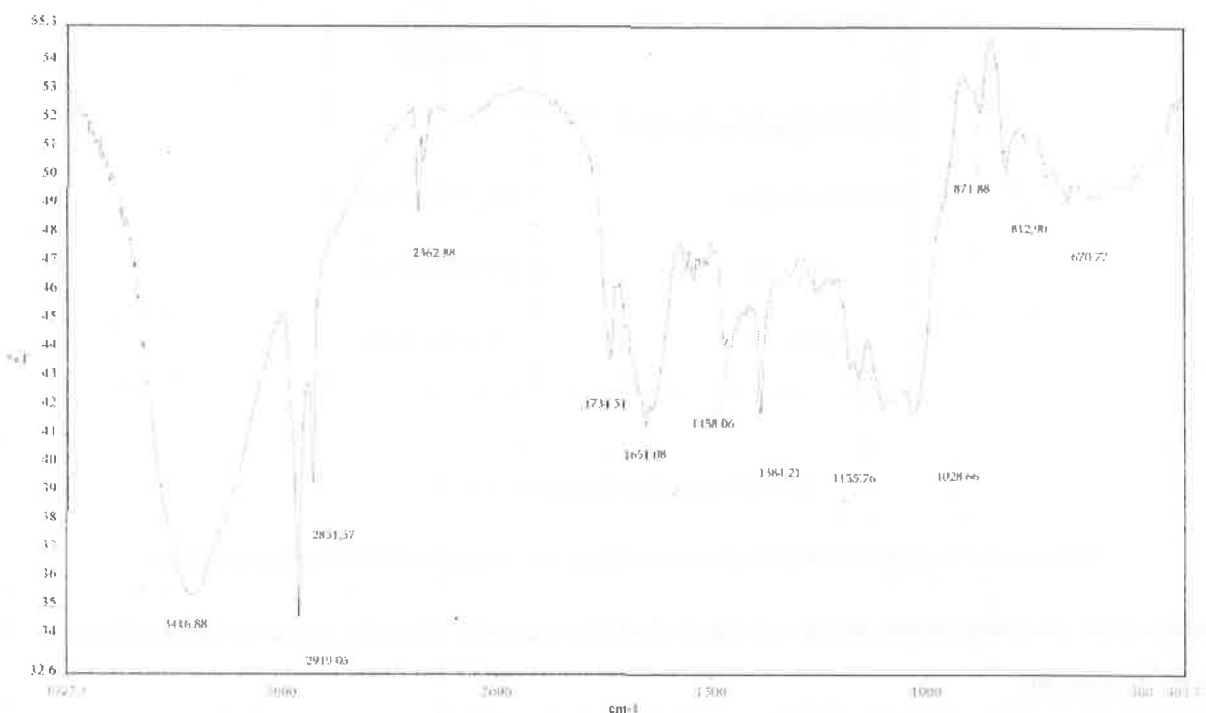


Fig.12. FTIR spectrum of CS-NMM Table 5.4: FT-IR data of CS-

NMM

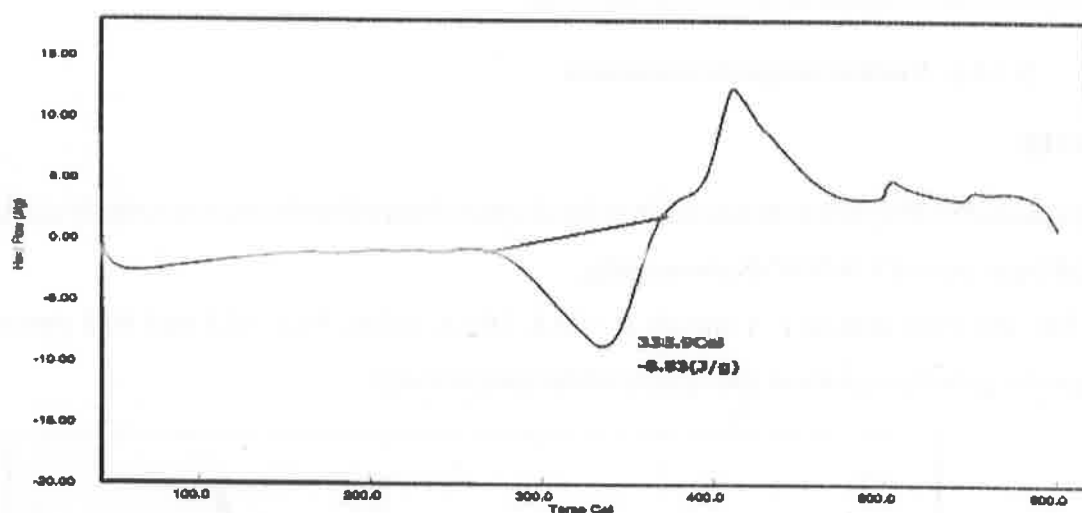
Wavenumber( $\text{cm}^{-1}$ )	Characteristic band
3416.88	N-H(S)
2919.05	(methyl or methylene) C-H(stretch)
2851.57	C-H (S) (Aldehyde)/COOH
1734.51	C=O (S)
1458.06	C-H(bending)( $\text{CH}_2$ )
1384.21	C-H (B)( $\text{CH}_3$ )

1155.76	C–O(S)ether
1028.66	C–O (B)(ether/alcohol/esters/anhydrides)
871.88	C–H(OOP)foraromaticring
812.90	
670.77	C–Br/C–I(Outofplane)

**Inference:** A single band is seen at  $3416.88\text{cm}^{-1}$  which is assigned to the stretching of H-bonds to the amide group of the adjacent intra-sheet chain. The strong bands are seen in the range of  $1458.06$  exhibits the characteristic resemblance with the polysaccharides.

#### 5.1.2.h. Differential scanning calorimetry (DSC)

Fig.13: DSC spectrum of CS-NMM



The optimum temperature required to melt the CS-NMM was found to be  $338.9^{\circ}\text{C}$ . DSC spectrum is mentioned in Fig. 5.2

**Inference:** As temperature increased the heat flow also increased, indicating that the weight loss has occurred. It was depicted by sharp drop in the curve at  $338.9^{\circ}\text{C}$  for the sample.

#### 5.1.2.i. Solubility studies of CS-NMM

The material (CS-NMM) was found to be freely soluble in hot water. The result of solubility studies is shown in table 5.5

**Table 14: Solubility of CS-NMM**

Solvents used	Solubility of natural mucoadhesive materials
Cold Water	-
Hot water	+
n-Hexane	-
Methanol	-
Ethyl acetate	-
Ethanol	-

Soluble-(+); Insoluble-(-)

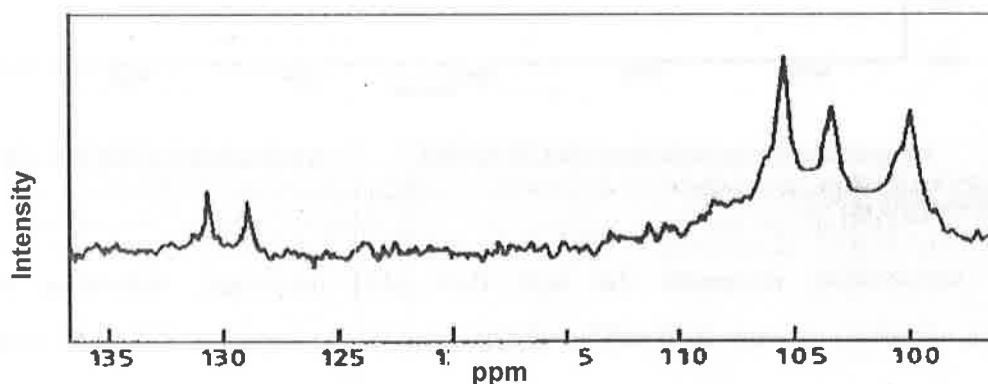
**Inference:** The solubility has been checked by using different polar and non-polar solvents. It was found that the substance was soluble in hot water. It was practically insoluble in non-polar solvents such as ethanol, benzene, hexane, etc.

#### 5.1.2.j. Nuclear magnetic resonance

##### <sup>13</sup>C NMR

The measured NMR spectrum was interpreted and reported to confirm the presence of polysaccharides. The <sup>13</sup>C-NMR spectrum of CS-NMM is shown in fig.

5.3. The spectrum depicts C-1 signals at 105.4, 103.4, 100.0, 99.3, 93.6 and 90.5 ppm that are assigned to galactose, glucose and xylose residues respectively.



**Fig. 14: <sup>13</sup>C-N.M.R spectrum of CS-NMM**



## <sup>1</sup>H-NMR

The <sup>1</sup>H-NMR spectrum of CS-NMM is shown in Fig. 5.4.

**Inference:** The protons of <sup>1</sup>H-NMR spectrum is depicted in table 5.6. From this data we can have an evidence of polysaccharides in the extracted material which is further confirmed by repeated OH and COOH groups.

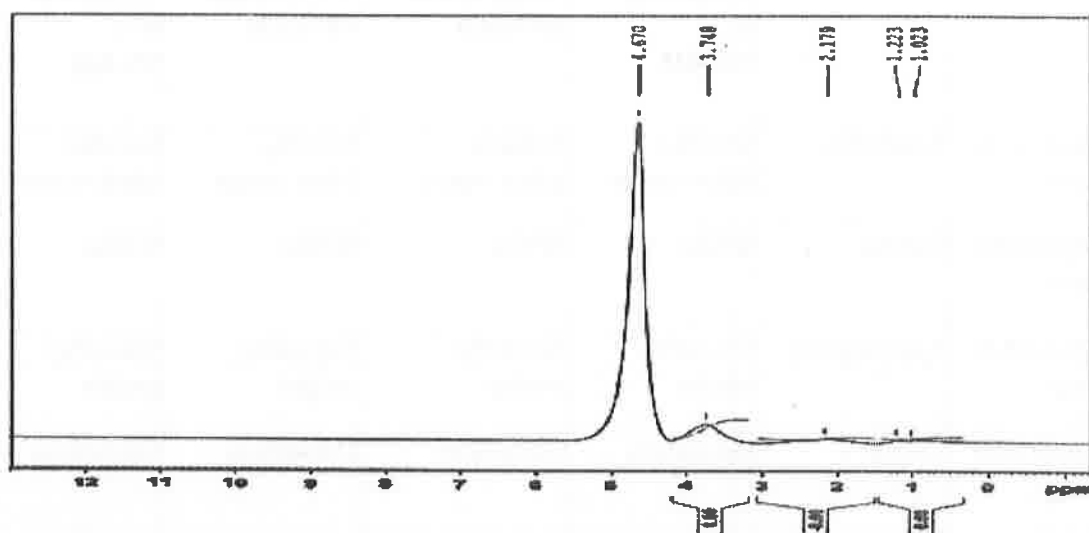


Fig. 15 : <sup>1</sup>H - NMR spectrum of CS-NMM Table 5.6: Data of <sup>1</sup>H-NMR spectrum for CS-NMM

Chemical shift (PPM)	Nature of protons	Approximate number of protons
1.023	R-CH <sub>3</sub>	1
1.223	R-CH <sub>2</sub> -R	1
2.179	R-(C=O)-CH <sub>3</sub> / R <sub>2</sub> -N-CH <sub>3</sub>	1
3.748	R-CH <sub>2</sub> -X (X=Cl, Br, I) /R-O-CH <sub>2</sub> -R/ R-(C=O)-O-CH <sub>2</sub> -R/ HO-CH <sub>2</sub> -R	2
4.670	R <sub>2</sub> -C=CH <sub>2</sub>	2

**Inference:** The chemical composition of the CS-NMM was identified by the <sup>13</sup>C and <sup>1</sup>H-NMR. The spectrum is shown in the fig. 5.3 to 5.4. The reports suggest the

presence of OH and COOH groups. The IR and NMR spectrum showed the presence of polysaccharides in CS-NMM.

### 5.1.3. Stability testing studies

**Table:15. Physical stability studies of extracted CS-NMM**

Model	Parameter	25°C ± 2 °C/60%RH H ± 5%RH	40 °C ± 2 °C/65%RH ±5%RH	40°C ± 2 °C/75%RH ±5%RH	8°C ± 2 °C/60%RH H ± 5%RH
Powdered extract	Solubility	Soluble in hot water	Soluble in hot water	Soluble in hot water	Soluble in hot water
Powdered extract	Colour	White	White	White	White
Powdered extract	Appearance	Smooth powder	Smooth powder	Smooth powder	Smooth powder
Powdered extract	Odour	Odourless	Odourless	Odourless	Odourless
Powdered extract	Sterility studies	Absence of microbial growth	Absence of microbial growth	Absence of microbial growth	Absence of microbial growth

## 5.2 Preliminary studies for the preparation of spherical agglomerates

### 5.2.1 Selection of bridging liquid, poor solvent

and good solvent Table 16: Solubility studies of drugs

	Glipizide	Empagliflozin
Composition	Observations	Observations
100mg drug + 1ml dichloromethane	Soluble	Soluble
100 mg drug + 1 ml acetone	Soluble	Slightly soluble
100 mg drug + 1 ml methanol	Insoluble	Sparingly soluble
100 mg drug + 1 ml alcohol	Insoluble	Sparingly soluble
100 mg drug + 1 ml water	Insoluble	Almost insoluble
100mg of drug + 1ml of toluene	Insoluble	Insoluble

From the above studies, dichloromethane was selected as a good solvent (internal phase) while water is selected as a poor solvent (external phase).

### 5.2.2. Selection of bridging liquids:

Dichloromethane was found to be miscible with chloroform in proportions from 1:1 to 1:5, while it was immiscible with glycerine and n-hexane in different proportions. So, chloroform was selected as a bridging liquid.

### 5.2.3 Preliminary selection of process parameters affecting the formation of spherical agglomerates

Table 17: Preliminary trials for drug spherical agglomerates

S.No.	Procedure	Observations
1.	100mg of the selected drug was dissolved in 1 ml of dichloromethane. The drug solution was added dropwise at a rate of 1 ml/min to 50ml of water stirred on a magnetic stirrer at 600rpm.	Irregular shaped crystals of drug were obtained immediately.
2.	100 mg of drug is dissolved in 1 ml of dichloromethane. The drug solution was added dropwise at a rate of 1 ml/min to 50 ml of water containing 50% v/v of chloroform stirred on a magnetic stirrer at 600rpm.	Spherical agglomerates of drug particles were obtained.
3.	100 mg of drug is dissolved in 1 ml of dichloromethane. To this solution 10 ml of chloroform was added. The drug solution was added dropwise at a rate of 1 ml/min to 50 ml of water stirred on a magnetic stirrer at 200rpm, 400rpm, 600rpm and 1000rpm respectively.	The results of characterization of product obtained by different batches were shown in table no. 5.8.
4.	100mg of drug is dissolved in 1 ml of dichloromethane. To this solution 1ml, 3ml and 5ml of chloroform was added. The drug solution was added dropwise to 50 ml of water at a rate of 1 ml/min. stirred on a magnetic stirrer at 1000 rpm to find out the optimum stirring time (10, 20, 30 and 40 minutes) after addition of entire drug solution.	The results of product characteristics obtained by various batches were shown in table no. 5.7.

Here, chloroform increased the viscosity of the internal phase and stabilized the spherical agglomerates of drug formed.

**Table 5.10: Effect of stirring speed on characteristics of spherical agglomerates**

Stirring speed	Characteristics of the product		
	Sphericity	Matrix	No. of particles
400	+	+	+
600	++	++	++
800	++	+	+++
1000	+++	--	++++

From the above results, it was decided to keep the stirring speed at 1000 rpm for further studies since spherical agglomerates with good sphericity without any matrix of particles were obtained at this speed.

**Table 18: Effect of %v/v chloroform in internal phase on product characteristics**

ml of chloroform in internal phase	Stirring time after addition of drug solution (minutes)	Sphericity	Particle agglomeration	Matrix of particles	No. of particles
20	10	+	+	+	+
20	20	+	++	++	+
20	30	++	++	-	++
20	40	++	++	++	++
30	10	+	++	+	++
30	20	++	++	++	++
30	30	+++	++	+	++++
<b>30</b>	<b>40</b>	<b>+++</b>	<b>+++</b>	<b>--</b>	<b>++++</b>
40	10	+	+	+	++
40	20	+	++	+	++
40	30	++	++	++	++
40	40	++	+++	++	+++

From the above results, the stirring time was fixed at 40 minutes after the addition of entire drug solution. Stirring time of 40 minutes gave a product with more spherical agglomerates with good sphericity without any matrix of particles.

### 5.3 Organoleptic characteristics:

**Table 19: Organoleptic properties of prepared tablets of fempagliflozin**

S.No.	Properties	Observation
1.	Description	Round in shape
2.	Colour	White
3.	Taste	Tasteless
4.	Odour	Odourless
5.	Elegance and surface texture	Smooth

### **5.7. Preparation and characterization of fempagliflozin spherical agglomerates**

A total of 24 formulations were made using different polymers namely *caesalpinia spinosa*, HPMC K100M, ethyl cellulose, sodium alginate out of which F1-F12 were formulated using spherical agglomeration technique and F13-F24 were formulated with API of fempagliflozin

**Table 20: Formulation chart**

Spherical agglomerates of empagliflozin													Empagliflozin API											
Ingredients(mg)	F1	F2	F3	F4	F5	F6	F7	F8	F9	F10	F11	F12	F13	F14	F15	F16	F17	F18	F19	F20	F21	F22	F23	F24
Drug	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
MCC	128.5	118.5	108.5	128.5	118.5	108.5	128.5	118.5	108.5	128.5	118.5	108.5	128.5	118.5	108.5	128.5	118.5	108.5	128.5	118.5	108.5	128.5	118.5	108.5
Caesalpinia spinosa	10	20	30	-	-	-	-	-	-	-	-	-	10	20	30	-	-	-	-	-	-	-	-	-
HPMCK 100M	-	-	-	10	20	30	-	-	-	-	-	-	-	-	-	10	20	30	-	-	-	-	-	-
Sodium alginate	-	-	-	-	-	-	10	20	30	-	-	-	-	-	-	-	-	-	10	20	30	-	-	-
Ethylcellulose	-	-	-	-	-	-	-	-	-	10	20	30	-	-	-	-	-	-	-	-	-	10	20	30
Mg stearate	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5
Total(mg)	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150	150

The salient features of the above chart reveals that the polymers are used in the formulation because they prolong the drug release which further suits the rate limiting characteristics of the drug, MCC is used to improve the bulkness of the tablet. The tablets were directly compressed to its total weight of 150 mg. The prepared tablets were further evaluated for pre-compression and post-compression parameters.





## 5.7. Micromeritic properties

All the prepared formulations of empagliflozin spherical agglomerates and API were subjected to preformulation studies and the values obtained were within the limits. The values were given in table: 5.62.

**Table 21: Preformulation studies for empagliflozin**

Formulation code	Bulk density (g/cm <sup>3</sup> )	Tapped density (g/cm <sup>3</sup> )	Carr's index (%)	Hausner's ratio	Angle of repose (°)	Diameter (mm)
F1	0.33±0.01	0.38±0.05	13.33±0.11	1.15±0.02	33.12±0.11	7.01±0.02
F2	0.35±0.03	0.38±0.03	6.98±0.09	1.08±0.06	27.32±0.12	7.08±0.01
F3	0.31±0.01	0.35±0.04	10.42±0.16	1.12±0.08	31.11±0.11	7.03±0.03
F4	0.33±0.05	0.37±0.05	10.87±0.07	1.12±0.07	31.52±0.16	6.92±0.01
F5	0.36±0.02	0.38±0.08	7.14±0.07	1.08±0.03	27.69±0.15	7.11±0.02
F6	0.32±0.06	0.38±0.02	14.89±0.12	1.18±0.05	35.28±0.09	6.89±0.03
F7	0.35±0.02	0.38±0.05	9.30±0.03	1.10±0.01	29.31±0.17	6.92±0.02
F8	0.33±0.03	0.37±0.06	8.89±0.06	1.10±0.08	29.17±0.16	7.14±0.04
F9	0.37±0.01	0.43±0.01	14.63±0.17	1.17±0.06	34.63±0.19	7.06±0.02
F10	0.32±0.09	0.36±0.08	10.64±0.16	1.12±0.09	31.88±0.14	6.95±0.02
F11	0.38±0.05	0.43±0.02	10.26±0.11	1.11±0.03	30.51±0.13	7.02±0.03
F12	0.33±0.04	0.38±0.04	11.11±0.12	1.13±0.07	32.27±0.06	7.04±0.01
F13	0.41±0.03	0.47±0.02	13.51±0.16	1.16±0.06	33.71±0.03	7.19±0.02
F14	0.43±0.06	0.48±0.01	11.43±0.09	1.13±0.04	32.64±0.13	6.85±0.01
F15	0.31±0.03	0.36±0.06	12.50±0.07	1.14±0.01	33.7±0.07	7.13±0.03
F16	0.33±0.05	0.37±0.04	10.87±0.02	1.12±0.07	31.29±0.02	6.91±0.02
F17	0.27±0.01	0.30±0.05	10.71±0.06	1.12±0.02	31.75±0.04	7.03±0.01
F18	0.33±0.04	0.38±0.04	13.33±0.03	1.15±0.05	33.95±0.03	7.09±0.01
F19	0.36±0.01	0.43±0.07	16.67±0.05	1.20±0.03	37.27±0.13	7.15±0.03
F20	0.39±0.06	0.45±0.09	13.16±0.07	1.15±0.06	33.62±0.02	7.13±0.01
F21	0.48±0.02	0.58±0.03	16.13±0.05	1.19±0.03	36.38±0.03	7.03±0.02
F22	0.43±0.03	0.50±0.06	14.29±0.03	1.17±0.07	34.96±0.11	6.92±0.04
F23	0.41±0.01	0.45±0.05	10.81±0.01	1.12±0.04	31.69±0.15	6.89±0.01
F24	0.35±0.02	0.39±0.06	11.63±0.06	1.13±0.03	32.56±0.14	7.05±0.03

**Inference:** In pre-compression parameters the bulk density of empagliflozin it was within the range of 0.27 ± 0.01-0.48 ± 0.02. Carr's index was found to be within the range of 6.98-16.67 ± 0.09, hausner's ratio was in the range 1.08- 1.18 ± 0.06. Angle of repose was found to be within the range of 27.32-37.27±0.12 (The flow property

was found to be in passable limits) and diameter was found to be within the range 7.01-7.15 $\pm$  0.01.

### Postcompression parameters

All the prepared formulations of empagliflozin spherical agglomerates and API were subjected to post compression studies and the values obtained were within the limits. The values were given in table: 5.63.

**Table 22: Postcompression studies for empagliflozin**

Formulation code	Weight Variation(%)	Thickness(mm)	Hardness(Kg/cm <sup>2</sup> )	Friability(%)	Drug content(%)
F1	Pass	2.52 $\pm$ 0.06	8.23 $\pm$ 0.11	0.32 $\pm$ 0.01	96.01 $\pm$ 0.14
F2	Pass	2.57 $\pm$ 0.01	8.10 $\pm$ 0.02	0.15 $\pm$ 0.05	96.82 $\pm$ 0.18
F3	Pass	2.49 $\pm$ 0.04	8.31 $\pm$ 0.05	0.41 $\pm$ 0.03	99.85 $\pm$ 0.13
F4	Pass	2.52 $\pm$ 0.08	8.17 $\pm$ 0.02	0.27 $\pm$ 0.04	97.03 $\pm$ 0.21
F5	Pass	2.55 $\pm$ 0.06	7.96 $\pm$ 0.07	0.35 $\pm$ 0.02	97.05 $\pm$ 0.16
F6	Pass	2.57 $\pm$ 0.04	8.21 $\pm$ 0.03	0.16 $\pm$ 0.04	97.11 $\pm$ 0.18
F7	Pass	2.52 $\pm$ 0.02	8.13 $\pm$ 0.06	0.24 $\pm$ 0.02	97.36 $\pm$ 0.13
F8	Pass	2.54 $\pm$ 0.07	8.31 $\pm$ 0.03	0.12 $\pm$ 0.05	97.28 $\pm$ 0.13
F9	Pass	2.46 $\pm$ 0.02	7.89 $\pm$ 0.07	0.05 $\pm$ 0.03	98.31 $\pm$ 0.19
F10	Pass	2.57 $\pm$ 0.01	8.06 $\pm$ 0.03	0.26 $\pm$ 0.06	96.29 $\pm$ 0.13
F11	Pass	2.51 $\pm$ 0.07	7.94 $\pm$ 0.02	0.36 $\pm$ 0.04	97.69 $\pm$ 0.16
F12	Pass	2.48 $\pm$ 0.03	8.16 $\pm$ 0.01	0.41 $\pm$ 0.03	97.85 $\pm$ 0.16
F13	Pass	2.46 $\pm$ 0.01	8.32 $\pm$ 0.06	0.28 $\pm$ 0.06	97.31 $\pm$ 0.18
F14	Pass	2.55 $\pm$ 0.06	8.16 $\pm$ 0.11	0.22 $\pm$ 0.04	98.03 $\pm$ 0.14
F15	Pass	2.53 $\pm$ 0.04	8.17 $\pm$ 0.14	0.16 $\pm$ 0.07	99.56 $\pm$ 0.13
F16	Pass	2.46 $\pm$ 0.01	8.25 $\pm$ 0.08	0.19 $\pm$ 0.04	96.93 $\pm$ 0.17
F17	Pass	2.42 $\pm$ 0.03	8.17 $\pm$ 0.03	0.07 $\pm$ 0.05	97.52 $\pm$ 0.14
F18	Pass	2.51 $\pm$ 0.02	8.35 $\pm$ 0.02	0.31 $\pm$ 0.03	97.67 $\pm$ 0.17
F19	Pass	2.47 $\pm$ 0.07	7.8 $\pm$ 0.01	0.39 $\pm$ 0.05	98.34 $\pm$ 0.14
F20	Pass	2.56 $\pm$ 0.04	8.09 $\pm$ 0.03	0.16 $\pm$ 0.04	98.52 $\pm$ 0.18
F21	Pass	2.39 $\pm$ 0.02	8.28 $\pm$ 0.04	0.26 $\pm$ 0.04	99.34 $\pm$ 0.14
F22	Pass	2.55 $\pm$ 0.01	8.35 $\pm$ 0.01	0.24 $\pm$ 0.02	96.04 $\pm$ 0.19
F23	Pass	2.41 $\pm$ 0.04	7.86 $\pm$ 0.04	0.17 $\pm$ 0.01	96.08 $\pm$ 0.21
F24	Pass	2.52 $\pm$ 0.01	8.19 $\pm$ 0.07	0.12 $\pm$ 0.03	96.38 $\pm$ 0.27

**Inference:** In postcompression parameters the weight variation for all the formulations were found to be within the limits. The thickness was in the range of 2.39mm -2.57mm $\pm$ 0.04. Hardness was found to be in the range of 7.8-8.35kg/cm<sup>2</sup>

$\pm 0.01$ , friability was found to be in the range of  $0.05-0.41 \pm 0.03\%$  and drug content was found to be in the range of  $96.01-99.85 \pm 0.21\%$ .

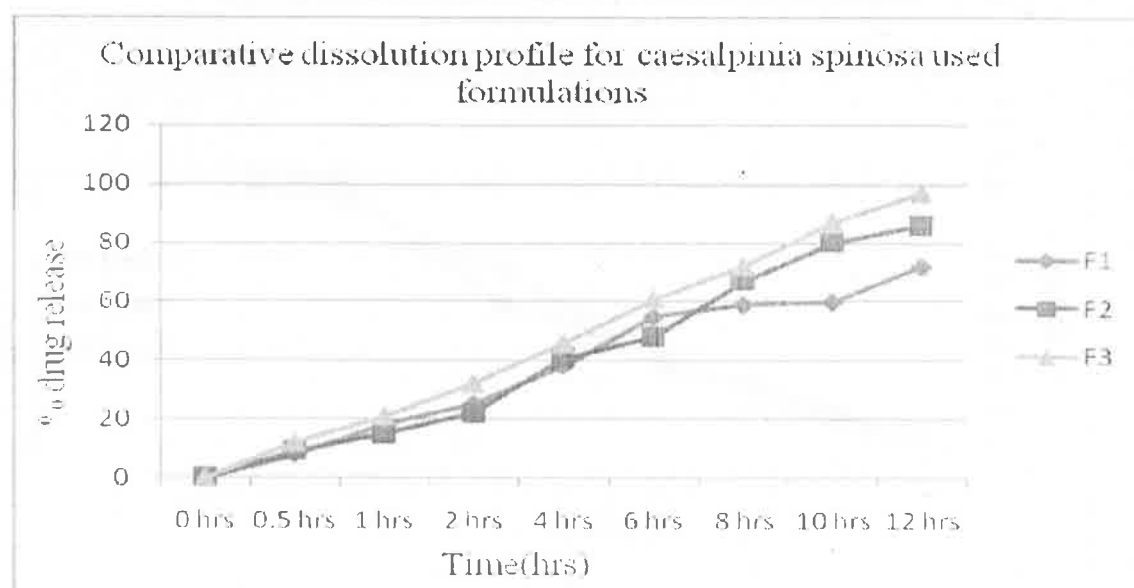
## 5.8. Dissolution studies and kinetic studies for empagliflozin formulations

### 5.8.1. Dissolution studies for empagliflozin formulations:

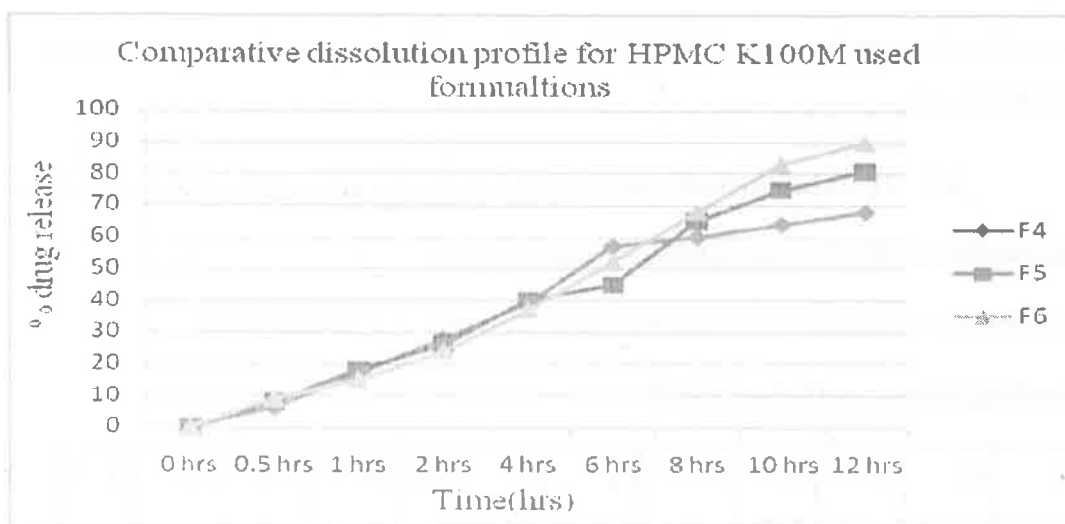
The prepared formulations were subjected to dissolution studies for F1-F12 formulations

**Table 23:** *In vitro* drug release profile for F1-F12

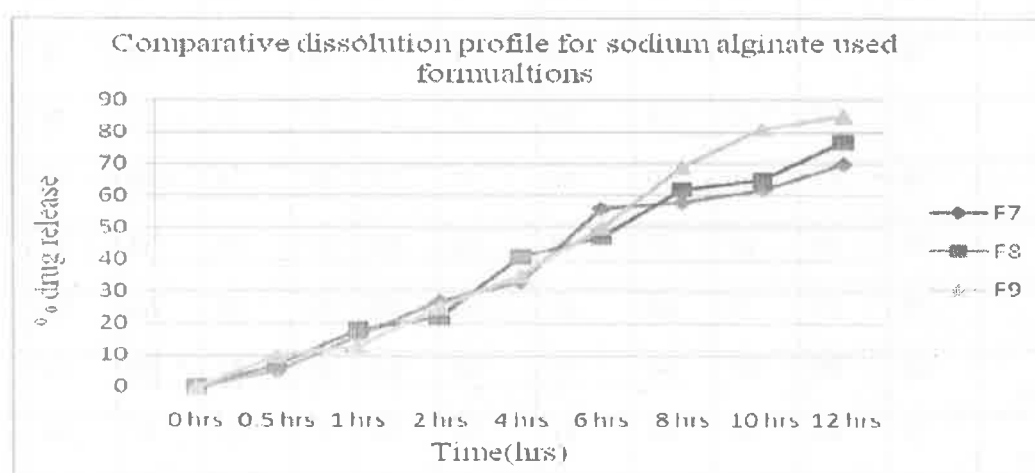
time hrs	F1	F2	F3	F4	F5	F6	F7	F8	F9	F10	F11	F12
0 hrs	0	0	0	0	0	0	0	0	0	0	0	0
0.5 hrs	8	9	12	6	8	9	5	7	10	5	9	7
1 hrs	18	15	21	17	18	15	16	18	13	16	18	15
2 hrs	25	22	32	28	26	24	27	22	25	22	26	27
4 hrs	38	41	46	39	40	37	33	41	35	40	36	33
6 hrs	55	48	61	57	45	52	56	47	50	54	44	56
8 hrs	59	67	72	60	65	68	58	62	69	64	63	60
10 hrs	60	80	87	64	75	83	62	65	81	78	83	75
12 hrs	72	86	97	68	81	90	70	77	85	81	88	90



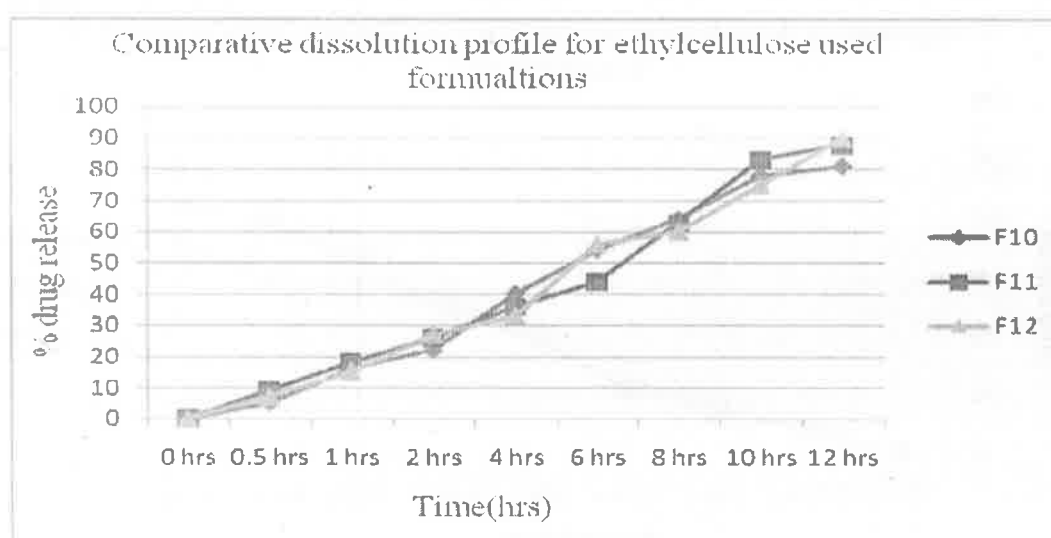
**Fig 17:** Comparative dissolution profiles for formulations F1-F3



**Fig18:ComparativedissolutionprofilesforformulationsF4-F6**



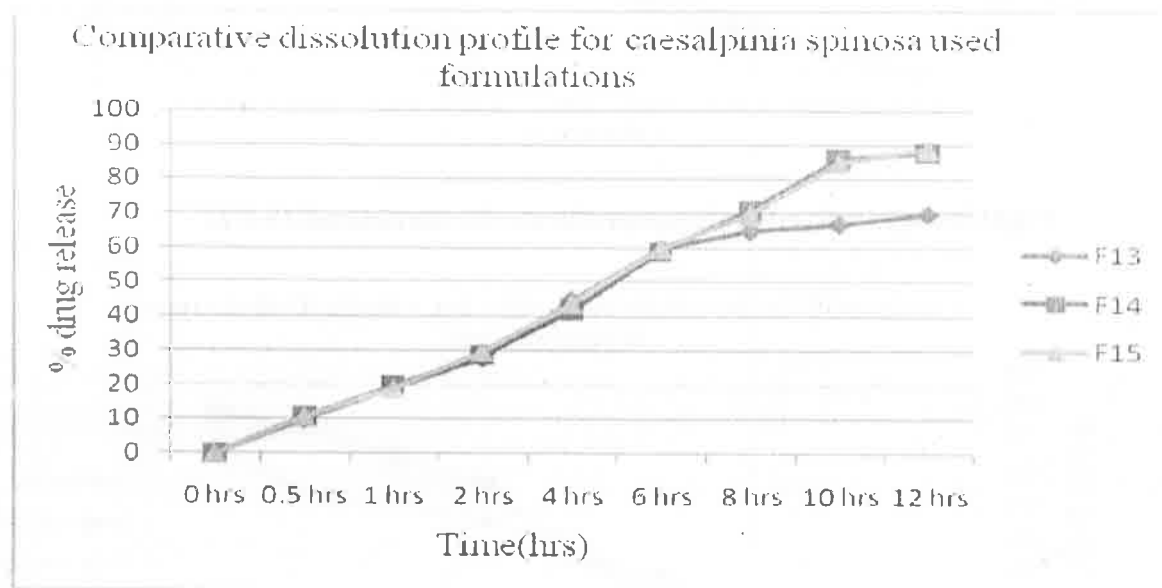
**Fig19:ComparativedissolutionprofilesforformulationsF7-F9**



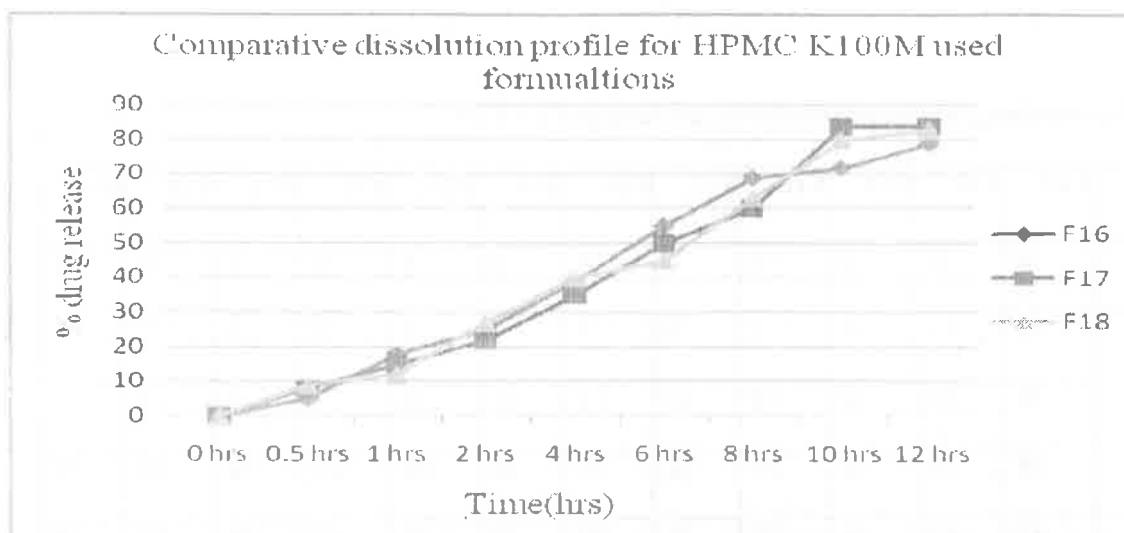
**Fig20: ComparativedissolutionprofilesforformulationsF10-F12**

**Table24:InvitrodrugreleaseprofileforformulationsF13-F24**

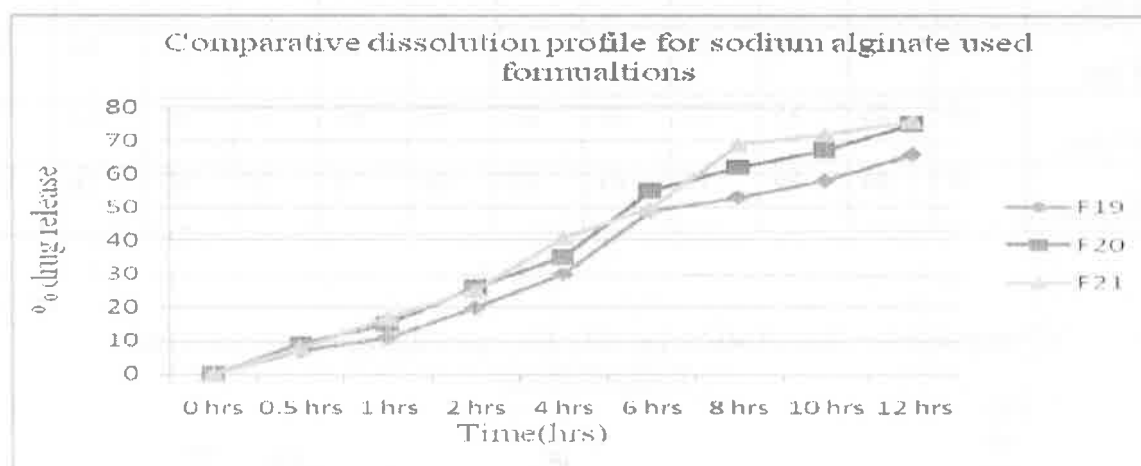
time hrs	F13	F14	F15	F16	F17	F18	F19	F20	F21	F22	F23	F24
0 hrs	0	0	0	0	0	0	0	0	0	0	0	0
0.5hrs	10	11	11	5	8	9	7	9	8	4	5	9
1 hrs	19	20	19	18	15	12	11	15	17	15	13	11
2 hrs	28	29	30	25	22	27	20	26	25	28	20	25
4 hrs	45	42	44	39	35	40	30	35	41	34	36	38
6 hrs	60	59	60	55	50	45	49	55	50	45	56	52
8 hrs	65	71	70	69	60	63	53	62	69	50	65	60
10 hrs	67	86	85	72	84	80	58	67	72	53	73	72
12 hrs	70	88	89	79	84	83	66	75	76	67	78	75



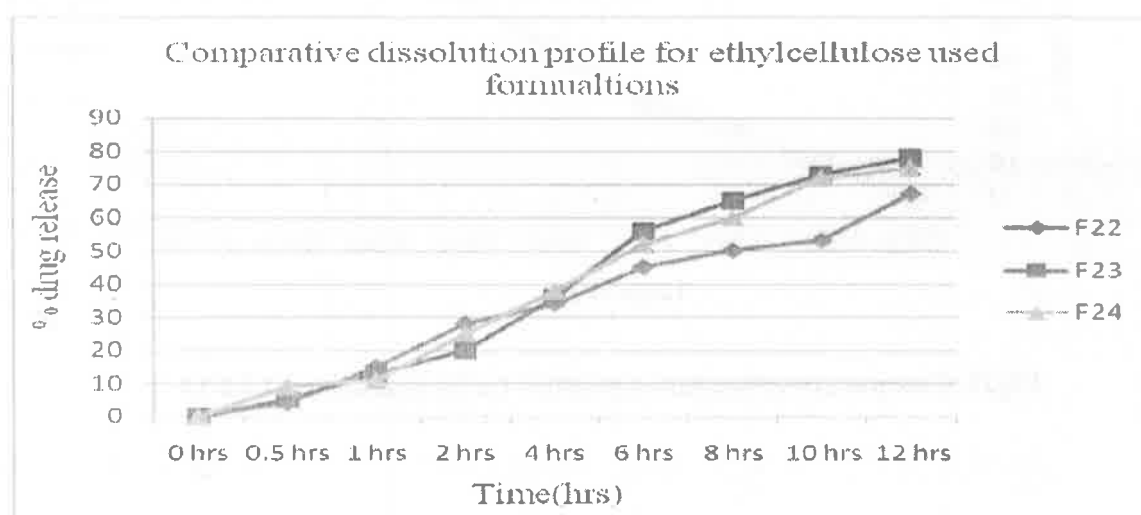
**Fig21:Comparativedissolutionprofiles forformulationsF13-F15**



**Fig22:ComparativedissolutionprofilesforformulationsF16-F18**



**Fig23:ComparativedissolutionprofilesforformulationsF19-F21**



**Fig24: ComparativedissolutionprofilesforformulationsF22-F24**

### 5.12.1. Firstorder

The prepared empagliflozin formulations were subjected to dissolution studies and the following represents first order kinetics for F1-F24 formulations

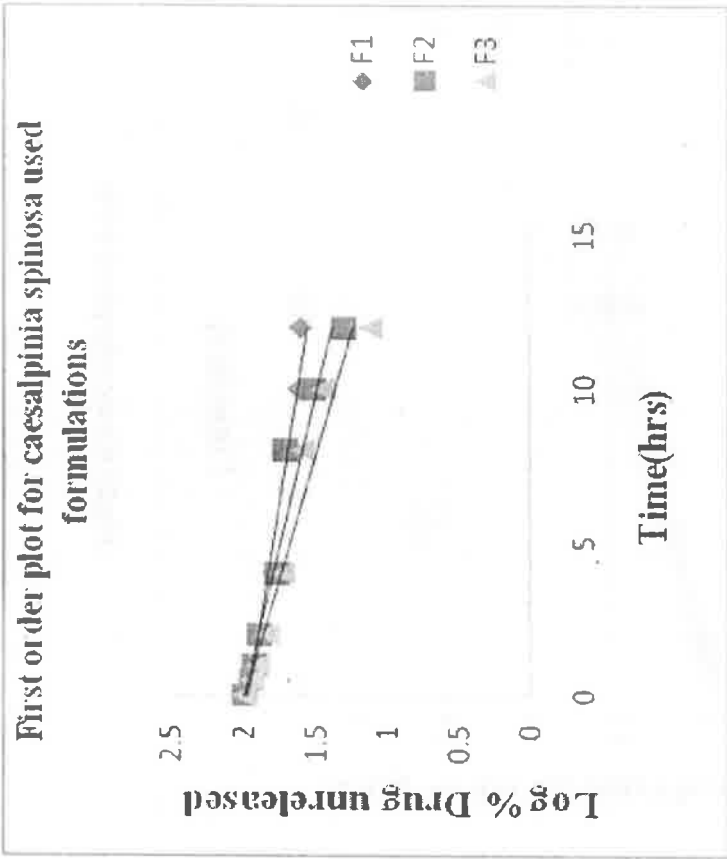


Fig24 First orderplotF1-F3

Table24:FirstorderdataF1-F3

Time(hrs)	F1	F2	F3
0 hrs	2	2	2
0.5hrs	1.963788	1.959041	1.944483
1 hrs	1.913814	1.929419	1.897627
2 hrs	1.875061	1.892095	1.832509
4 hrs	1.792392	1.770852	1.732394
6 hrs	1.653213	1.716003	1.591065
8 hrs	1.612784	1.518514	1.447158
10 hrs	1.60206	1.30103	1.113943
12 hrs	1.447158	1.146128	0.477121
R <sup>2</sup>	0.960	0.939	0.944

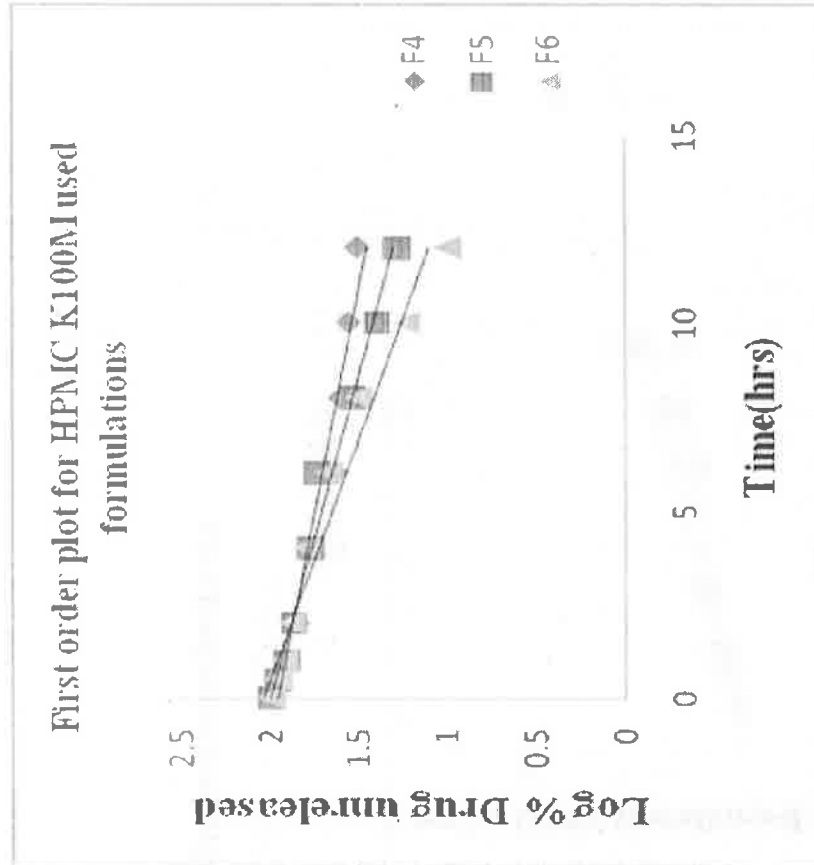


Fig25 First order plot F4-F6

Time(hrs)	F4	F5	F6
0	2	2	2
0.5	1.973128	1.963788	1.959041
1	1.919078	1.913814	1.929419
2	1.857332	1.869232	1.880814
4	1.78533	1.778151	1.799341
6	1.633468	1.740363	1.681241
8	1.60206	1.544068	1.50515
10	1.556303	1.39794	1.230449
12	1.50515	1.278754	1
R <sup>2</sup>	0.956	0.980	0.958

Table25:First order data F4-F6



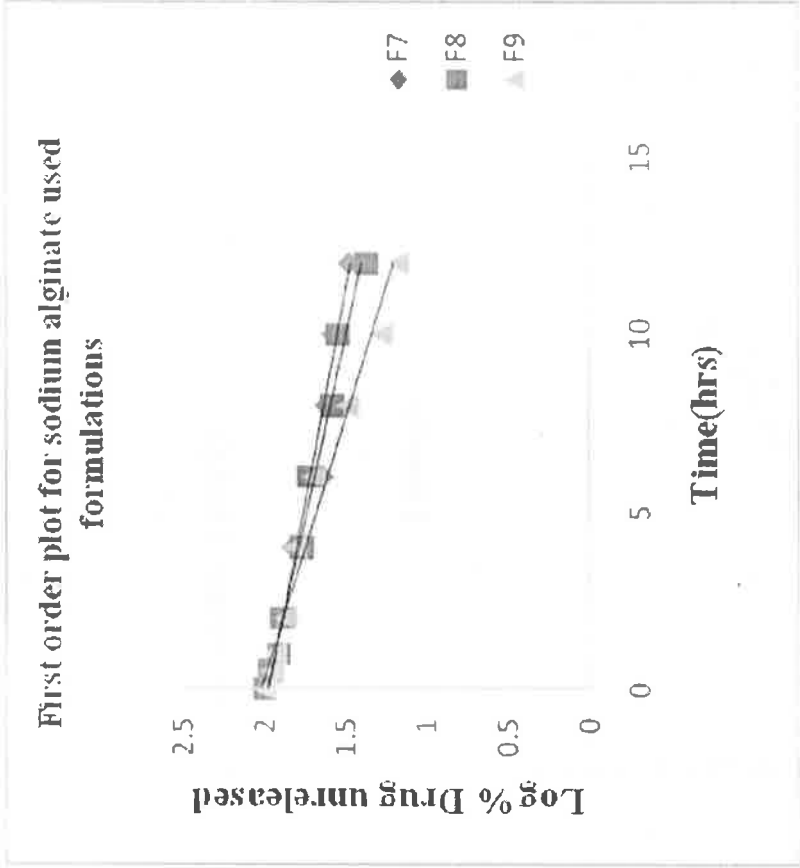


Fig26 FirstorderplotF7-F9

Time(hrs)	F7	F8	F9
0	2	2	2
0.5	1.977724	1.968483	1.954243
1	1.924279	1.913814	1.939519
2	1.863323	1.892095	1.875061
4	1.826075	1.770852	1.812913
6	1.643453	1.724276	1.69897
8	1.623249	1.579784	1.491362
10	1.579784	1.544068	1.278754
12	1.477121	1.361728	1.176091
R <sup>2</sup>	0.967	0.984	0.975

Table 26:FirstorderdataF7-F9

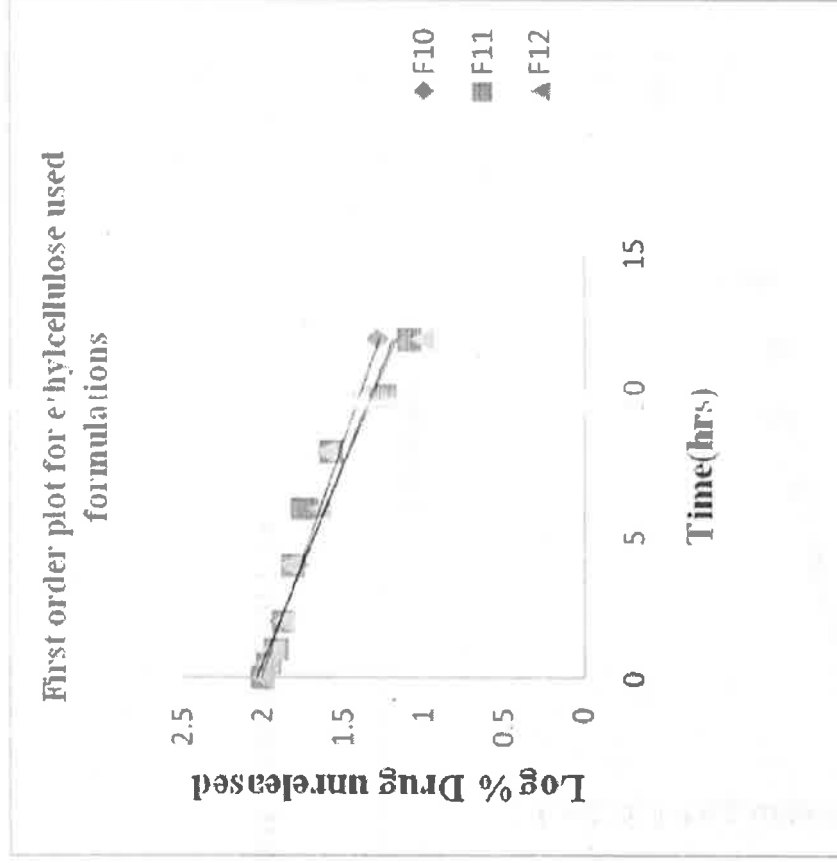


Fig27 First orderplot F10-F12

Time(hrs)	F10	F11	F12
0	2	2	2
0.5	1.977724	1.959041	1.968483
1	1.924279	1.913814	1.929419
2	1.892095	1.869232	1.863323
4	1.778151	1.80618	1.826075
6	1.662758	1.748188	1.643453
8	1.556303	1.568202	1.60206
10	1.342423	1.230449	1.39794
12	1.278754	1.079181	1
R <sup>2</sup>	0.990	0.933	0.919

Table27:First orderdataF10-F12

First order plot for caesalpinia spinosa  
used formulations

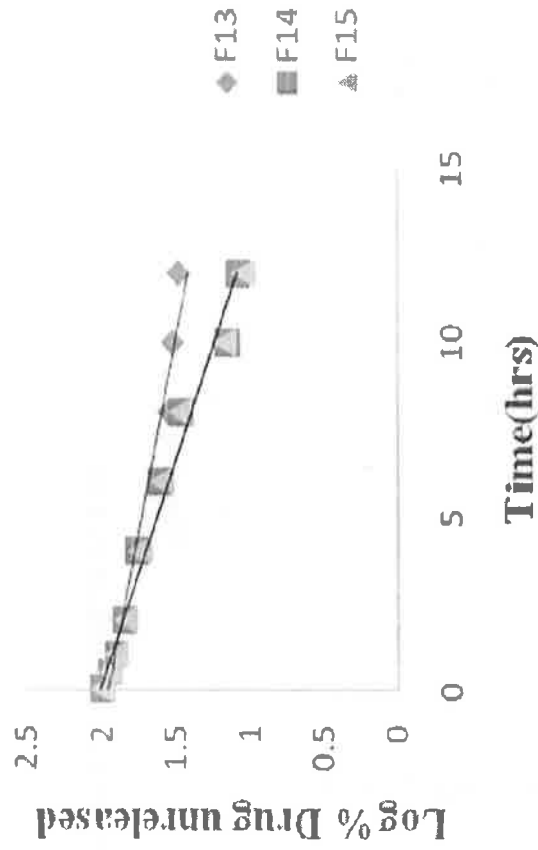


Fig28 FirstorderplotF13-F15

Table28:FirstorderdataF13-F15

Time(hrs)	F13	F14	F15
0	2	2	2
0.5	1.954243	1.94939	1.94939
1	1.908485	1.90309	1.908485
2	1.857332	1.851258	1.845098
4	1.740363	1.763428	1.748188
6	1.60206	1.612784	1.60206
8	1.544068	1.462398	1.477121
10	1.518514	1.146128	1.176091
12	1.477121	1.079181	1.041393
R <sup>2</sup>	0.946	0.975	0.979

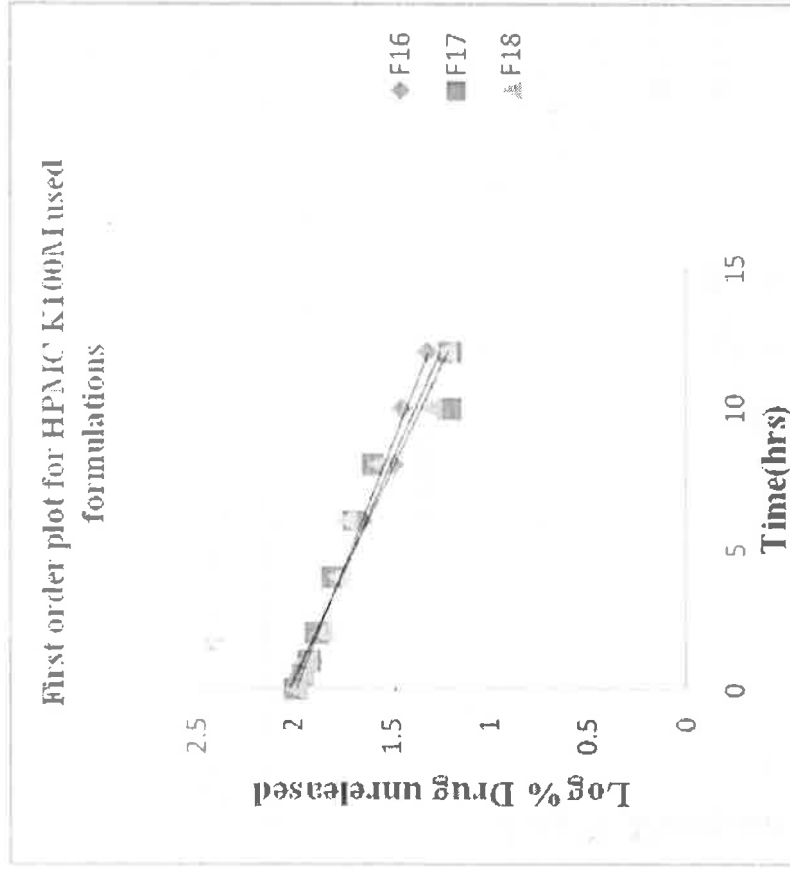


Fig29 FirstorderplotF16-F18

Time(hrs)	F16	F17	F18
0	2	2	2
0.5	1.977724	1.963788	1.959041
1	1.913814	1.929419	1.944483
2	1.875061	1.892095	1.863323
4	1.78533	1.812913	1.778151
6	1.653213	1.69897	1.740363
8	1.491362	1.60206	1.568202
10	1.447158	1.20412	1.30103
12	1.322219	1.20412	1.230449
R <sup>2</sup>	0.992	0.936	0.963

Table29:FirstorderdataF16-F18

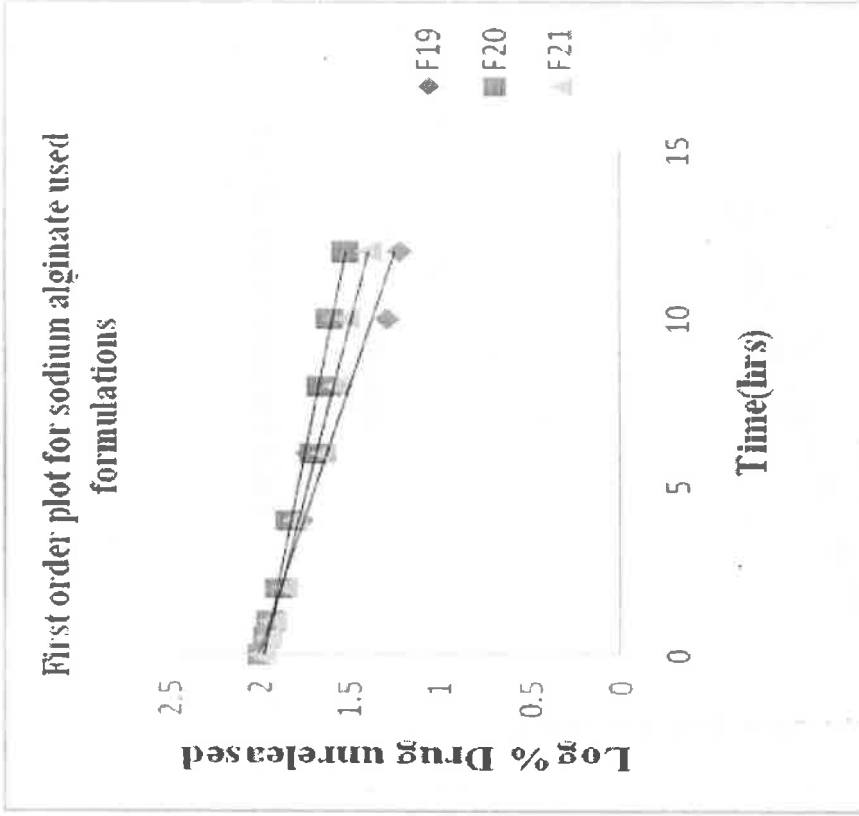


Fig30 FirstorderplotF19-F21

Time(hrs)	F19	F20	F21
0	2	2	2
0.5	1.959041	1.968483	1.959041
1	1.944483	1.94939	1.929419
2	1.863323	1.90309	1.869232
4	1.778151	1.845098	1.812913
6	1.740363	1.70757	1.653213
8	1.568202	1.672098	1.579784
10	1.30103	1.623249	1.518514
12	1.230449	1.531479	1.39794
R <sup>2</sup>	0.963	0.980	0.991

Table30:FirstorderdataF19-F21

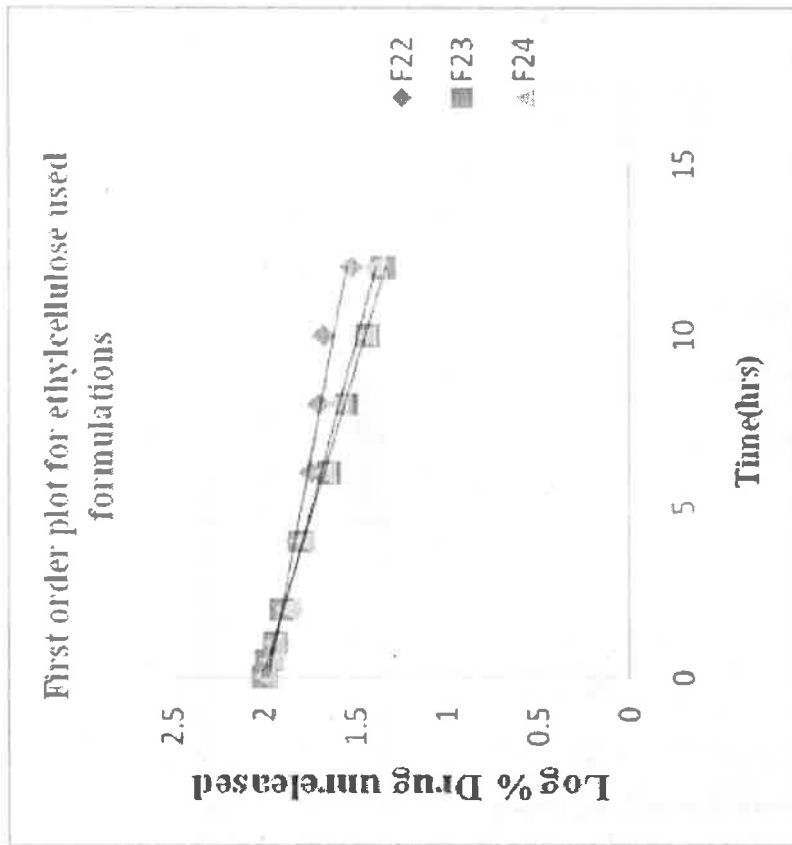


Fig31 FirstorderplotF22-F24

Time(hrs)	F22	F23	F24
0	2	2	2
0.5	1.982271	1.977724	1.959041
1	1.929419	1.939519	1.94939
2	1.857332	1.90309	1.875061
4	1.819544	1.80618	1.792392
6	1.740363	1.643453	1.681241
8	1.69897	1.544068	1.60206
10	1.672098	1.431364	1.447158
12	1.518514	1.342423	1.39794
R <sup>2</sup>	0.961	0.996	0.994

Table31 :FirstorderdataF22-F24

### 5.12.2. Higuchi data

The prepared emulsion formulations were subjected to dissolution studies and the following represents Higuchi kinetics for F1-F24 formulations

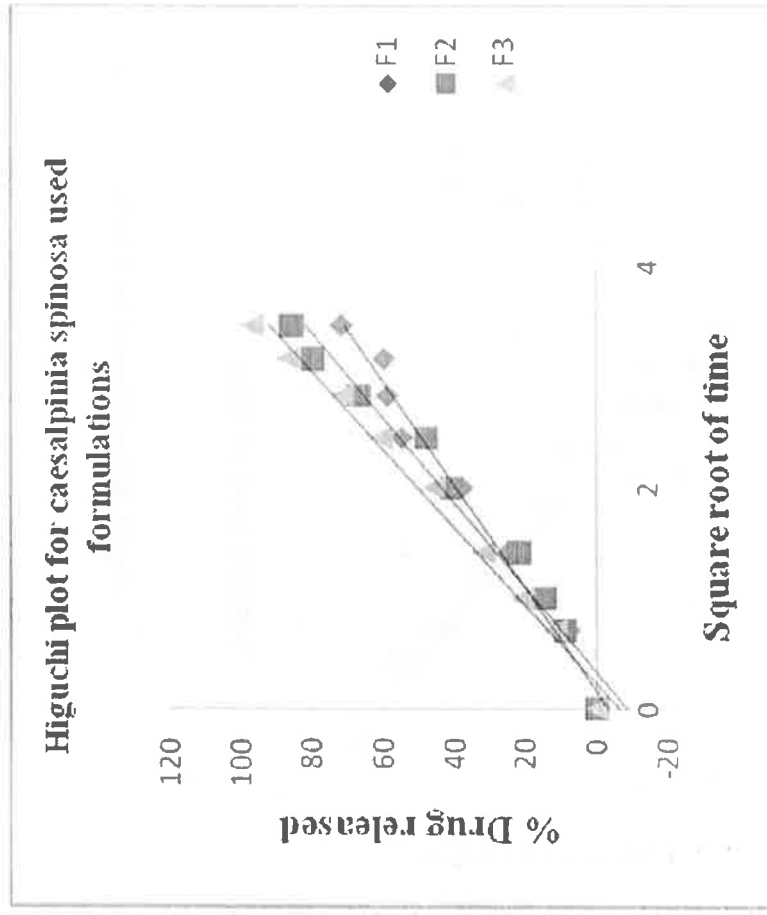


Fig 32 Higuchi's plot for F1-F3

Time(hrs)	SQRt	F1	F2	F3
0	0	0	0	0
0.5	0.707107	8	9	12
1	1	18	15	21
2	1.414214	25	22	32
4	2	38	41	46
6	2.44949	55	48	61
8	2.828427	59	67	72
10	3.162278	60	80	87
12	3.464102	72	86	97
R <sup>2</sup>		0.983	0.970	0.987

Table:3. Higuchi's data F1-F3

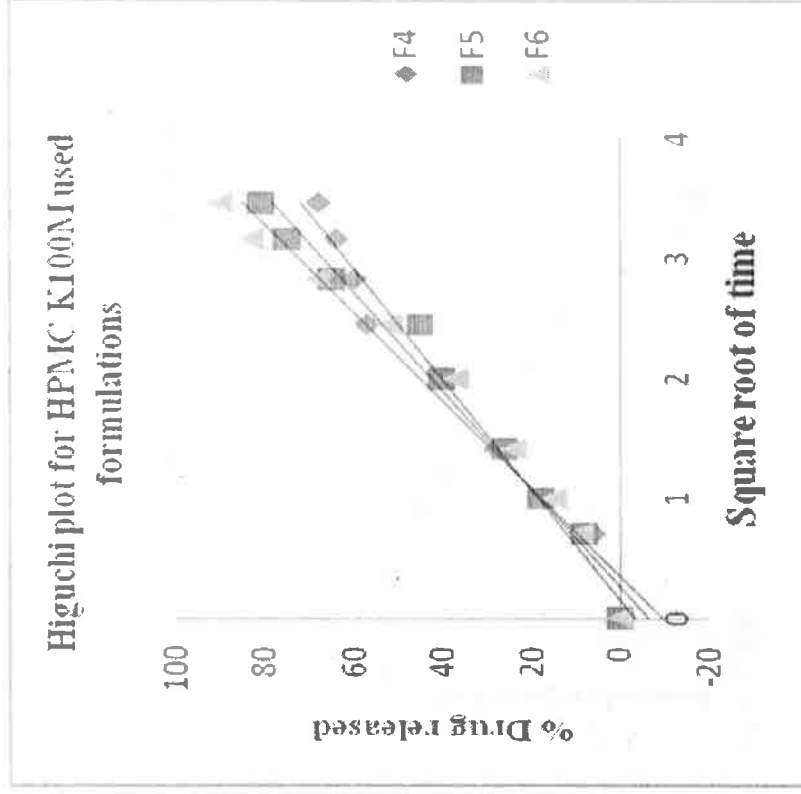


Fig33 Higuchi's plot for F4-F6

Time(hrs)	SQRt	F4	F5	F6
0	0	0	0	0
0.5	0.707107	6	8	9
1	1	17	18	15
2	1.414214	28	26	24
4	2	39	40	37
6	2.44949	57	45	52
8	2.828427	60	65	68
10	3.162278	64	75	83
12	3.464102	68	81	90
R <sup>2</sup>		0.977	0.976	0.967

Table:33Higuchi's dataF4-F6



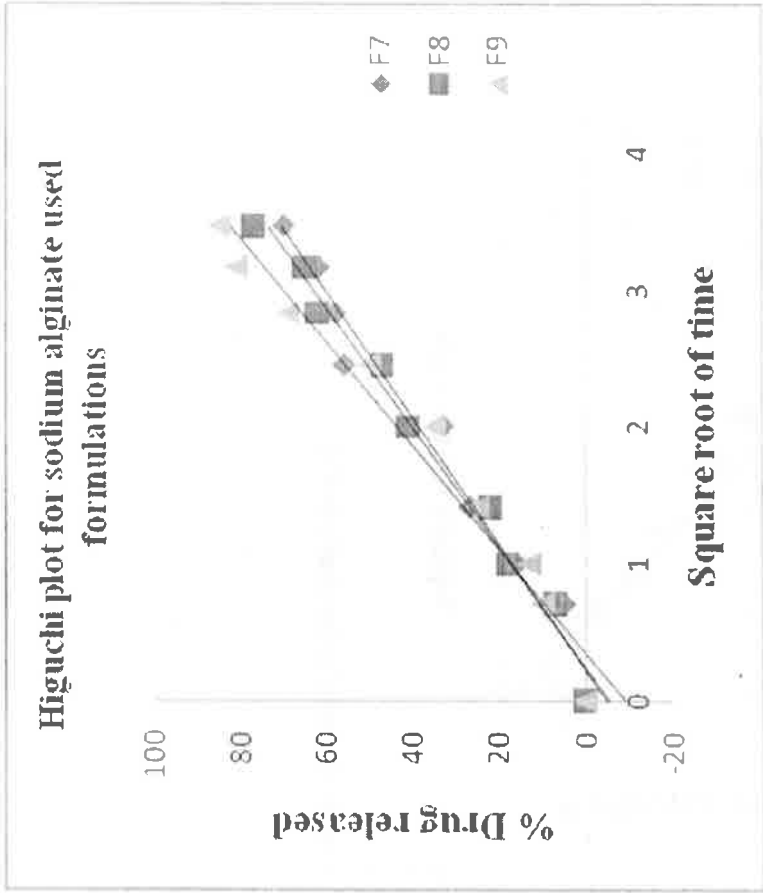


Fig34 Higuchi's plot for F7-F9

Time(hrs)	SQRt	F7	F8	F9
0	0	0	0	0
0.5	0.707107	5	7	10
1	1	16	18	13
2	1.414214	27	22	25
4	2	33	41	35
6	2.44949	56	47	50
8	2.828427	58	62	69
10	3.162278	62	65	81
12	3.464102	70	77	85
R <sup>2</sup>		0.972	0.982	0.965

Table: 34Higuchi's data F7-F9

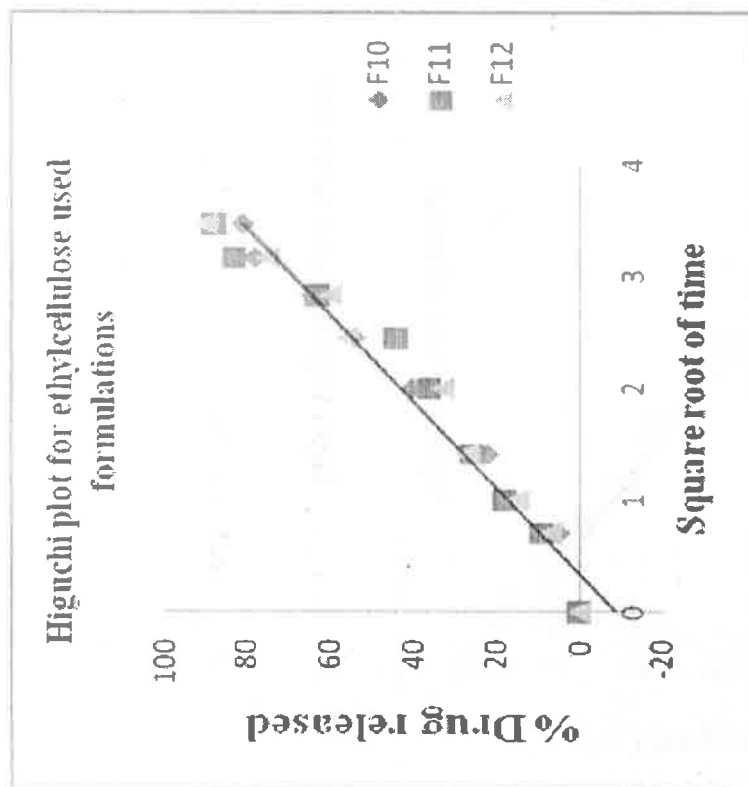


Fig35 Higuchi's plot for F10-F12

Time(hrs)	SQRt	F10	F11	F12
0	0	0	0	0
0.5	0.707107	5	9	7
1	1	16	18	15
2	1.414214	22	26	27
4	2	40	36	33
6	2.44949	54	44	56
8	2.828427	64	63	60
10	3.162278	78	83	75
12	3.464102	81	88	90
R <sup>2</sup>		0.978	0.950	0.962

Table35Higuchi's data F10-F12

Higuchi plot for caesalpinia spinosa used for imulations

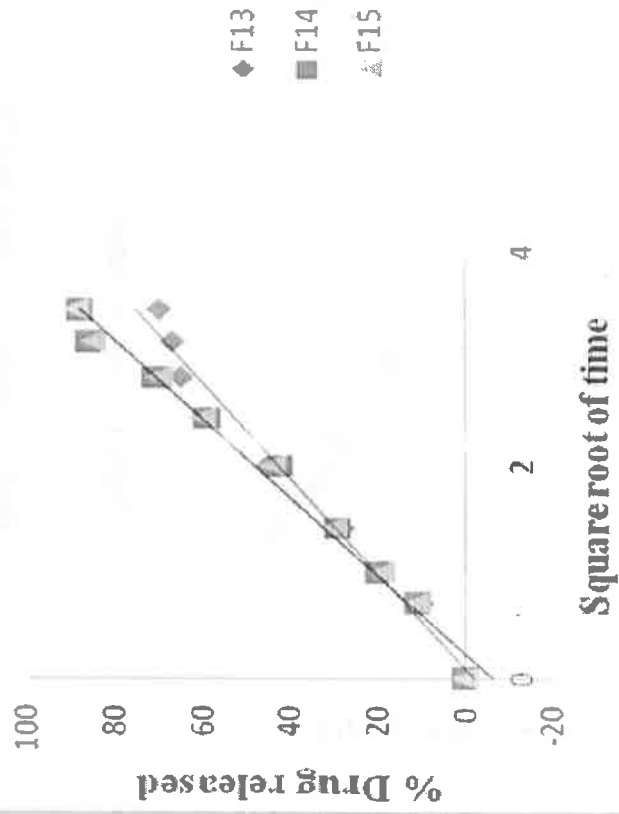
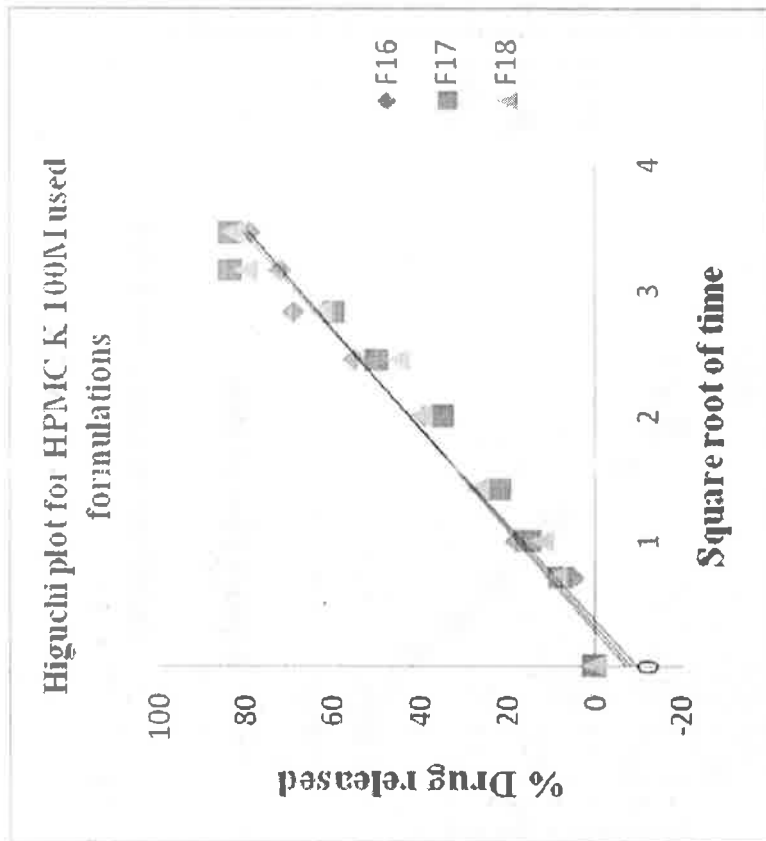


Fig36Higuchi'splot forF13-F15

Time(hrs)	SQRt	F13	F14	F15
0	0	0	0	0
0.5	0.707107	10	11	11
1	1	19	20	19
2	1.414214	28	29	30
4	2	45	42	44
6	2.44949	60	59	60
8	2.828427	65	71	70
10	3.162278	67	86	85
12	3.464102	70	88	89
R <sup>2</sup>		0.977	0.984	0.988

Table:36Higuchi'sdataF13-F15



**Fig37Higuchi's plot for F16-F18**

Time(hrs)	SQRt	F16	F17	F18
0	0	0	0	0
0.5	0.707107	5	8	9
1	1	18	15	12
2	1.414214	25	22	27
4	2	39	35	40
6	2.44949	55	50	45
8	2.828427	69	60	63
10	3.162278	72	84	80
12	3.464102	79	84	83
R <sup>2</sup>		0.980	0.956	0.965

**Table:37Higuchi's data F16-F18**

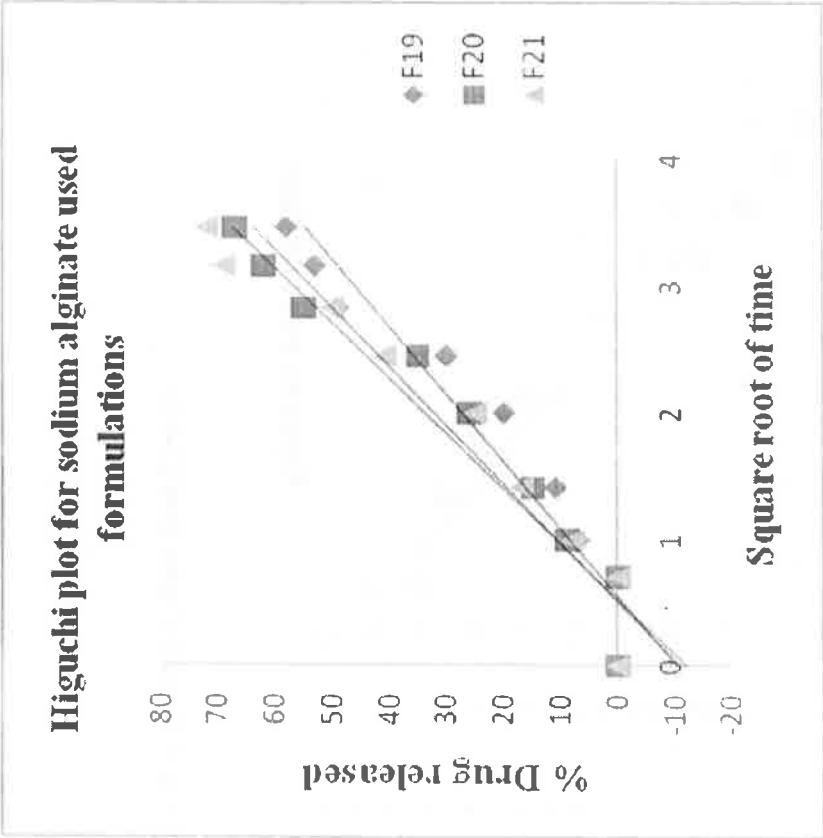


Fig38 Higuchi's plot for F19-F21

Time(hrs)	SQRt	F19	F20	F21
0	0	0	0	0
0.5	0.707107	7	9	8
1	1	11	15	17
2	1.414214	20	26	25
4	2	30	35	41
6	2.44949	49	55	50
8	2.828427	53	62	69
10	3.162278	58	67	72
12	3.464102	66	75	76
R <sup>2</sup>		0.931	0.944	0.940

Table:38Higuchi's data F19-F21

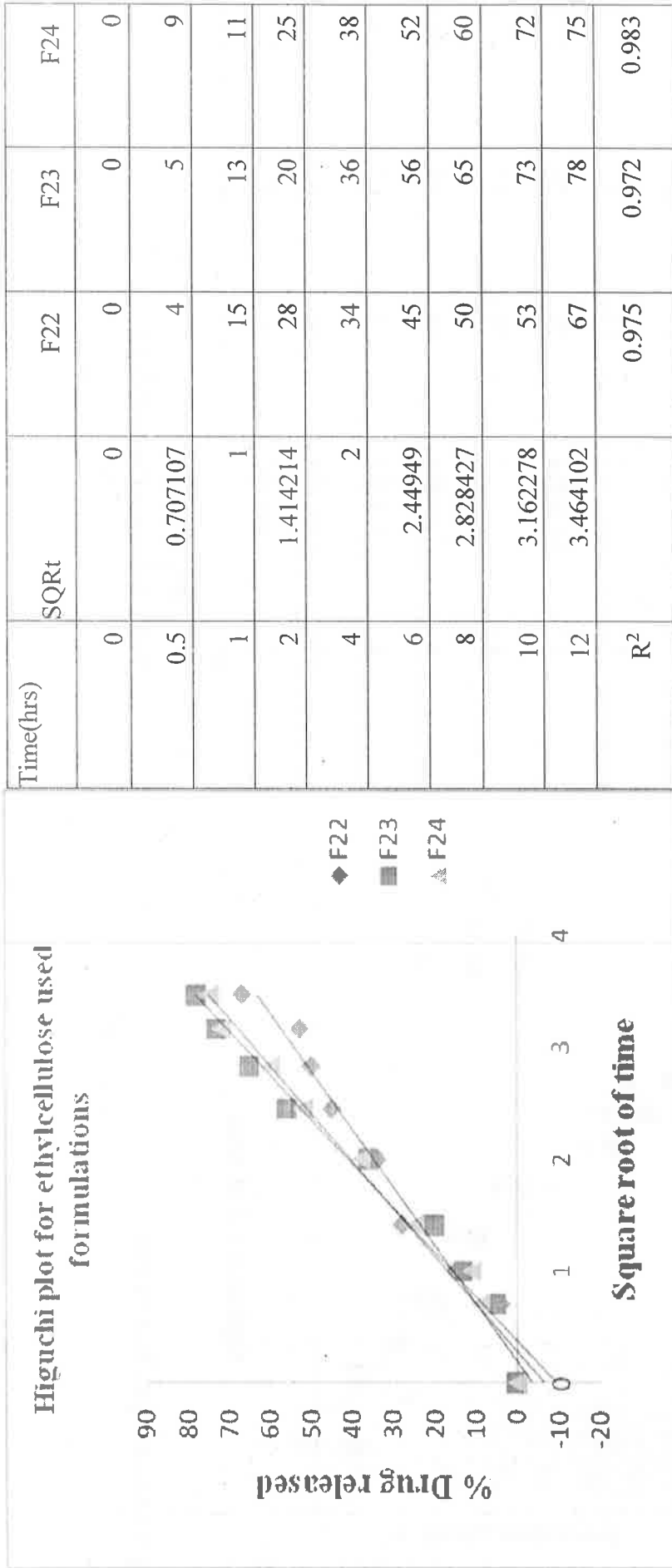


Fig39 Higuchi's plot for F22-F24

Table:39 Higuchi's data F22-F24

### 5.12.3. Peppas data

The prepared empagliflozin formulations were subjected to dissolution studies and the following represents peppas kinetics for F1-F24 formulations

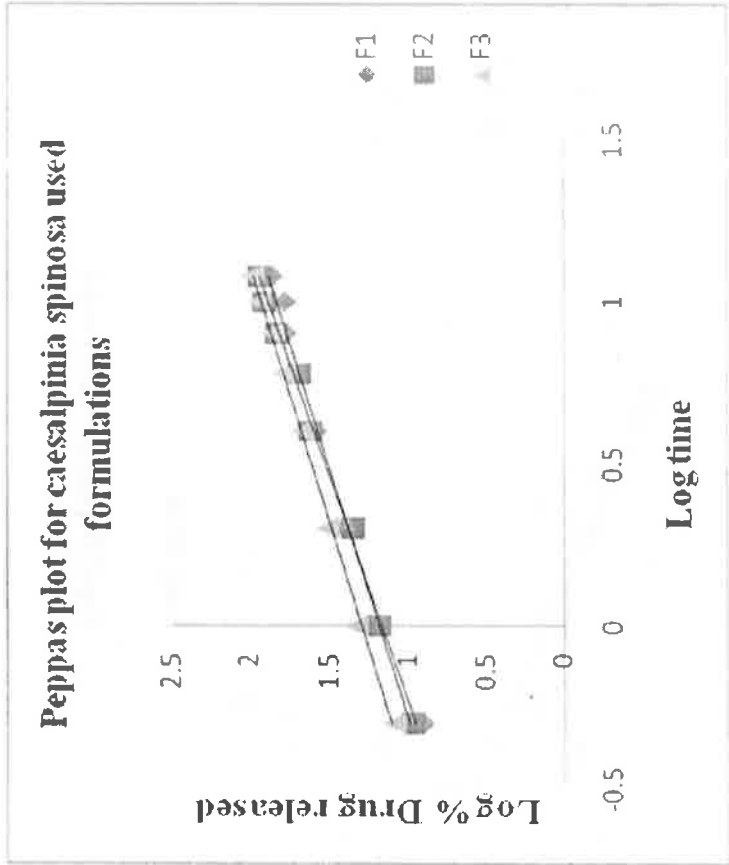
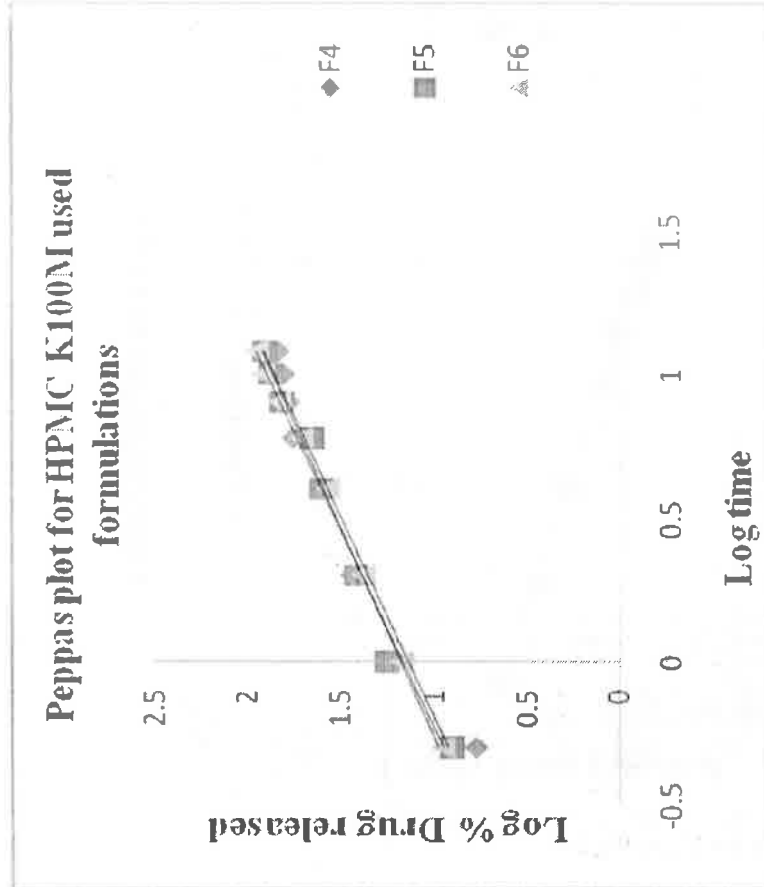


Fig40 Peppas plot for F1-F3

Time(hrs)	F1	F2	F3
-0.30103	0.90309	0.954243	1.079181
0	1.255273	1.176091	1.322219
0.30103	1.39794	1.342423	1.50515
0.60206	1.579784	1.612784	1.662758
0.778151	1.740363	1.681241	1.78533
0.90309	1.770852	1.826075	1.857332
1	1.778151	1.90309	1.939519
1.079181	1.857332	1.934498	1.986772
R <sup>2</sup>	0.974	0.995	0.996

Table40: Peppas data for F1-F3

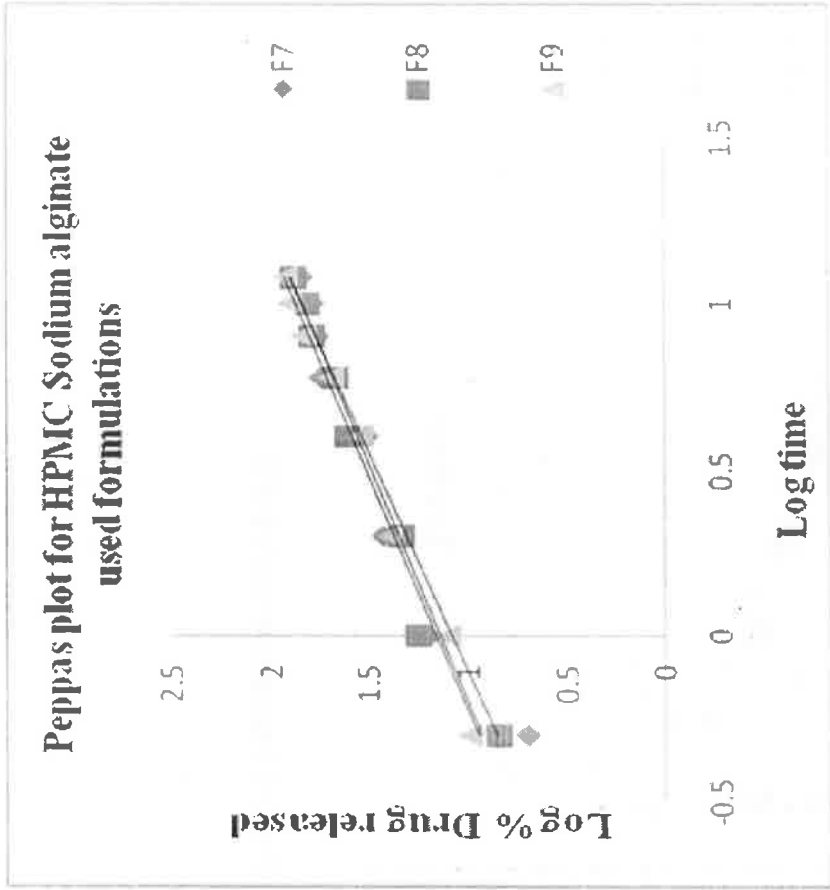


**Fig41Peppasplot forF4-F6**

Time(hrs)	F4	F5	F6
-0.30103	0.778151	0.90309	0.954243
0	1.230449	1.255273	1.176091
0.30103	1.447158	1.414973	1.380211
0.60206	1.591065	1.60206	1.568202
0.778151	1.755875	1.653213	1.716003
0.90309	1.778151	1.812913	1.832509
1	1.80618	1.875061	1.919078
1.079181	1.832509	1.908485	1.954243
R <sup>2</sup>	0.946	0.981	0.997

**Table41:PeppasdataforF4-F6**

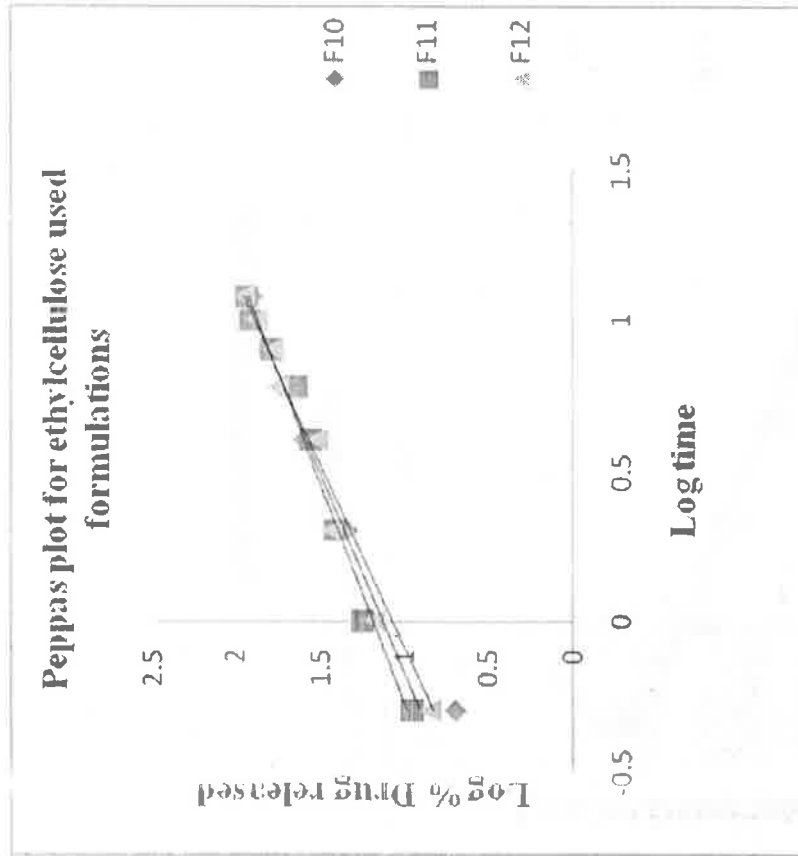




**Fig5.91Peppasplot forF7-F9**

Time(hrs)	F7	F8	F9
-0.30103	0.69897	0.845098	1
0	1.20412	1.255273	1.113943
0.30103	1.431364	1.342423	1.39794
0.60206	1.518514	1.612784	1.544068
0.778151	1.748188	1.672098	1.69897
0.90309	1.763428	1.792392	1.838849
1	1.792392	1.812913	1.908485
1.079181	1.845098	1.886491	1.929419
R <sup>2</sup>	0.939	0.97	0.988

**Table42:PeppasdataforF7-F9**



**Fig5.92 Peppasplot forF10-F12**

Time(hrs)	F10	F11	F12
-0.30103	0.69897	0.954243	0.845098
0	1.20412	1.255273	1.176091
0.30103	1.342423	1.414973	1.431364
0.60206	1.60206	1.556303	1.518514
0.778151	1.732394	1.643453	1.748188
0.90309	1.80618	1.799341	1.778151
1	1.892095	1.919078	1.875061
1.079181	1.908485	1.944483	1.954243
R <sup>2</sup>	0.969	0.980	0.982

**Table43:PeppasdataforF10-F12**

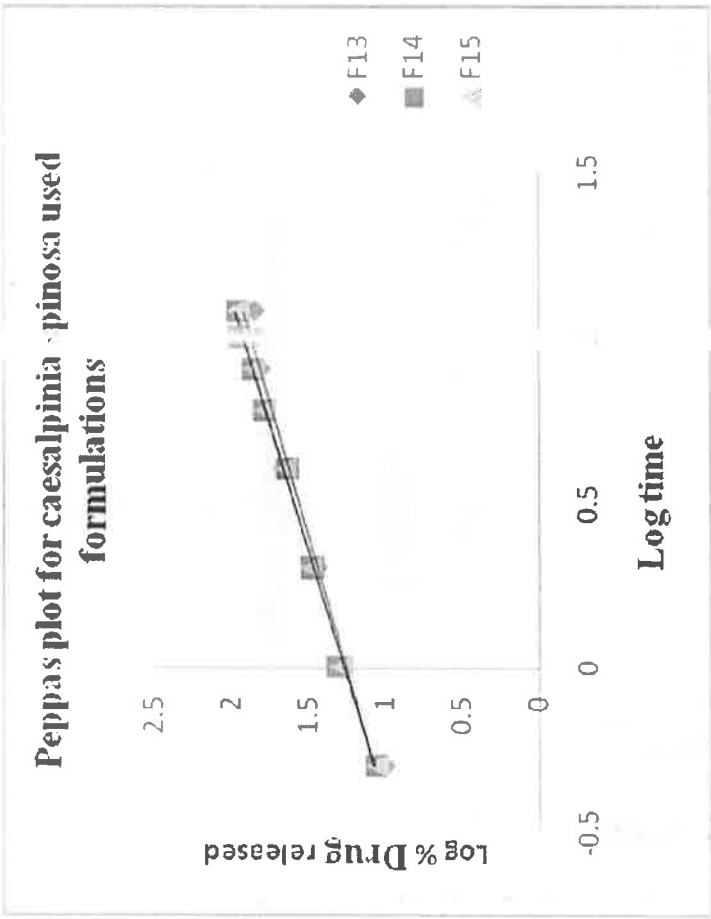
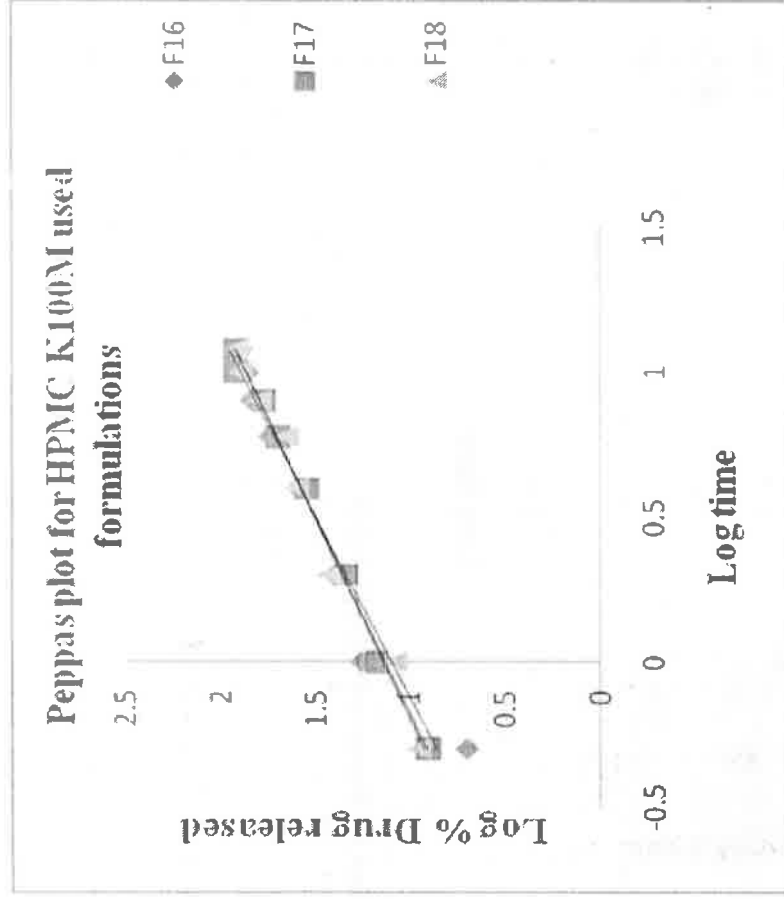


Fig5.93 Peppasplot forF13-F15

Time(hrs)	F13	F14	F15
-0.30103	1	1.041393	1.041393
0	1.278754	1.30103	1.278754
0.30103	1.447158	1.462398	1.477121
0.60206	1.653213	1.623249	1.643453
0.778151	1.778151	1.770852	1.778151
0.90309	1.812913	1.851258	1.845098
1	1.826075	1.934498	1.929419
1.079181	1.845098	1.944483	1.94939
R <sup>2</sup>	0.978	0.994	0.997

Table44:Peppasdata-F13-F15



**Fig5.94 Peppasplot forF16-F18**

Time(hrs)	F16	F17	F18
-0.30103	0.69897	0.90309	0.954243
0	1.255273	1.176091	1.079181
0.30103	1.39794	1.342423	1.431364
0.60206	1.591065	1.544068	1.60206
0.778151	1.740363	1.69897	1.653213
0.90309	1.838849	1.778151	1.799341
1	1.857332	1.924279	1.90309
1.079181	1.897627	1.924279	1.919078
R <sup>2</sup>	0.948	0.993	0.984

**Table45:Peppasdata-F16-F18**

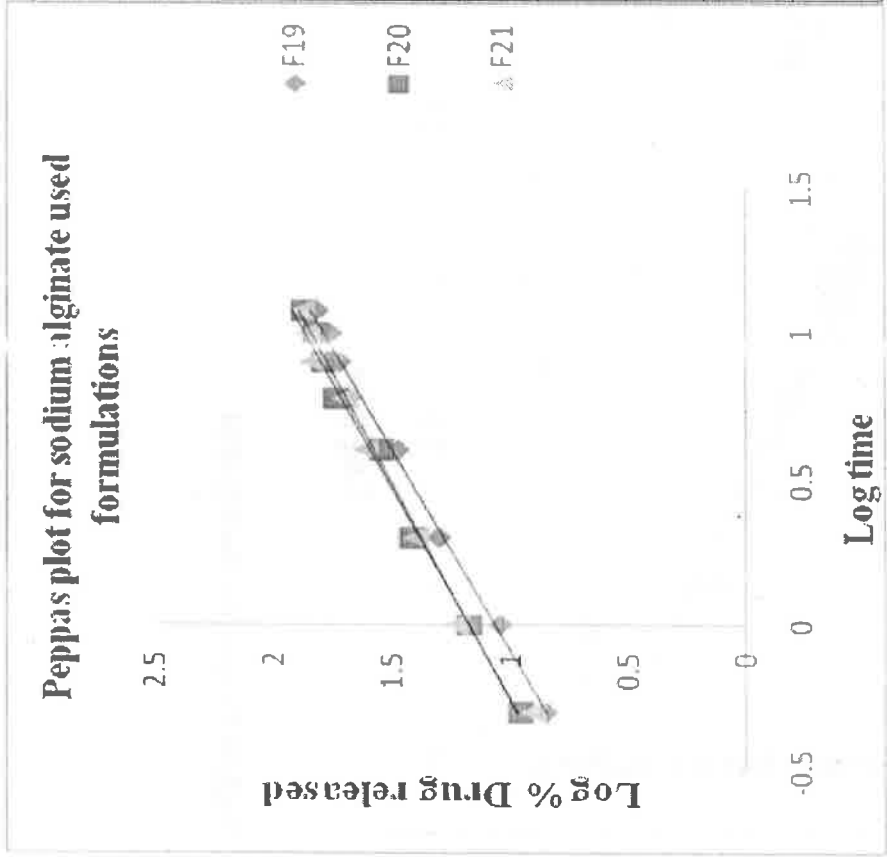


Fig5.95 Peppasplot forF19-F21

Time(hrs)	F19	F20	F21
-0.30103	0.845098	0.954243	0.90309
0	1.041393	1.176091	1.230449
0.30103	1.30103	1.414973	1.39794
0.60206	1.477121	1.544068	1.612784
0.778151	1.690196	1.740363	1.69897
0.90309	1.724276	1.792392	1.838849
1	1.763428	1.826075	1.857332
1.079181	1.819544	1.875061	1.880814
R <sup>2</sup>	0.992	0.992	0.986

Table46:Peppasdata F19-F21

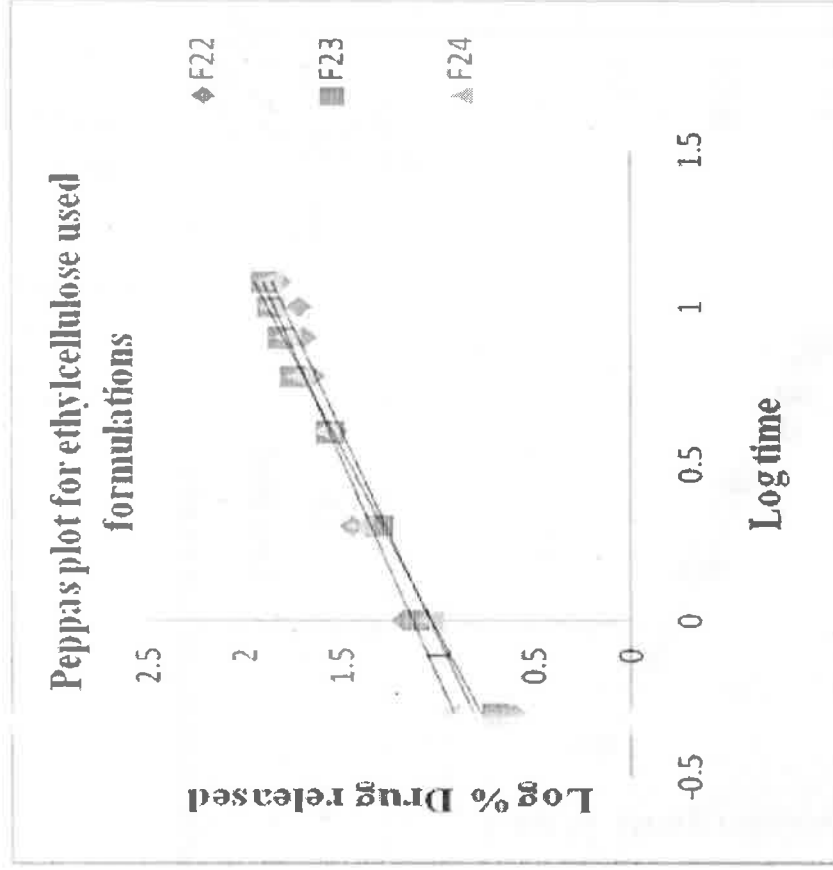
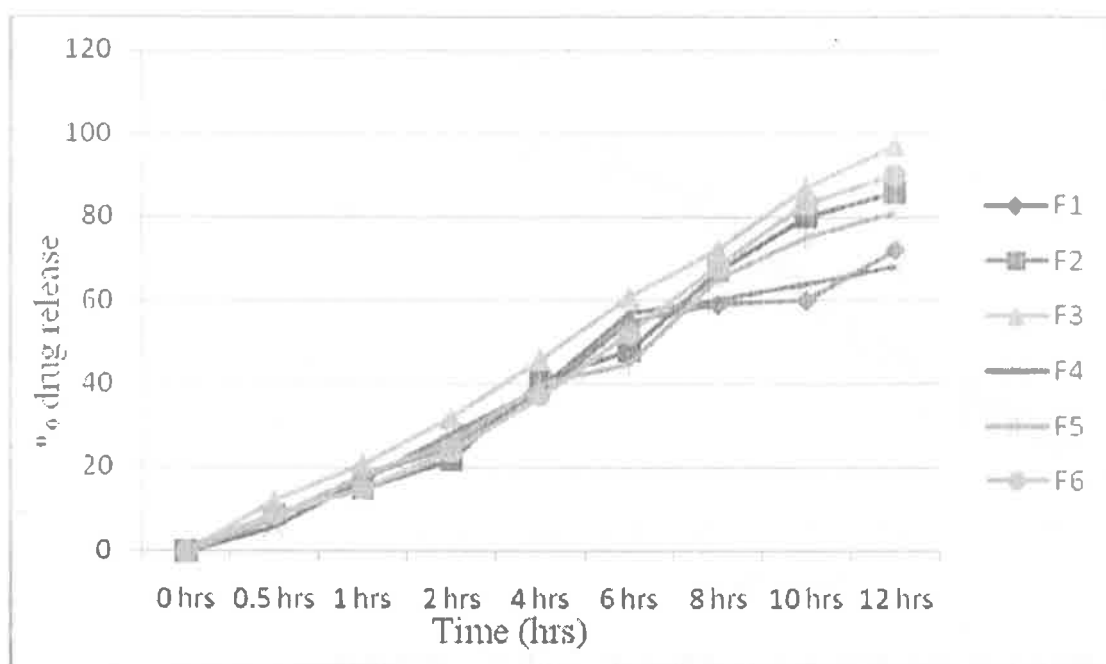


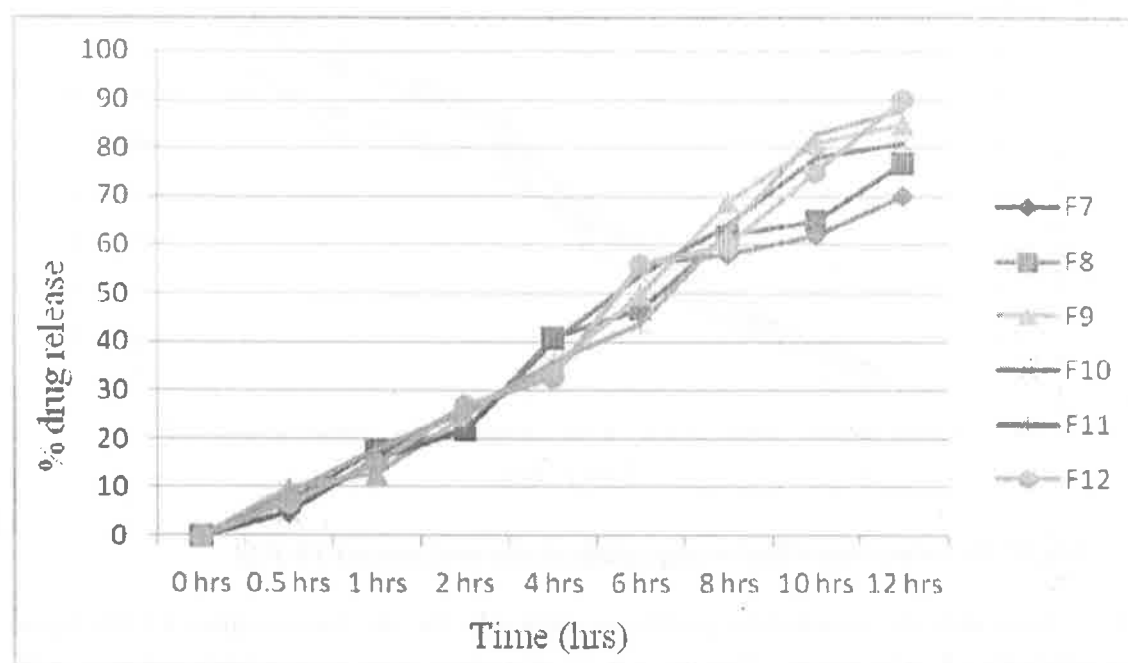
Fig5.96 Peppasplot forF22-F24

Time(hrs)	F22	F23	F24
-0.30103	0.60206	0.69897	0.954243
0	1.176091	1.113943	1.041393
0.30103	1.447158	1.30103	1.39794
0.60206	1.531479	1.556303	1.579784
0.778151	1.653213	1.748188	1.716003
0.90309	1.69897	1.812913	1.778151
1	1.724276	1.863323	1.857332
1.079181	1.826075	1.892095	1.875061
R <sup>2</sup>	0.907	0.984	0.984

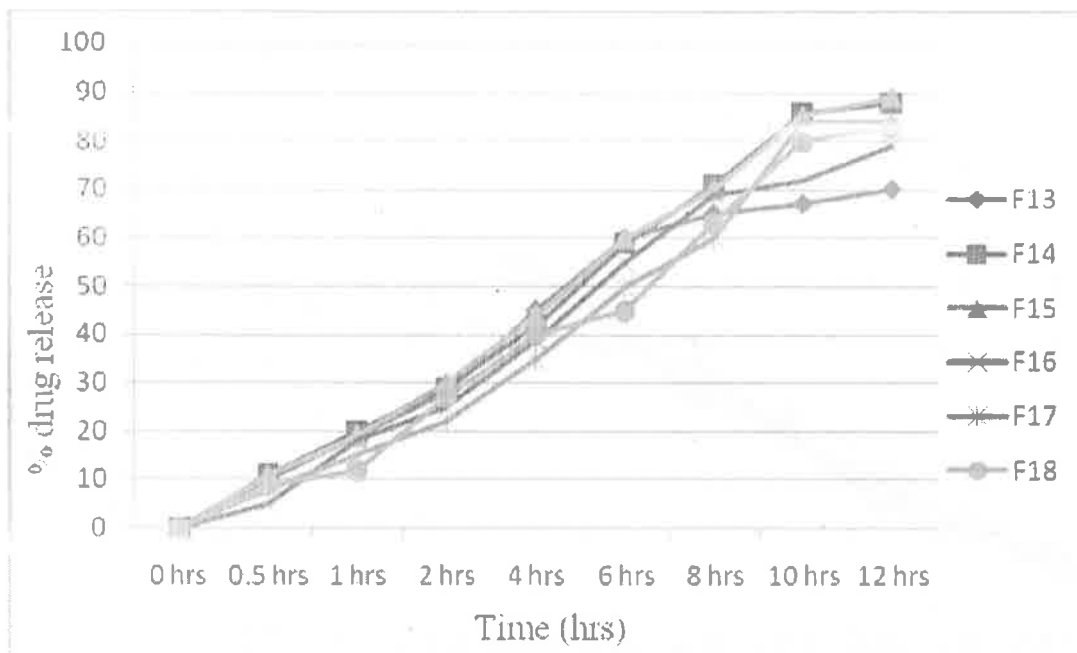
Table47:Peppasdata-F22-F24



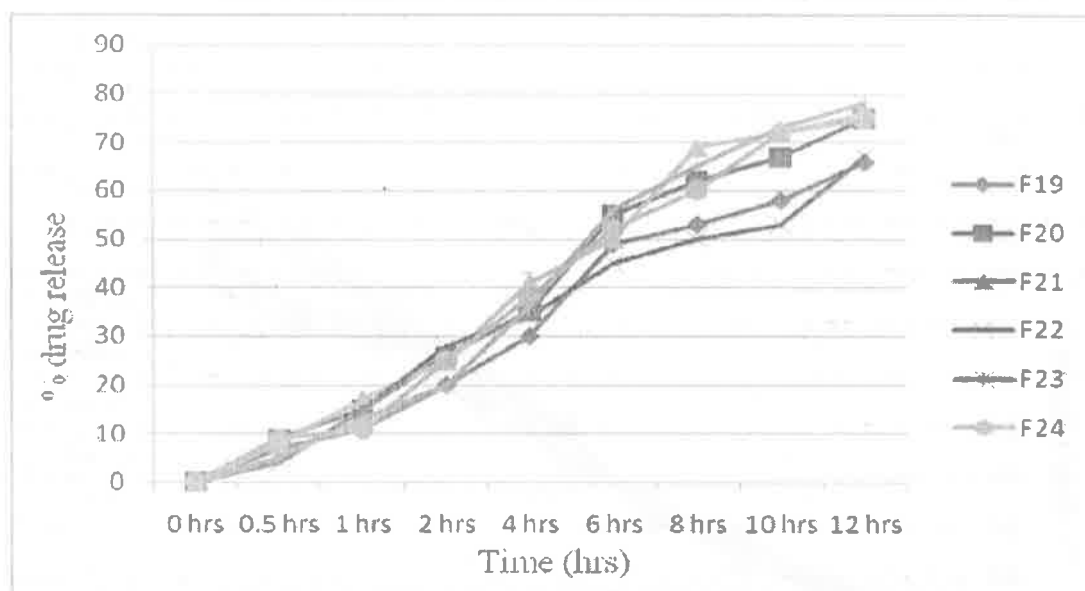
**Fig49:Dissolution profile for rempagliflozin formulations F1-F6**



**Fig50:Dissolution profile for rempagliflozin formulations F7-F12**



**Fig51:DissolutionprofileforempagliflozinformulationsF13-F18**



**Fig52:DissolutionprofileforempagliflozinformulationsF19-F24**

Figure 5.97 illustrates the dissolution profile of glipizide for the formulations F1-F6, figure 5.98 illustrates the dissolution profile of glipizide for the formulations F7-F12, figure 5.99 illustrates the dissolution profile of glipizide for the formulations F13-F18 and figure 5.100 illustrates the dissolution profile of glipizide for the formulations F19-F24.



#### 5.12.4. Kinetic studies:

Drug release profiles were fitted into various kinetic equations and the values are given in table: 5.90. The 'n' value for the optimized formulation F3 was found to be 0.637. To know the mechanism of drug release from these formulations, the data were treated according to zero order (cumulative amount of drug released vs time), first-order (log cumulative percentage of drug remaining vs time), Higuchi's (cumulative percentage of drug released vs square root of time), and Korsmeyer (log cumulative percentage of drug released vs log time) equations.

**Table 48: Drug release kinetic profile of fempagliflozin tablets**

Formulation code	Zero order	First order	Higuchi	Peppas	n value
F1	0.966	0.984	0.991	0.987	0.650
F2	0.993	0.986	0.985	0.997	0.718
F3	<b>0.993</b>	<b>0.943</b>	<b>0.993</b>	<b>0.998</b>	<b>0.637</b>
F4	0.949	0.979	0.988	0.972	0.715
F5	0.998	0.990	0.988	0.990	0.686
F6	0.996	0.979	0.983	0.998	0.727
F7	0.959	0.983	0.986	0.969	0.757
F8	0.983	0.992	0.991	0.986	0.700
F9	0.992	0.987	0.982	0.994	0.710
F10	0.985	0.995	0.989	0.984	0.824
F11	0.991	0.966	0.975	0.990	0.678
F12	0.990	0.959	0.981	0.991	0.753
F13	0.944	0.973	0.988	0.988	0.613
F14	0.988	0.987	0.992	0.997	0.649
F15	0.988	0.989	0.994	0.998	0.654
F16	0.976	0.996	0.990	0.974	0.796
F17	0.990	0.968	0.977	0.996	0.735
F18	0.988	0.981	0.982	0.992	0.721
F19	0.978	0.981	0.988	0.996	0.723
F20	0.979	0.992	0.992	0.996	0.668
F21	0.976	0.995	0.991	0.993	0.695
F22	0.962	0.980	0.987	0.952	0.762
F23	0.980	0.998	0.986	0.992	0.848
F24	0.983	0.997	0.991	0.992	0.716

**Inference:** The value of 'n' was found to be 0.637, which indicates that the drug release was followed by anomalous (non-fickian) diffusion. The significance of the study was to determine the order of kinetics and was concluded that it followed zero order kinetics with reference to table:4.9.

### 5.9. A comparative study of empagliflozin optimized with marketed tablet

The optimized formulation was compared to that of marketed formulation (Jardiance) and the % drug release was noted for 12 hours.

**Table 49: Comparison of dissolution data of formulation F3 with the corresponding marketed tablets (Jardiance-10mg)**

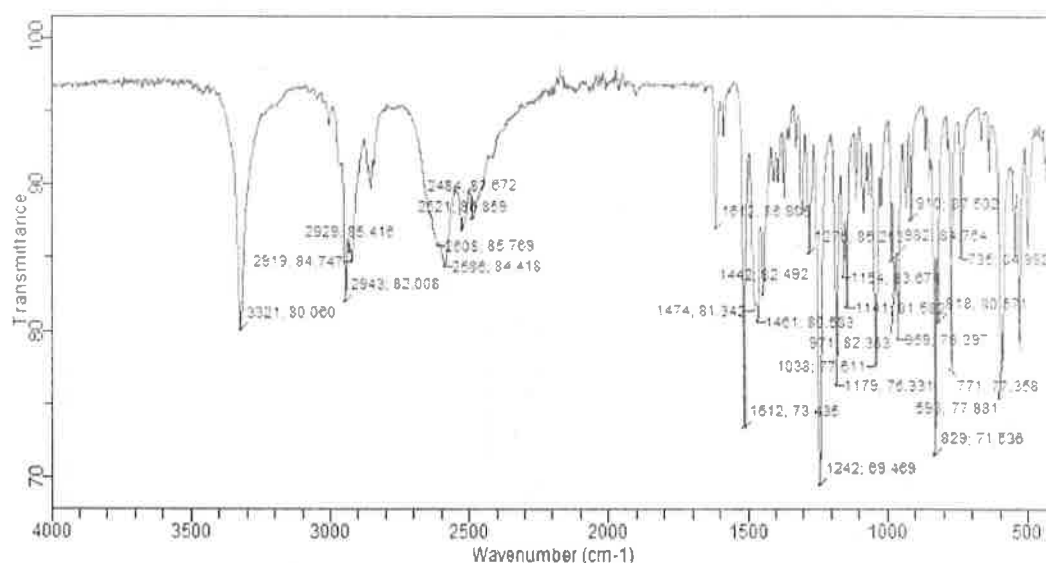
Time (hrs)	% Drug release of marketed tablet containing empagliflozin	% Drug release for the best formulation, F3 (Present study)
0	0	0
0.5	10±0.14	12±0.02
1	18±0.23	21±0.31
2	30±0.19	32±0.37
4	45±0.34	46±0.41
6	58±0.15	61±0.36
8	71±0.18	72±0.23
10	84±0.21	87±0.09
12	97±0.19	97±0.17

Each value represents the mean ± standard deviation (n=3)

The % drug release for marketed formulation was found to be 97±0.19 and for the optimized formulation, F3 the % drug release was found to be 97±0.17. The dissolution study was comparable with the marketed tablet and the satisfactory results were obtained. From the *in vitro* studies the works have been extended to the next phase.

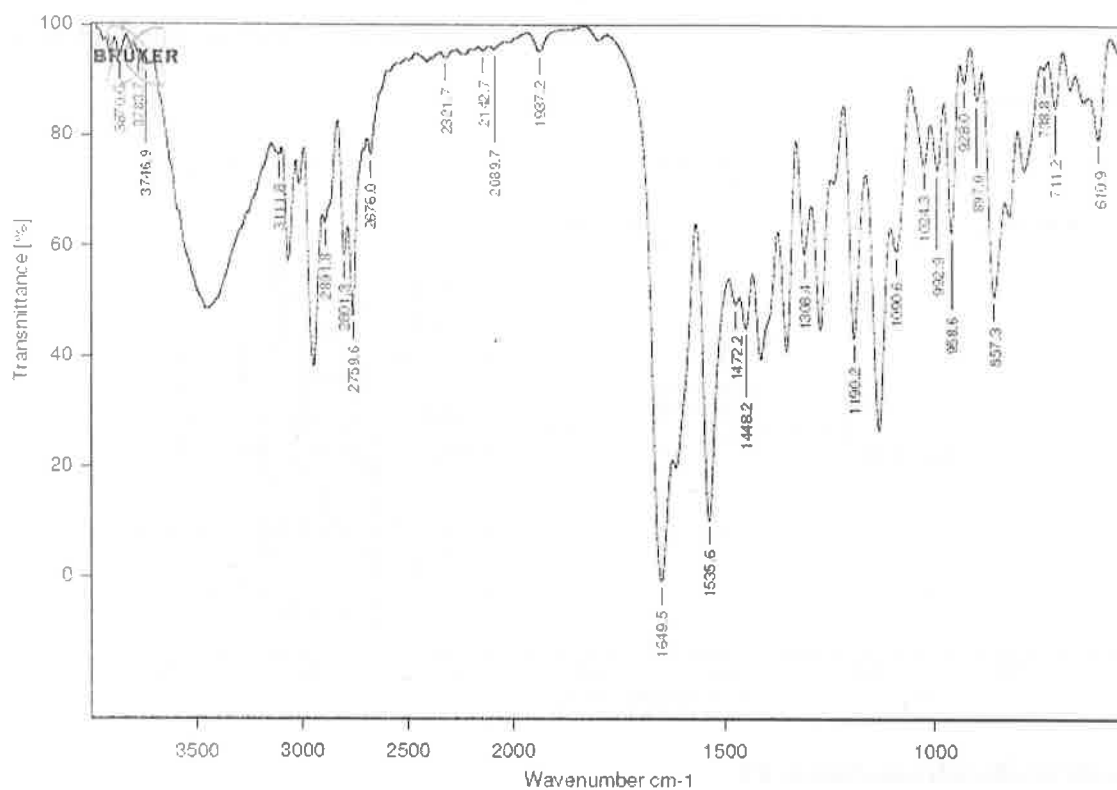
## 5.10. Physical characterization of empagliflozin

### 5.10.1. FT-IR studies



**Fig53: FTIR spectra for best fromulation-F3**

**Inference:** The physical mixtures showed identical spectrum with respect to the spectrum of the pure drug, indicating there is no chemical interaction between the drug molecule and polymer used. Results indicated that drug is compatible with the polymers used in the investigation.

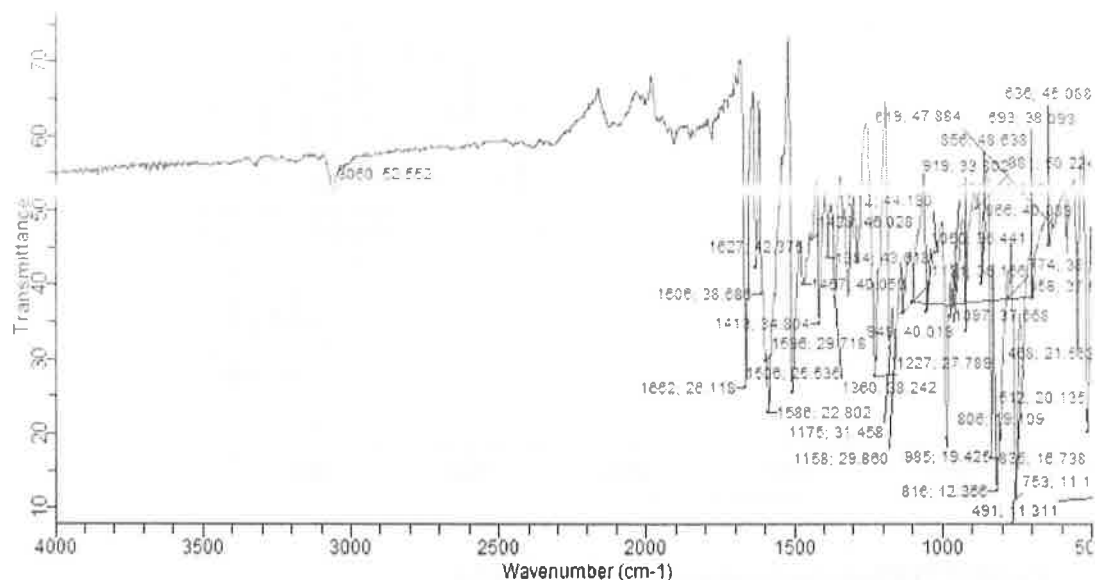


**Fig54: FTIR spectra for pure empagliflozin Table 5.92: Pure empagliflozin**

Wavenumber in $\text{cm}^{-1}$	Characteristic bond
610.9	C-X (Bromide)
857.3	C-H (Aromatic out of plane bend)
1536.6	C=C (Aromatic)
1649.5	C=C (Alkene)
2795.6	C-H (Alkanes stretch)

#### **Inference:**

The IR spectra of pure empagliflozin showed all the principal IR absorption peaks at wave numbers  $610 \text{ cm}^{-1}$ ,  $857 \text{ cm}^{-1}$ ,  $1536.6 \text{ cm}^{-1}$ ,  $1649.5 \text{ cm}^{-1}$ ,  $2795.6 \text{ cm}^{-1}$  respectively confirming the purity of the drug.



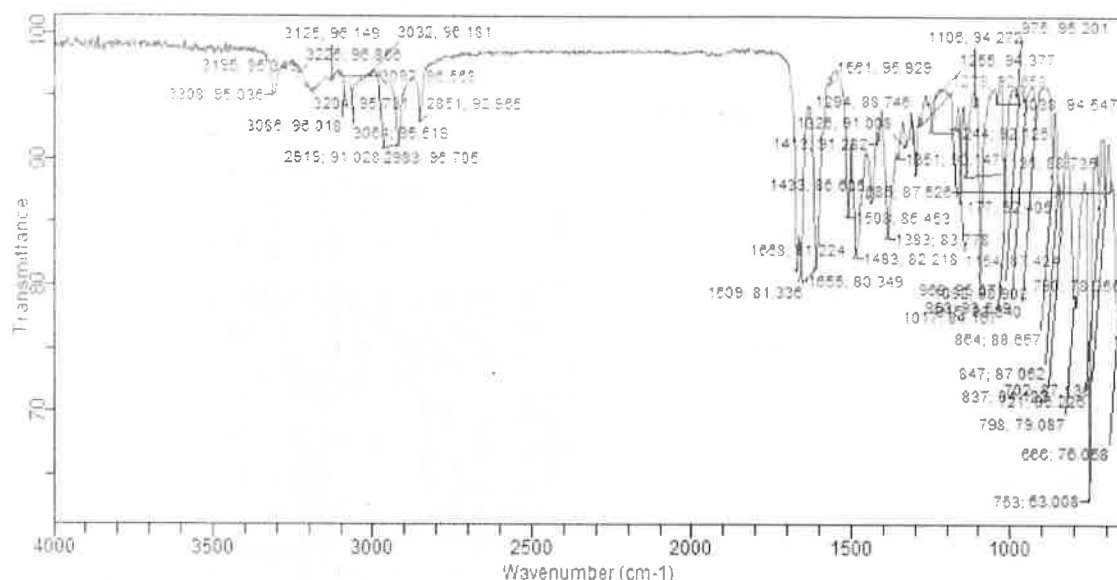
**Fig55:FTIRspectrafordrug+ethylcellulose**

## INFERENCE

**TABLE5.93:FTIRspectraanalysisfordrug+ethylcellulose**

Wavenumberin $\text{cm}^{-1}$	Characteristicbond
753:11	C-X(Chloride)
985:19	Aromatic-outofplane bend
1586:22	Aromatic
1662:26	Amide

**Inference:** The FTIR spectrum of pure drug showed characteristic amide peaks at wave numbers C-X at  $610\text{ cm}^{-1}$ , C-H aromatic plane bend at  $857\text{ cm}^{-1}$ , C=C at  $1536.6\text{ cm}^{-1}$ ,  $1649.5\text{ cm}^{-1}$ . There were no new bands observed in the spectrum, which confirms that no new chemical bonds were formed between the drug and the polymer.



**Fig56:FTIR Spectra for drug+HPMCK100M**

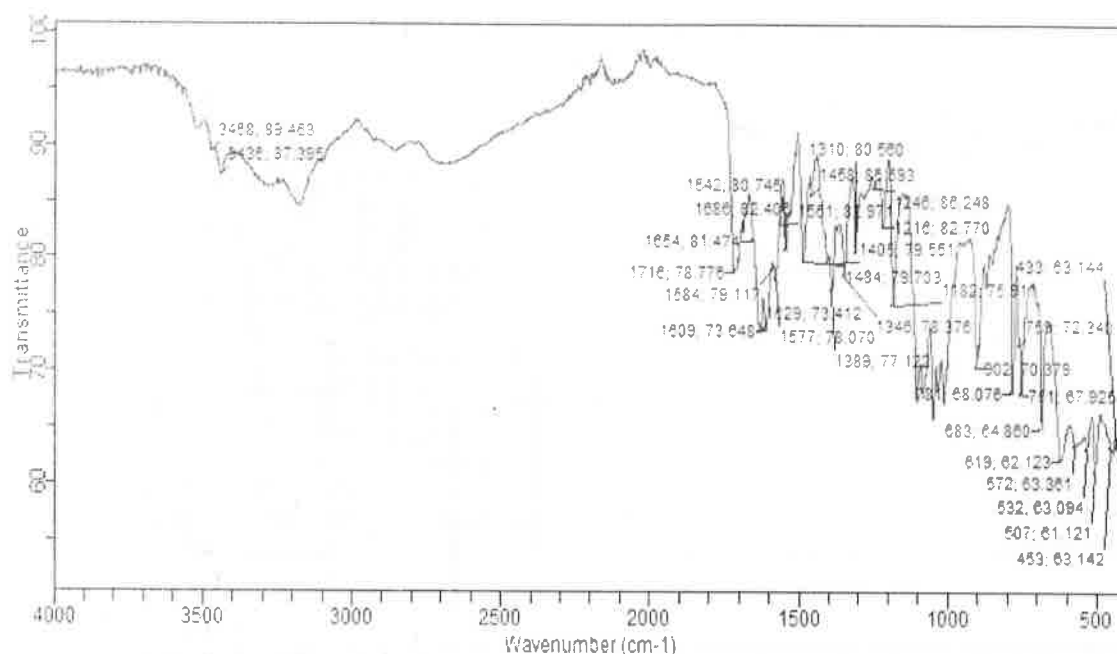
## INFERENCE

**Table 5.94: FTIR spectra analysis for drug + HPMCK100M**

Wavenumber in $\text{cm}^{-1}$	Characteristic bond
753:63	C-Cl(Stretch)
864:88	C-C(Stretch)
1154:87	C-F(Stretch)
1383:83	C-H(Bend in plane)
1483:82	O-H(Stretch)
1655:80	C=N(Stretch)

**Inference:** FT-IR studies were carried out to know the compatibility. FT-IR results revealed that there was no significant difference in the peaks of drug and HPMCK100M in the formulation compared to pure drug as shown in figure 5.104. It was found that there was no interference to the drug with excipients and polymer used in the formulations.





**Fig5.8:FTIR Spectrafordrug+sodiumalginate**

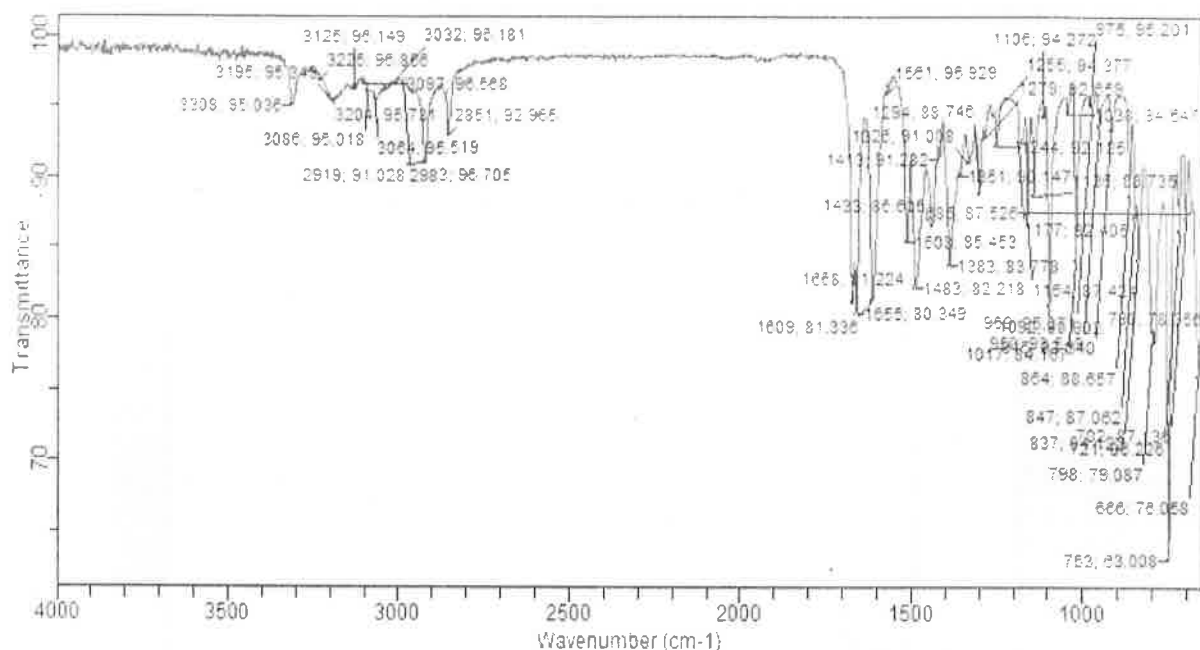
## INFERENCE

**Table5.96:Drug+sodiumalginate**

Wavenumberin $\text{cm}^{-1}$	Characteristicbond
453:63	C-I(Stretch)
619:62	C-Cl(Stretch)
683:64	C-H(Rocking)
1389:77	C-H(Bendinoutofplane)
1577:78	N-H(Bending)
1609:73	C-C(Stretch)
1716:78	C=O(Stretch)

**Inference:** The FT-IR spectrum showed many intense, absorption peaks that are due to the different functional groups present in the molecules. In the IR spectra wavenumber of  $453.63 \text{ cm}^{-1}$  disclosed the presence C-I stretching, the wave number of  $1716.78 \text{ cm}^{-1}$  showed the presence of C=O stretching, the wave number  $1577.78 \text{ cm}^{-1}$  showed the presence of N-H bending, the wave number  $1609.73 \text{ cm}^{-1}$  indicated the presence of C-C stretch indicating that almost same peaks were maintained with respect to the pure drug, thus indicating that the polymer is compatible with the drug.





**Fig59: FTIR spectra for drug+magnesium stearate**

## INFERENCE

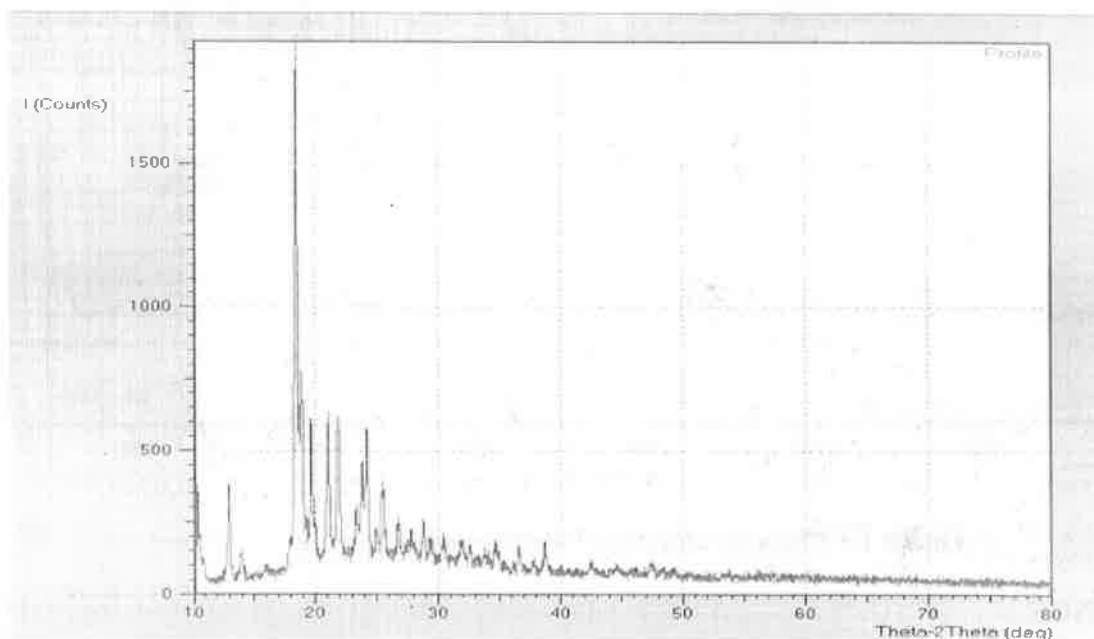
**Table5.97: Drug+magnesium stearate**

Wavenumber in $\text{cm}^{-1}$	Characteristic bond
753.63	C-X (Chloride)
798.79	C-H (Bend out of plane)
1017.84	C-F (Stretch)
1383.83	C-F (Stretch)
1668.81	C-C (Stretch)
1609.81	C-C (Stretch)

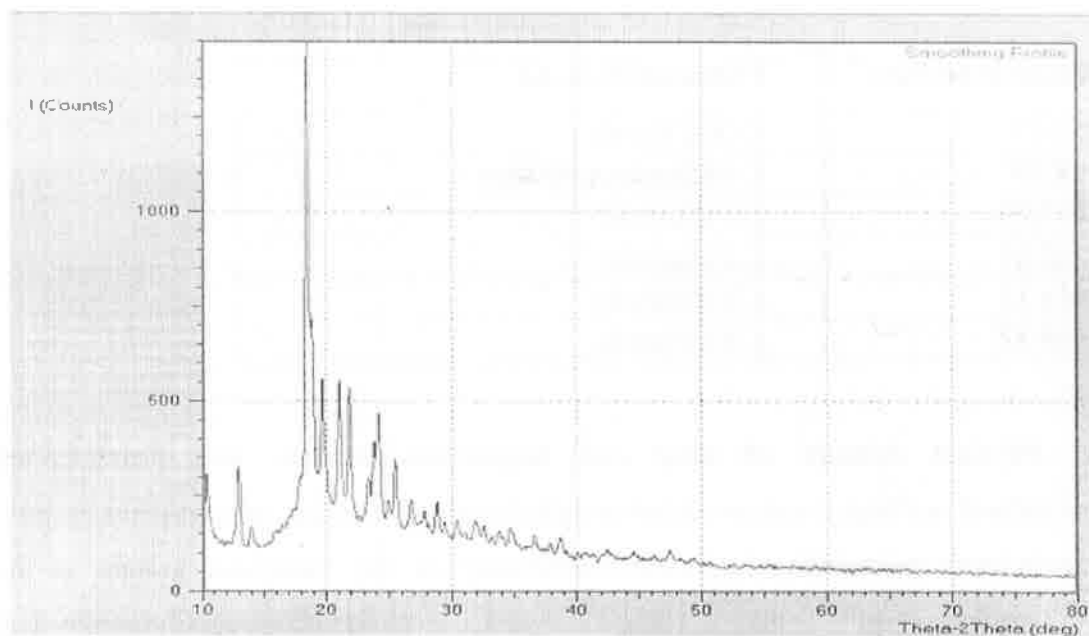
**Inference:** Physical mixture of drug and magnesium stearate was characterized by FTIR spectral analysis for physical as well as chemical alteration of the drug characteristics. From the wavenumbers, it was concluded that there was no interference of the functional groups as the principal peaks of the drug were unaltered in drug polymer physical mixtures, indicating they were compatible chemically.

### 5.10.2. XRD studies

The XRD studies were performed for best formulation and for pure empagliflozin which were illustrate in fig:5.108 and 5.109 respectively.



**Fig60: X-ray diffraction spectra best formulation F3**

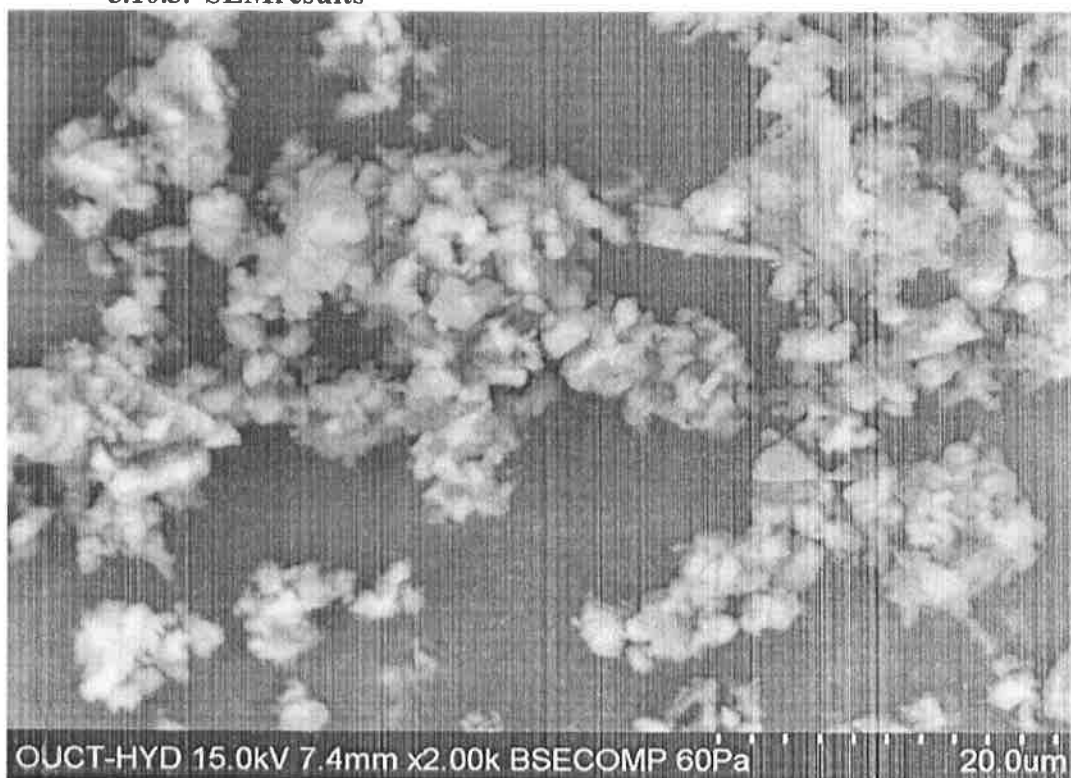


**Fig61: X-ray diffraction spectra for pure empagliflozin**

**Inference:** the X-

ray diffraction spectra of pure drug exhibits peaks at  $2\theta$  angle that showed a typical crystalline pattern.

### 5.10.3. SEM results

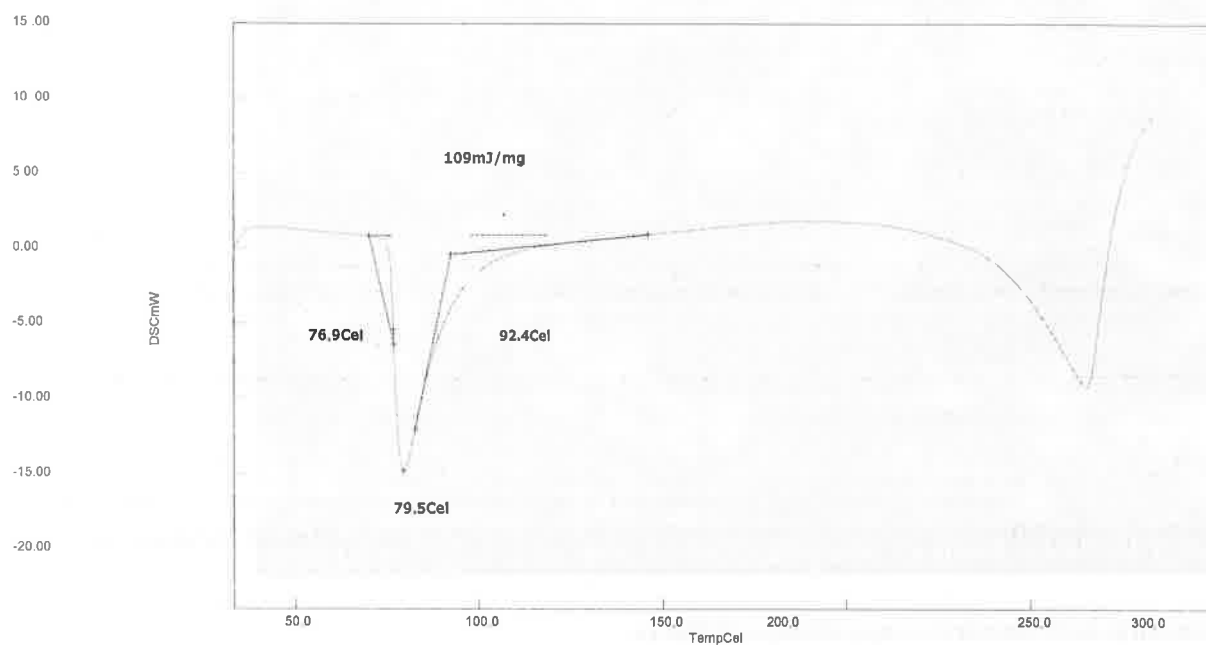


**Fig 62: Scanning electron microscopy of fempagliflozin**

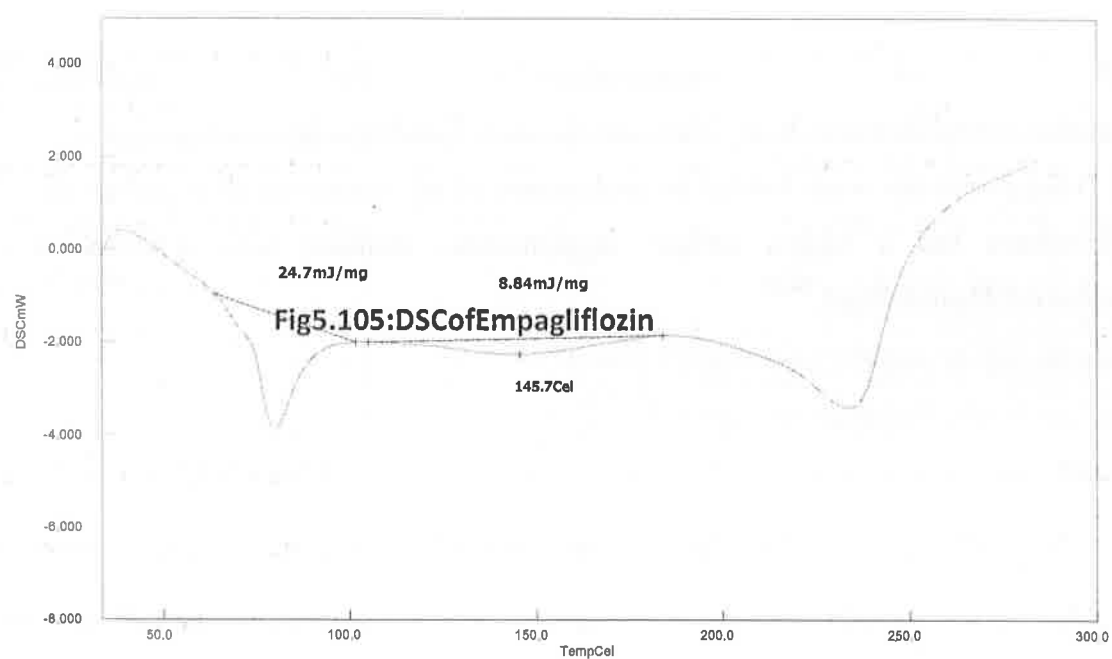
Crystals of pure sample are of smallest size (4-10 μm) and have irregular shapes. Recrystallization product crystals have intermediate size (9-15 μm). The agglomerates were formed by coalescence of the microcrystalline precipitates, so the agglomerates had a rugged surface. Agglomerates obtained were spherical in its shape with size 198 μm-670 μm.<sup>20,21</sup>

#### 5.10.4. DSC spectral studies

The DSC studies were performed for pure drug and optimized formulation which were illustrated in Fig: 5.111 and 5.112 respectively.



**F63 DSC of pure empagliflozin**



**Fig 64 DSC of best formulation-F3**

**Table 50 Compatibility profile of empagliflozin and its excipients with respect to DSC**

Sample	Appearance of new peaks	Fade of existing peaks	Shifting of peaks
Empagliflozin + <i>caesalpinia spinosa</i>	No	No	No
Empagliflozin + HPMC	No	No	No
Empagliflozin + sodium alginate	No	No	No
Empagliflozin + ethylcellulose	No	No	No
Empagliflozin + magnesium stearate	No	No	No

#### INFERENCE:

It was observed that there is no interaction between drug and excipients, hence they can be used in the preparation of empagliflozin tablets.

#### 5.11. *In vivo* data:

This chapter discusses (explains) the description regarding PK parameters of empagliflozin optimized spherical agglomerates tab which are formulated as controlled release medication for diabetic conditions. The current aim is to perform pharmacokinetic parameters in rabbits and to determine the time course of empagliflozin concentration in blood samples in mathematical expressions, simultaneously to compare these with the innovative preparation of empagliflozin tablets. The prepared formulation is administered in order to check the bioavailability levels in newly developed dosage forms when compared with the marketed ones.

The CDDS is designed in order that it could have the prolonged drug release for extended period of time. Empagliflozin formulations were prepared and among the formulations and the batch using *caesalpinia spinosa* was chosen as optimized one (F3) from the *in-vitro* dissolution studies and it was compared with *in vivo*

evaluation in rabbits. As this formulation showed least amount of empagliflozin drug release up to 7-12 hours in a controlled release manner and it is choosed for this study. To compensate these results, *in vivo* PK parameters were planned. So the current study was aimed to perform *in vivo* studies and compare them with *in vitro* results in order to prove the sustained drug delivery of empagliflozin of selected formulation of spherical agglomerates prepared by using natural polymer *ceasalpiniaspinosa*.

#### **5.11.1. Estimation of drug in rabbit plasma:**

In albino rabbits weighing about 2 kg, *in vivo* study was performed. 1688/PO/c/13/CPCSEA. Proposal no. 526, dated 06.03.2017. (For IAEC approval copy please refer appendix no: iii)

#### **Groups for the *in-vivo* study:**

*In vivo* study was carried out making 4 groups of albino rabbits. Each group consist of four rabbits (n=4).

**I : Control-with drug**

**II : Control -without drug**

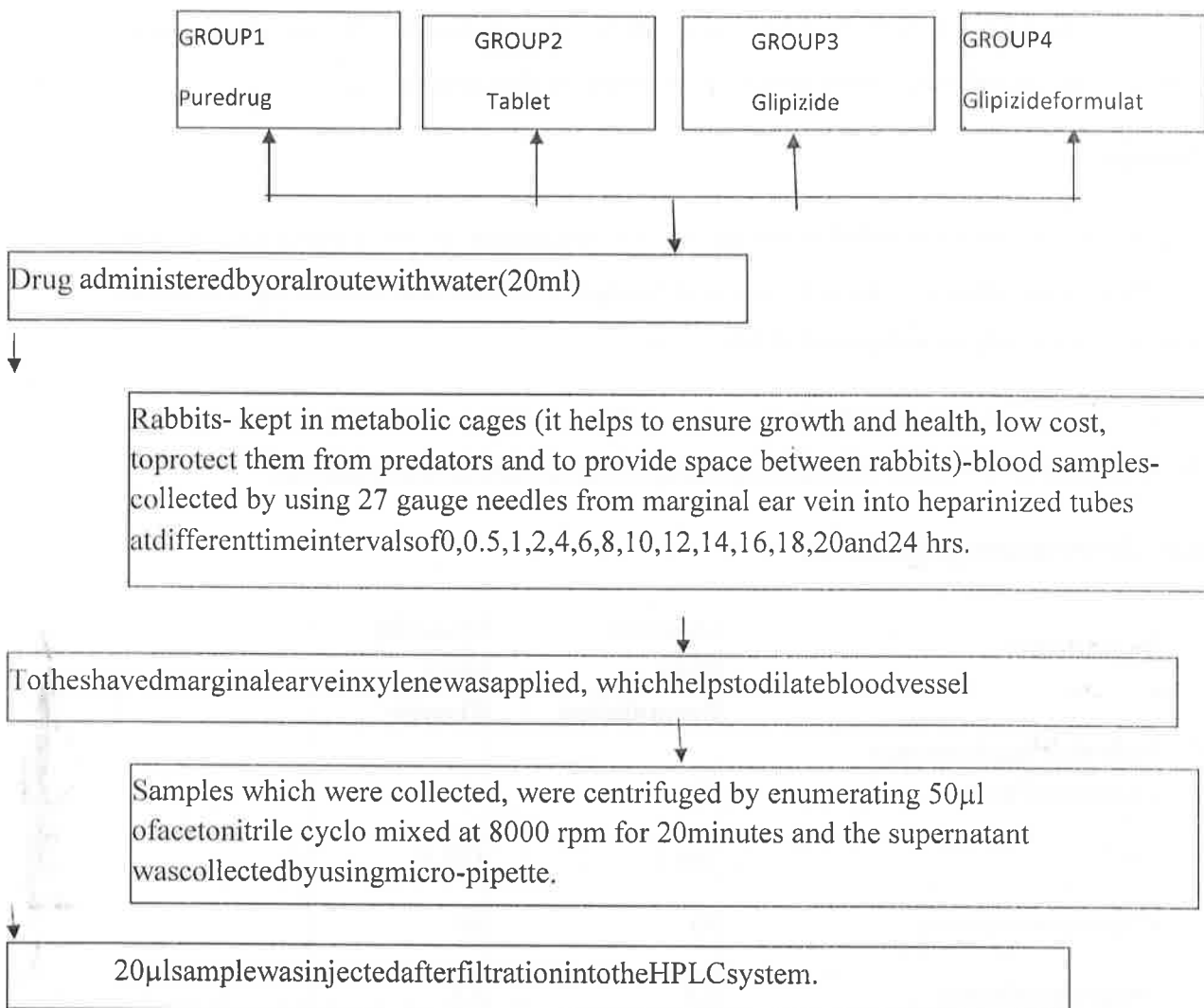
**: placebo III: Positive control-**

**marketed tablet.**

**IV: Formulation -compression of spherical agglomerates tablet**

(The tablet was powdered and calculated according to animal dose<sup>106</sup> and administered through oral route with 20 ml of water)

### Preparation of sample solutions:



**Fig 5.113: Flowchart representation of preparation of sample solution for empagliflozin**

#### 5.11.2. Pharmacokinetic evaluation in

rabbits Institutional animal ethical committee approval

roval

The considered protocol of the empagliflozin sustained release tablets in healthy rabbits that is approved by IAEC of Sanzyme labs pvt ltd. Telangana, India bearing the Regn 1688/PO/c/13/CPCSEA. Proposal no. 526, dated 06.03.2017.

## Subjects:

2.0 to 2.5 kg, healthy, 16 New Zealand white rabbits were preferred in the current pre-clinical study and all the animals were under observation before 10 days of the study.

## Study design

The current study involves parallel design for the assessment of PK criteria. New Zealand white rabbits were randomly divided into four batches, for each batch consisting 4 rabbits. *In-vivo* studies-composition was depicted in table 5.100.

Half of marketed empagliflozin 10 mg tablets were taken by one group and the other group received formulated 10 mg empagliflozin (optimized formulation).

**Table 51: *In-vivo* tablets-composition**

Ingredients	Quantity (mg)	Quantity (mg)
	Formulation	Placebo
Spherical agglomerates of empagliflozin	10	----
MCC	108.5	108.5
<i>Caesalpinia spinosa</i>	30	30
Magesium stearate	1.5	1.5
Total weight (mg)	150	140

Feed was not provided to all the groups of rabbits prior to half day and after one day of drug administration whereas water can be provided as and when required. Specimens were kept in metabolic cages and blood samples are collected using 27 gauge needle from the marginal ear vein into heparinized tubes at time intervals of 0, 0.5, 1, 2, 4, 6, 8, 10, 12, 14, 16, 18, 20 and 24 hours.

Marginal ear vein was shaved using xylene, as it dilates the blood vessel. Samples were centrifuged by adding 50  $\mu$ l of acetonitrile/cyclomixed for 30 minutes at 8000



rpm and the supernatant liquid was collected by micropipette. After the filtration process 20 µl of sample was injected into the HPLC.

### 5.11.3. Results and discussion:

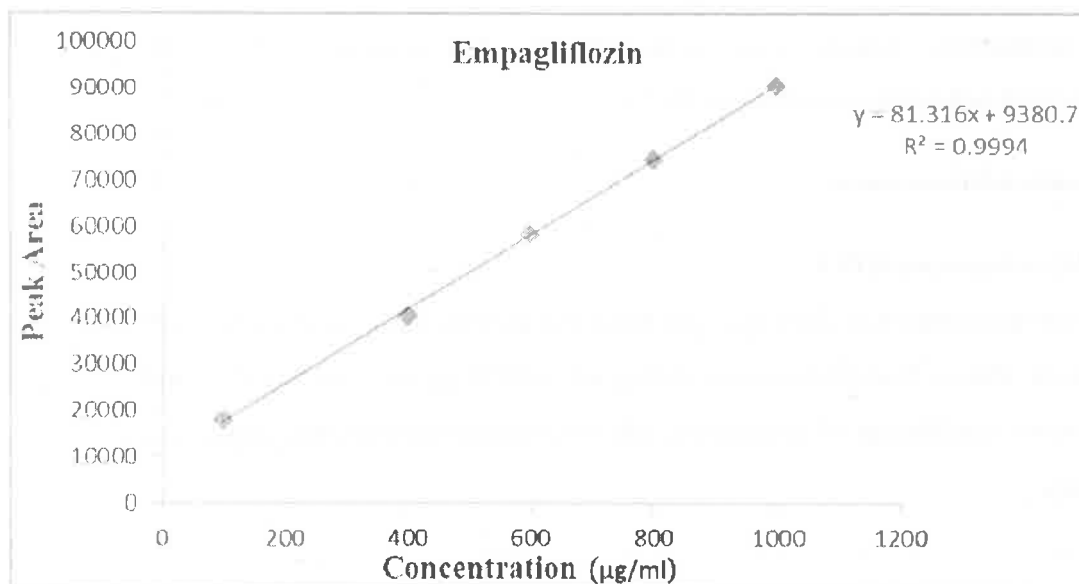
#### Analytical method development: HPLC

The HPLC method development was done and validated and the run time was made to eight (8) min. Empagliflozin shows linearity between 100 µg/ml to 1000 µg/ml concentration and calibration curve shows coefficient of correlation of 0.999. Empagliflozin retention time was observed at 3.928 mins.

#### LINEARITY

**Table 52: Linearity of empagliflozin**

S.No	Linearity level	Concentration (µg/ml)	Area
1	I	100	18072
2	II	400	38742
3	III	600	58502
4	IV	800	77747
5	V	1000	98657
Correlation coefficient			0.999



**Fig64: Graphical presentation of linearity of empagliflozin**

#### **Validation of HPLC method**

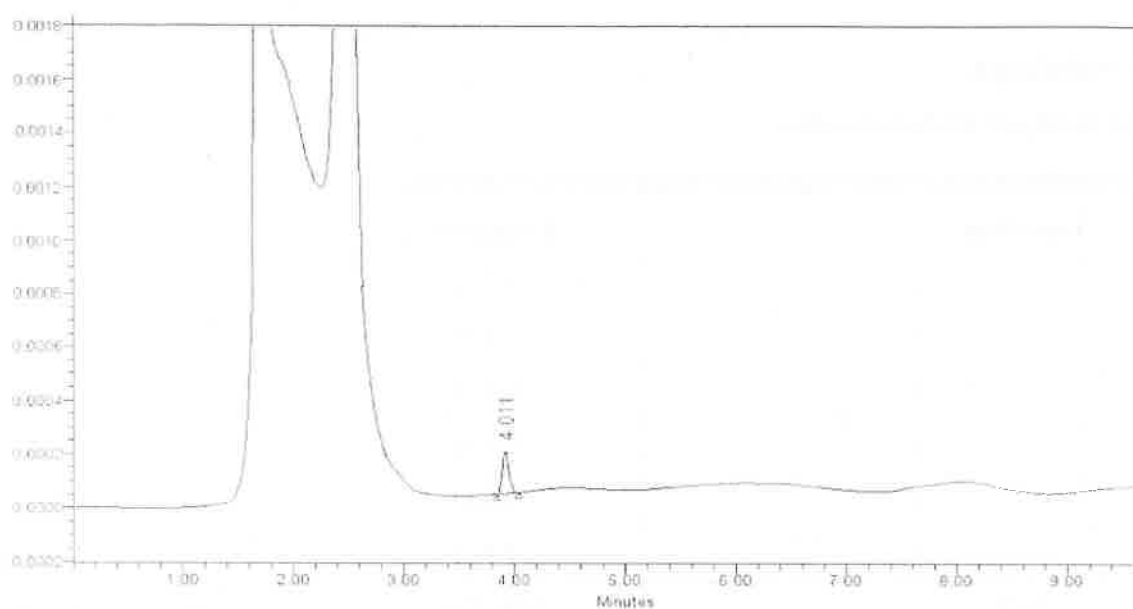
The present work proved that the empagliflozin peak in blank plasma sample is not present but it is seen in chromatogram of drug solution. The range of % recovery was found to be 99.0- 100.1% for each level. % RSD for the assays was 0.614% for empagliflozin (less than the standard value - 2%). Linear regression coefficient of empagliflozin is 0.999.

**LOD** values infer the signal to noise ratio (S/N) to 2.98 that was within the limits i.e., 3. The **LOQ** values for S/N ratio was 9.98 ( $\geq 10$ -within the limits). The results of LOD and LOQ were 150 µg/ml and 450 µg/ml respectively. Organic composition of mobile phase and flow rate alteration did not have any influence in this process indicating the method for its robustness at  $\pm 10\%$  deviation.

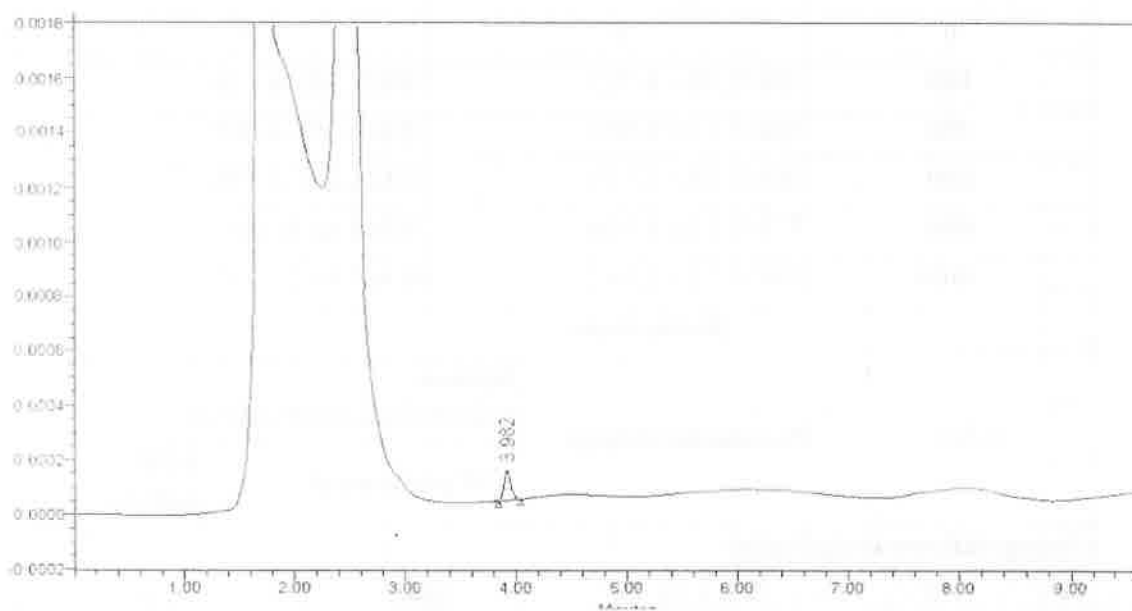
## HPLC–Processvalidation

Table53: HPLCmethodvalidationvalues

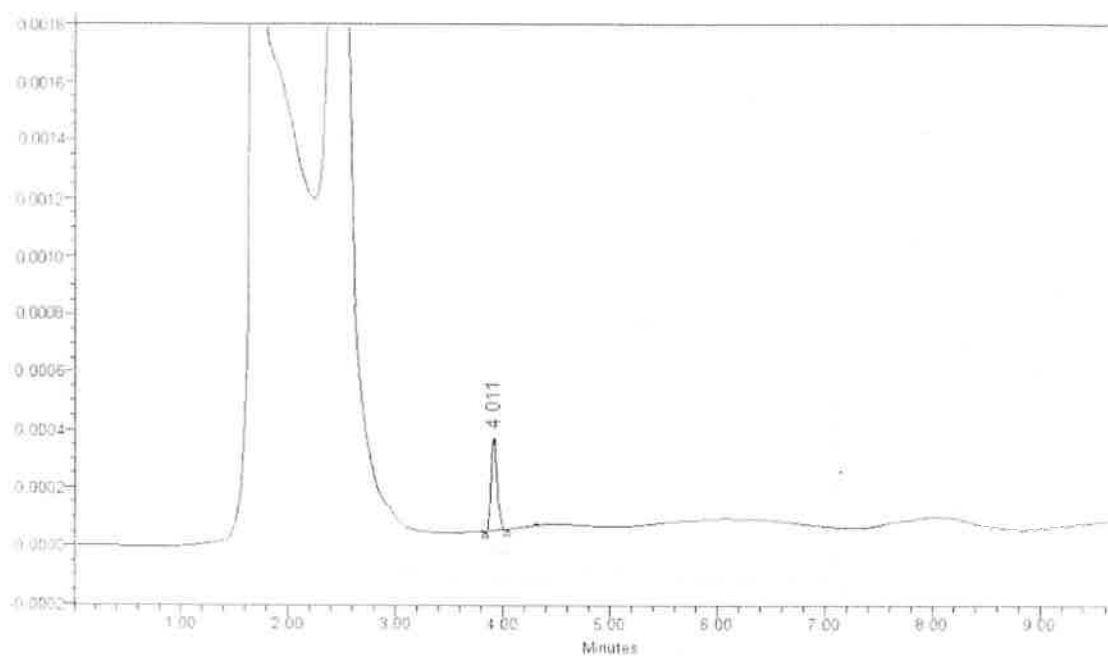
Precisiondeterminationbyinjecting600µg/mlconcentration			
Injection		Peakarea	
1		58502	
2		57426	
3		58134	
4		57956	
5		58023	
6		58213	
S.D		356.796	
RSD		0.614	
Intradayandinterdayprecisionvalues			
Concentration (µg/ml)	Peakarea		
	Intraday(n=3)	Interday(n=3)	
0	0	0	
100	18072.36± 4.325	18072.28± 4.136	
400	38472.15± 6.002	38462.25± 6.008	
600	58502.54± 5.119	57426.24± 2.196	
800	77747.12± 5.106	76548.6± 6.186	
1000	98657.51± 2.162	98564.8± 2.121	
Robustness			
S.No	Parameterchange	System suitabilitydetermination	
		USPplatecount	USP tailing
Changeinflowrate(ml/min)			
1	1.35	5547	1.0
2	1.5	5678	0.8
3	1.65	5547	0.9
Changeintheorganiccompositioninthemobilephase			
1	10% less	5298	1.2
2	Actual	5101	1.3
3	10%more	5399	1.4



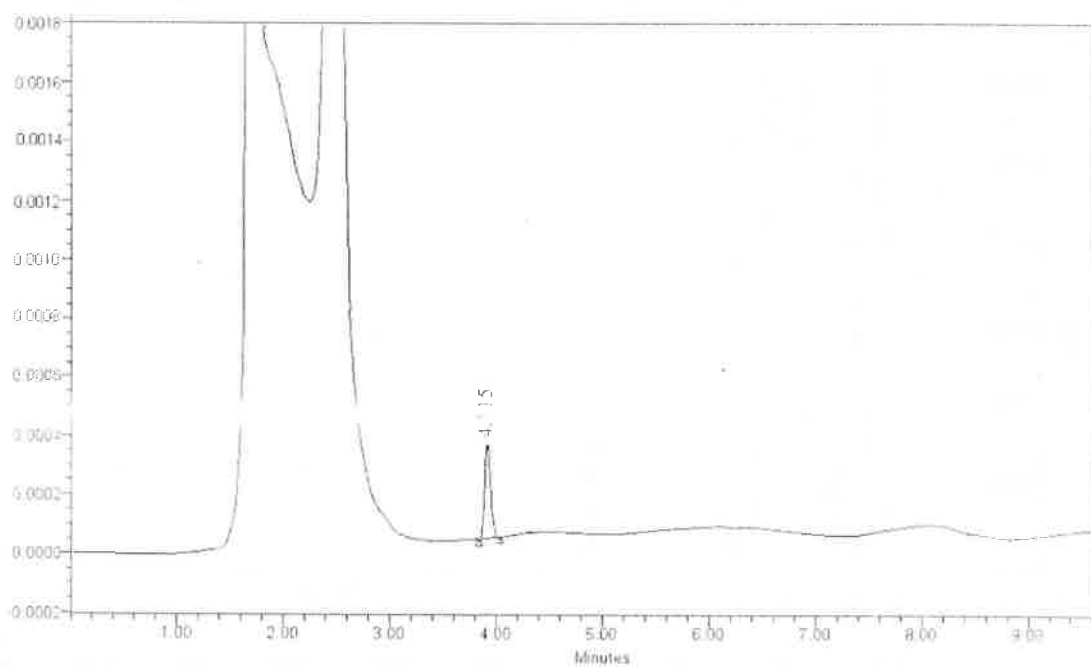
**Fig65:Empagliflozin standard curve at 100µg/ml conc- chromatogram**



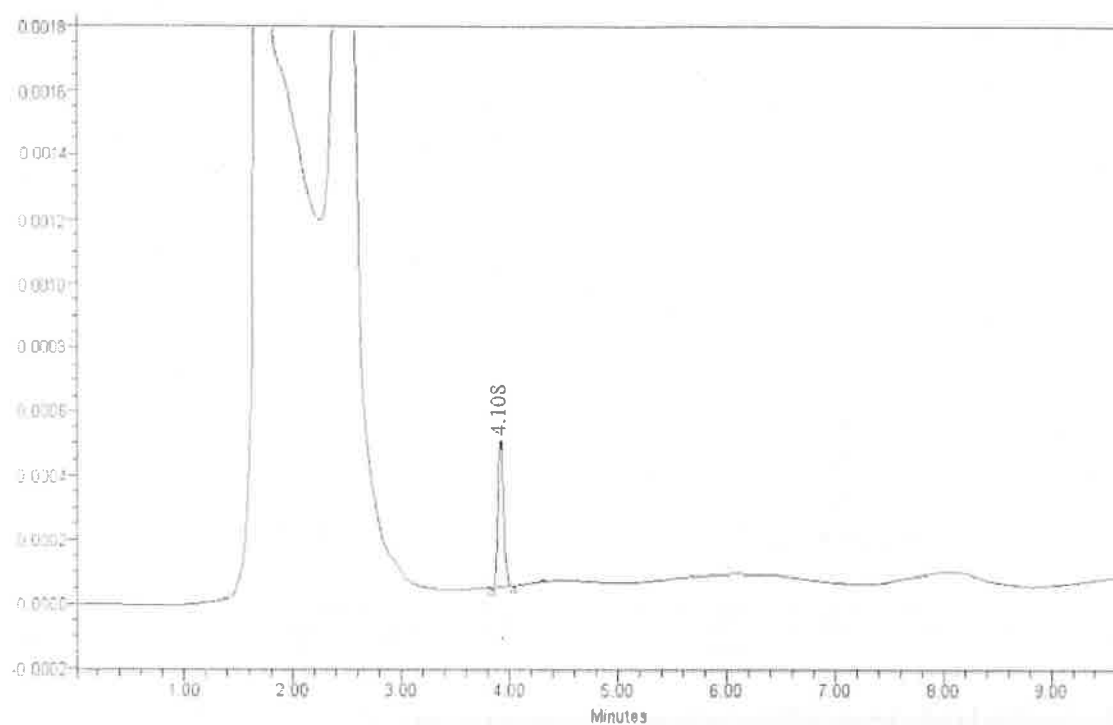
**Fig66:Empagliflozin standard curve at 400µg/ml conc- chromatogram**



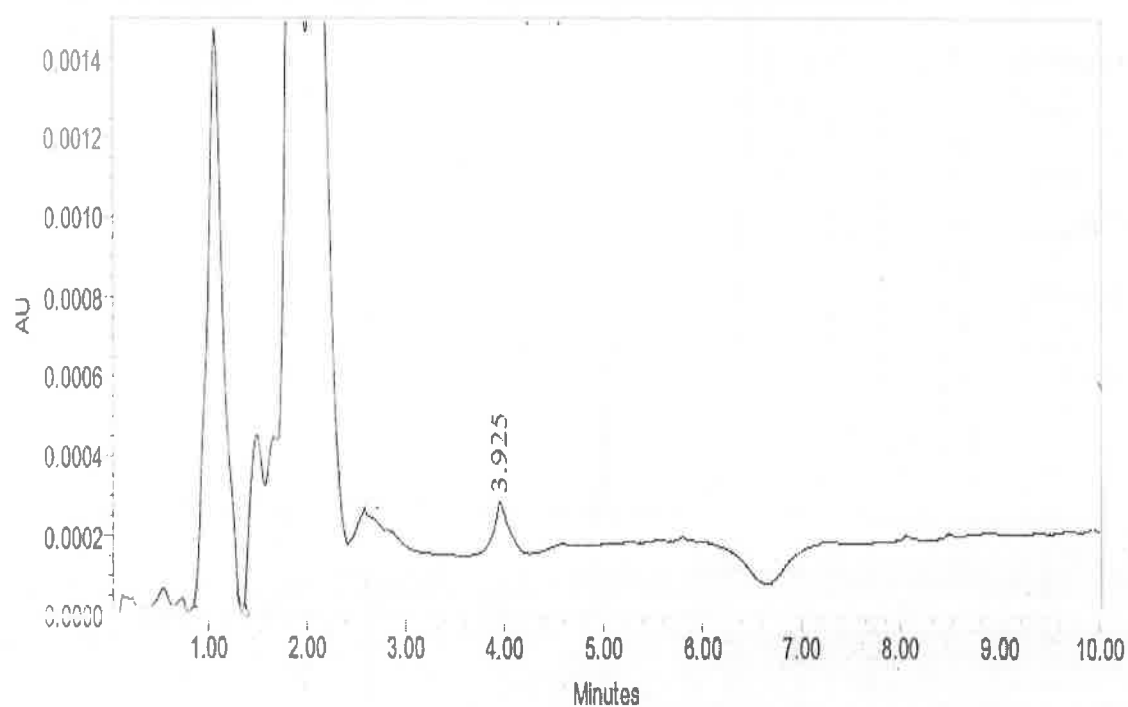
**Fig67:Empagliflozin standard curve at 600µg/ml conc-chromatogram**



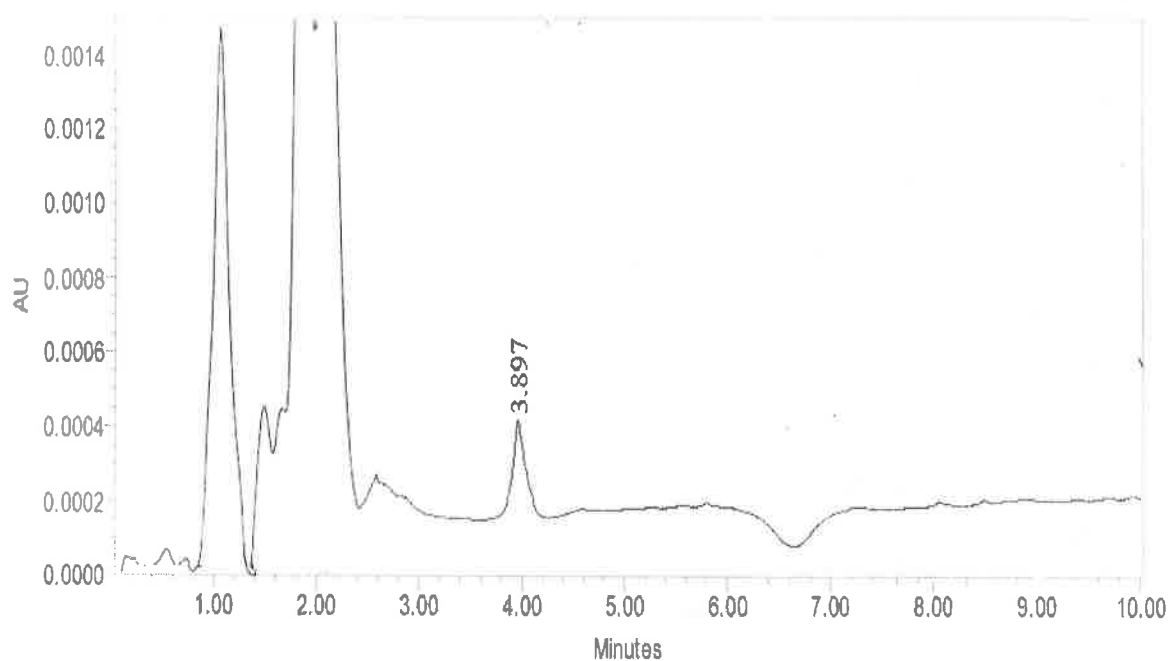
**Fig68:Empagliflozin standard curve at 800µg/ml conc-chromatogram**



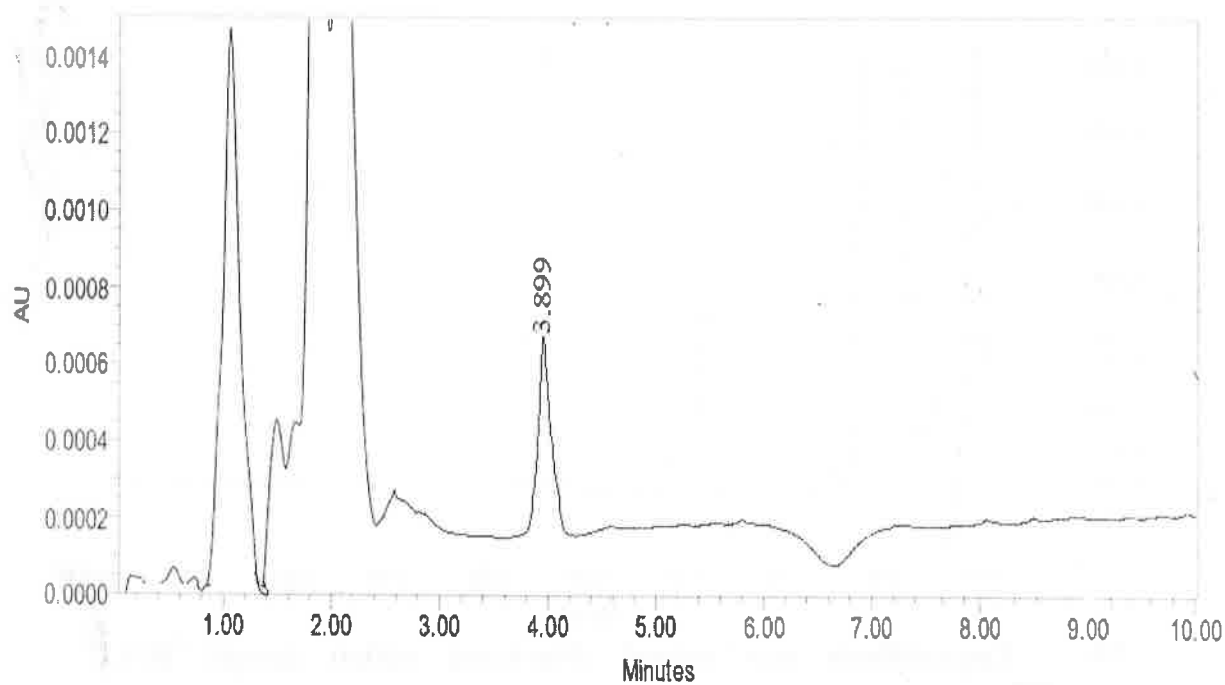
**Fig69:Empagliflozinstandardcurveat1000µg/mlconc- chromatogram**



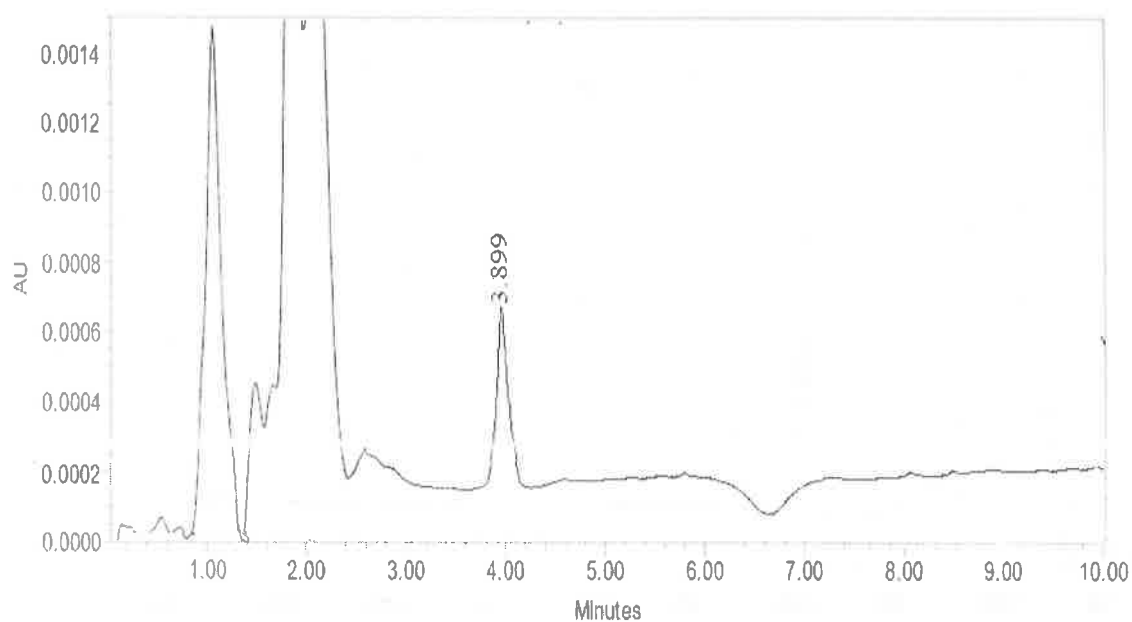
**Fig70:Empagliflozintestanimal-sampleHPLCchromatogram(Formulation)at1hour**



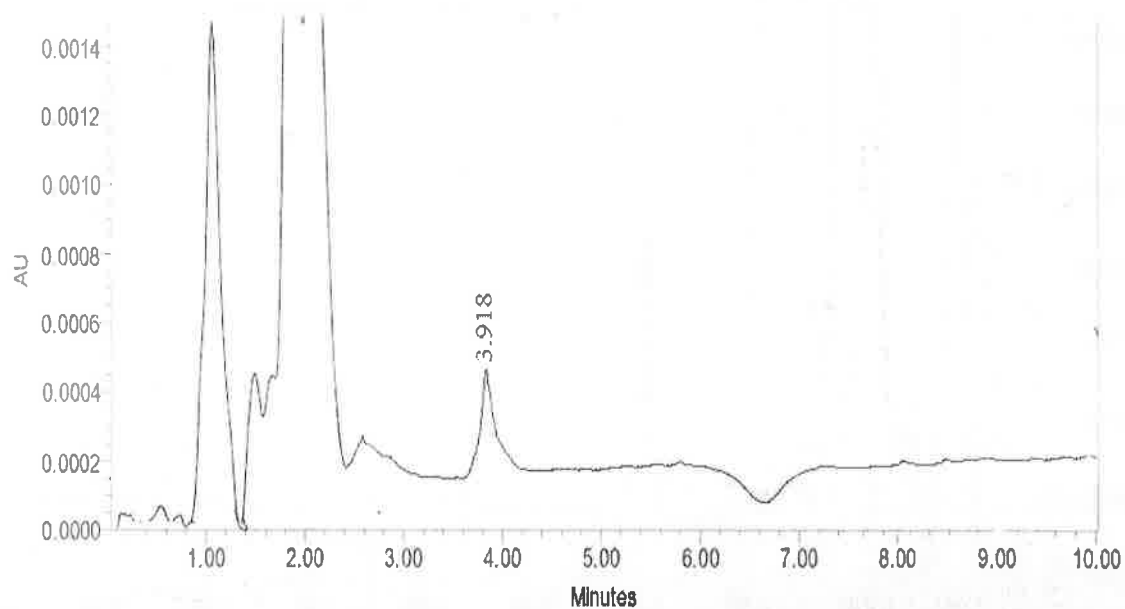
**Fig 71: Empagliflozin test animal -sample HPLC chromatogram(Formulation)at2<sup>nd</sup> hour**



**Fig72: Empagliflozin test animal - sample HPLC chromatograms(Formulation)at4<sup>th</sup> hour.**

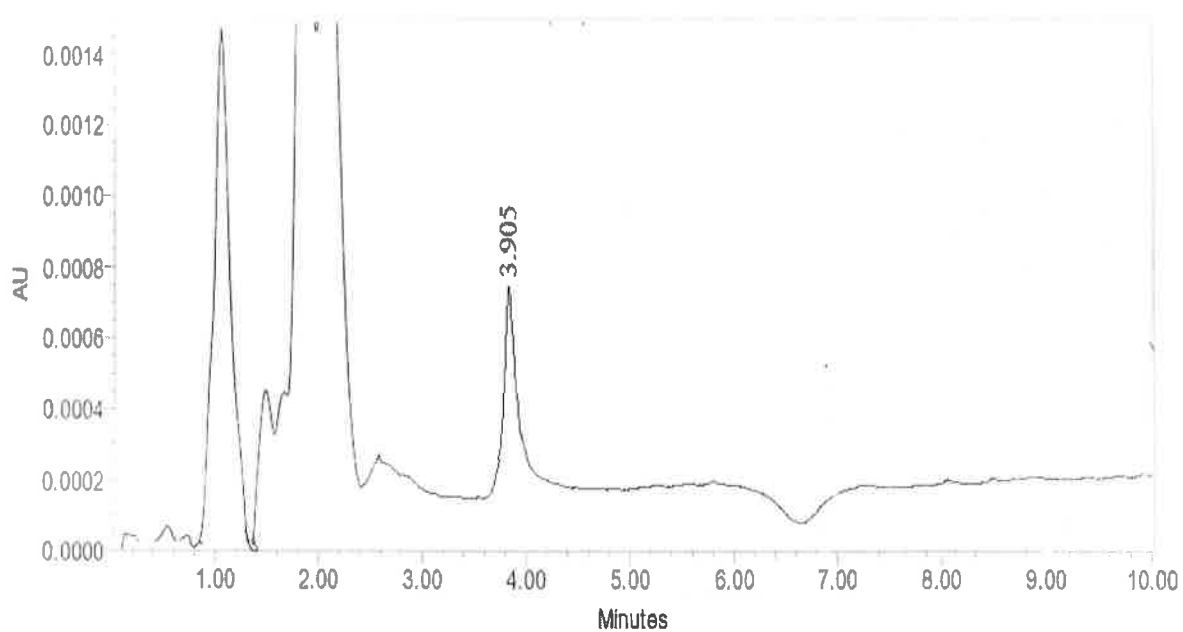


**Fig 73: Empagliflozin test animal - sample HPLC chromatograms(Formulation)at8<sup>th</sup> hour.**

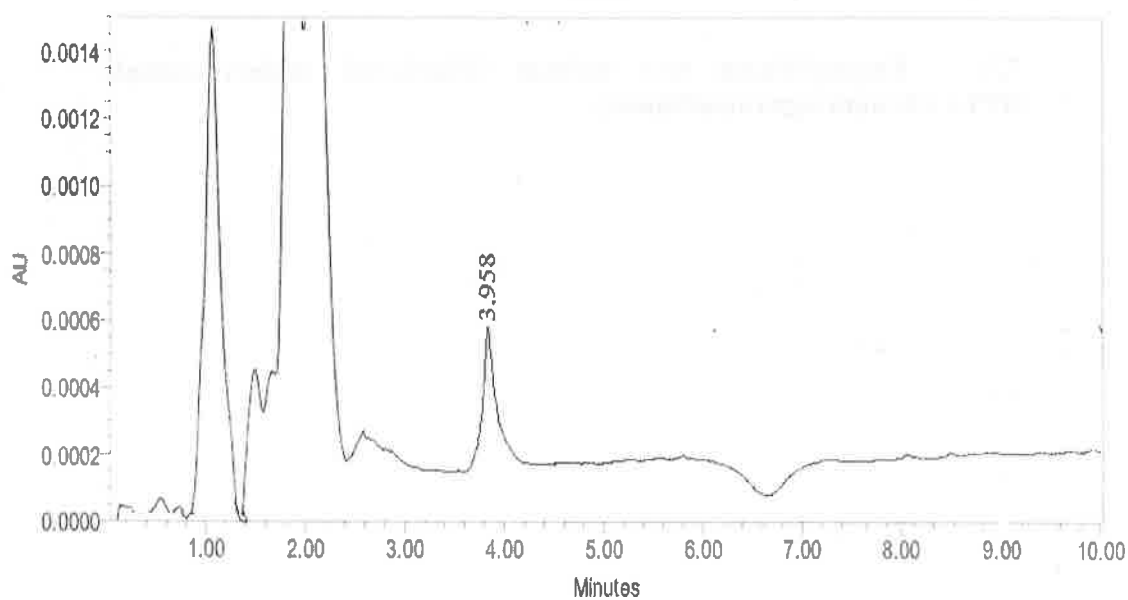


**Fig 74: Empagliflozin test animal (Marketed tablet) sample HPLC chromatogramsat2<sup>nd</sup> hour.**

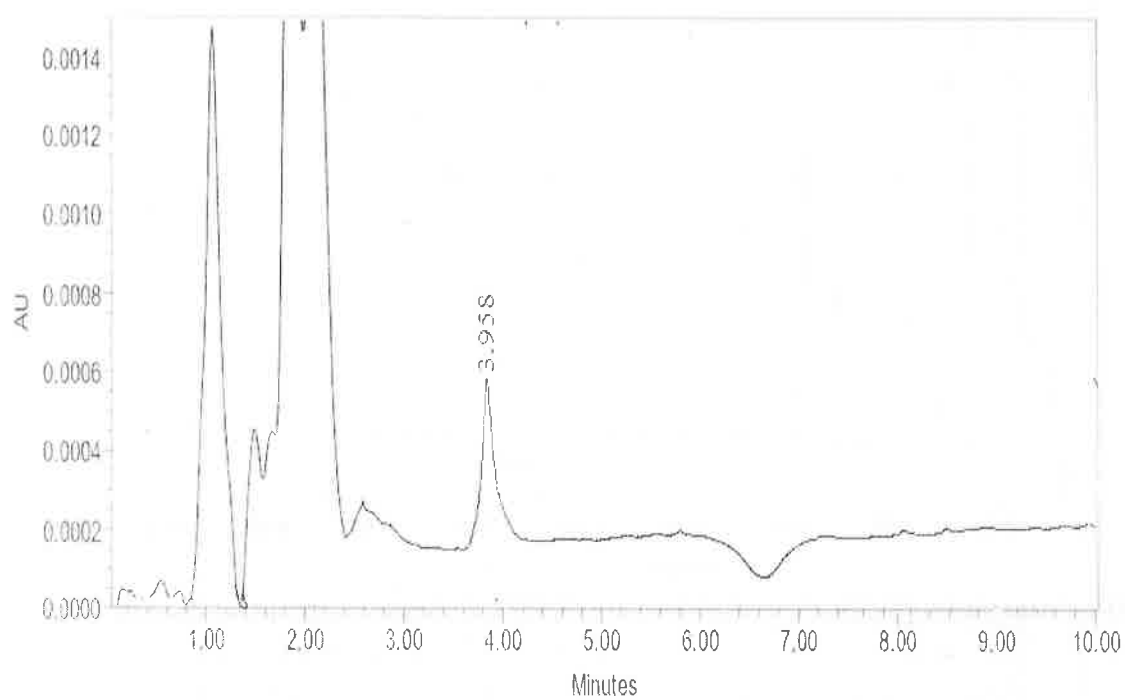




**Fig75:Empagliflozintestanimal4(Marketedtablet)sampleHPLCchromatogramsat4hours.**



**Fig 76: Empagliflozin test animal (Marketed tablet) sample HPLCchromatogramsat6hours.**



**Fig 77: Empagliflozin test animal (Marketed tablet) sample HPLC chromatograms at 8 hours.**

**Table 54: Plasma concentration of empagliflozin (Optimized formulation) at different time intervals**

Rabbits	Time (hours)												Plasma concentration (µg/ml)	
	0	0.5	1	2	4	6	8	10	12	14	16	18	20	24
1	0	165	210	341	458	390	278	195	142	120	98	0	0	*
2	0	158	204	360	490	378	269	182	150	124	89	0	0	*
3	0	159	205	352	480	390	260	189	160	118	86	0	0	*
4	0	163	213	360	510	374	263	190	156	120	84	0	0	*
N	0	4	4	4	4	4	4	4	4	4	4	4	4	4
Mean	0	161.25	208	353.25	484.5	383	267.5	189	152	120.5	89.25	0	0	*
SD	0	3.304	4.243	8.995	21.626	8.246	7.937	5.354	7.832	2.517	6.185	0	0	*
Min	0	158	204	341	458	374	260	182	142	118	84			
Median	0	161	207.5	356	485	384	266	189.5	153	120	87.5			
Max	0	165	213	360	510	390	278	195	160	124	98			
%CV	0	2.049	2.04	2.55	4.46	2.15	2.97	2.83	5.15	2.09	6.93	0	0	*

Statistical parameters

**Table 5.103: Pharmacokinetic parameters of optimized formulation**

Subjects	T <sub>max</sub> (hours)	C <sub>max</sub> (µg/ml)	t <sub>1/2</sub> (hr)	MRT (hr)	Cl ml/min	Vd (ml)	AUC <sub>0-t</sub> (µg-hrml <sup>-1</sup> )	AUC extrapolate (µg-hrml <sup>-1</sup> )	AUC <sub>0-∞</sub> (µg-hrml <sup>-1</sup> )	Total AUC (µg-hrml <sup>-1</sup> )	K <sub>e</sub> (hr <sup>-1</sup> )
1	4	458	8.70	0.08	2.424	39.446	4125.30	7.802	135.26	6352.24	0.079
2	4	490	8.38	0.065	2.434	29.452	4108.10	7.352	123.52	6474.45	0.082
3	4	480	8.31	0.084	2.436	29.246	4104.56	7.163	129.47	6247.32	0.085
4	4	510	8.07	0.078	2.427	28.279	4119.71	7.215	132.21	6412.58	0.083
<b>Statistical parameters</b>											
N	4	4	4	4	4	4	4	4	4	4	4
Mean	4	484.5	8.365	0.076	2.430	31.606	4114.143	7.383	130.115	6371.648	0.082
SD	0.000	21.626	0.260	0.008	0.006	5.252	9.688	0.290	4.992	96.743	0.003
Min	4	458	8.07	0.065	2.42	28.28	4104.56	7.16	123.52	6247.32	0.08
Median	4	485	8.345	0.079	2.43	29.35	4113.91	7.28	130.84	6382.41	0.08
Max	4	510	8.7	0.084	2.436	39.446	4125.3	7.802	135.26	6474.45	0.085
%CV	0.00	4.46	3.11	10.71	0.23	16.62	0.24	3.93	3.84	1.52	3.04

Table55:Plasmaconcentrationofmarketedformulation

Subjects		Time(Hours)													
		0.5	1	2	4	6	8	10	12	14	16	18	20	24	
1		0.220	352	498	365	220	198	120	85	64	35	NA	NA	NA	
2		0.217	360	458	372	240	186	118	88	67	34	NA	NA	NA	
3		0.206	380	456	362	246	188	126	87	64	39	NA	NA	NA	
4		0.214	345	487	362	248	184	124	86	65	35	NA	NA	NA	
N		4.4	4	4	4	4	4	4	4	4	4	4	4	4	
Mean		0.214.250	359.250	474.750	365.250	238.500	189.000	122.000	86.500	65.000	35.750	*	*	*	
SD		0.6.021	15.130	20.998	4.717	12.793	6.218	3.651	1.291	1.414	2.217	*	*	*	
Min		0.206.00	345.00	456.00	362.00	220.00	184.00	118.00	85.00	64.00	34.00	*	*	*	
Median		0.215.50	356.00	472.50	363.50	243.00	187.00	122.00	86.50	64.50	35.00	*	*	*	
Max		0.220	380	498	372	248	198	126	88	67	39	*	*	*	
%CV		0.2.81	4.21	4.42	1.29	5.36	3.29	2.99	1.49	2.18	6.20	*	*	*	
		Statistical parameters													
		Plasma concentration(µg/ml)													

(CV=Coefficientofvariation,SD=Standarddeviation, NA=Notapplicable)

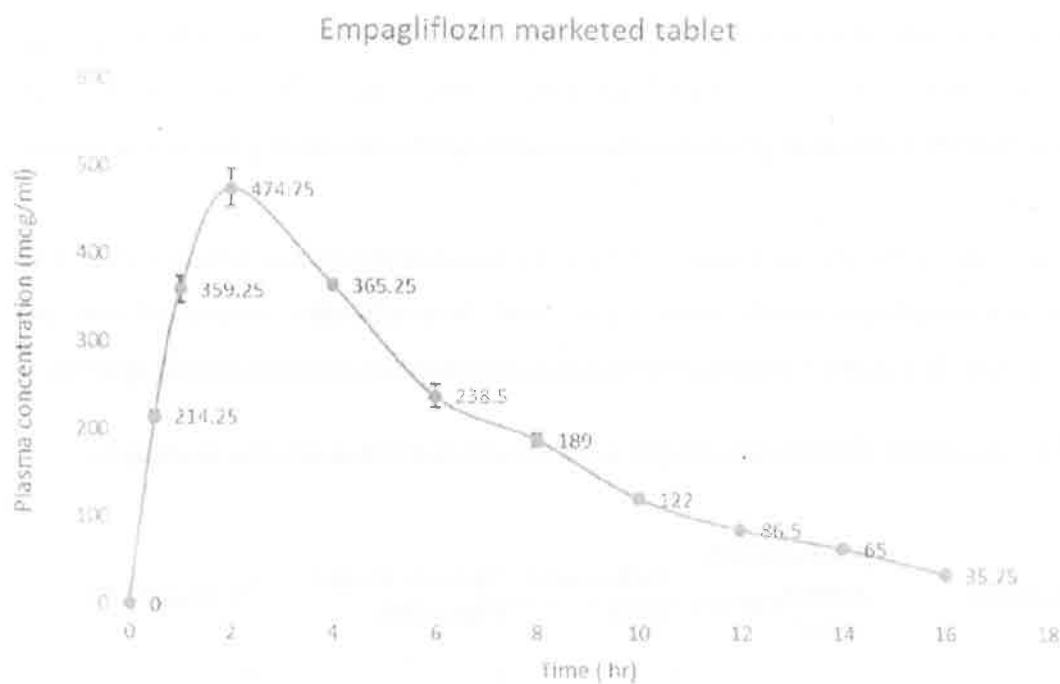
Table56: PK criteria of fardiance

Pharmacokinetic parameters											
Subjects	T <sub>max</sub> (Hr)	C <sub>max</sub> (µg/ml)	t <sub>1/2</sub> (hr)	MRT (hr)	Cl ml/min	Vd(ml)	AUC <sub>0-t</sub> (µg-hrml <sup>-1</sup> )	AUC extrapolate(µg-hrml <sup>-1</sup> )	AUC <sub>0-∞</sub> (µg-hrml <sup>-1</sup> )	Total AUC (µg-hrml <sup>-1</sup> )	K <sub>e</sub> (hr <sup>-1</sup> )
1	2.0	498	6.71	0.085	3.03	29.448	3291.85	6.602	325.12	5987.25	0.103
2	2.0	458	5.33	0.086	3.53	27.174	2832.66	4.416	458.45	5879.57	0.129
3	2.0	456	5.55	0.081	3.50	28.081	2853.06	4.866	460.32	5632.21	0.124
4	2.0	487	5.31	0.078	3.50	26.835	2856.06	4.567	450.47	5786.28	0.130
Statistical parameters											
N	4	4	4	4	4	4	4	4	4	4	4
Mean	2.000	474.750	5.725	0.083	3.390	27.885	2958.408	5.113	423.590	5821.328	0.122
SD	0.000	20.998	0.666	0.004	0.240	1.168	222.538	1.010	65.785	150.462	0.013
Min	2.00	456.00	5.31	0.08	3.03	26.84	2832.66	4.42	325.12	5632.21	0.10
Median	2.00	472.50	5.44	0.08	3.50	27.63	2854.56	4.72	454.46	5832.93	0.13
Max	2	498	6.71	0.086	3.53	29.448	3291.85	6.602	460.32	5987.25	0.13
%CV	0.00	4.42	11.63	4.48	7.09	4.19	7.52	19.76	15.53	2.58	10.38

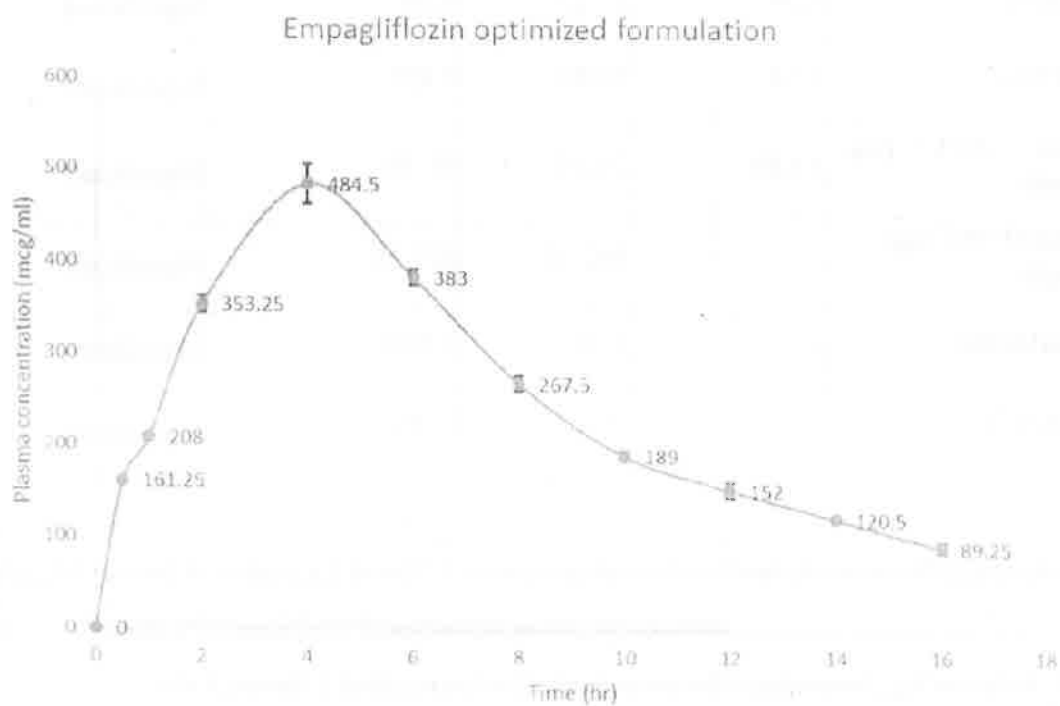








**Fig78: Plasma concentration vs. time of marketed empagliflozin in rabbit plasma**



**Fig79: Plasma concentration vs. time of test empagliflozin spherical agglomerates in rabbits**

### 5.15.7. Pharmacokinetic parameter evaluation

These criteria are important for assuring the values of bioavailability, like  $C_{max}$ ,  $T_{max}$ , area under curve,  $V_d$ ,  $t_{1/2}$ , mean residence time and  $Cl_T$ . Fig 5.115- fig 5.119 shows the HPLC chromatograms for reference empagliflozin in rabbit plasma concentration. Fig 5.120-

fig 5.127 shows the HPLC chromatograms of test formulation in rabbit plasma levels. Table 5.102 and 5.104 illustrates plasma concentration values and bioavailability criteria of test and reference tablet. The graph was plotted with mean and standard deviation of all the four rabbits.

Table 57: Comparative bioavailability parameters of standard and test formulations

PK parameter	Reported literature survey 18,36,47	Reference tablet	Spherical agglomerates	't' test at 0.05
$C_{max}$ ( $\mu\text{g/ml}$ )	3.33	4.754	4.845	Not significant
$T_{max}$ (hours)	3.8	2.0	4.0	Significant
$t_{1/2}$ (hrs)	5.54	5.725	8.36	Significant
MRT (h)	17.1	0.083	0.076	Significant
Total AUC ( $\mu\text{g-hr/ml}$ )	53.89	29.58	41.14	Significant
Total AUMC ( $\mu\text{g-hr/ml}$ )	-	582.13	637.16	Significant
$Cl$ (ml/min)	-	3.39	2.430	Significant
$K_{el}$ ( $\text{hrs}^{-1}$ )	-	0.122	0.082	Significant

$C_{max}$  of empagliflozin market and test formulations were  $4.754 \pm 0.1 \mu\text{g/ml}$  and  $4.845 \pm 0.01 \mu\text{g/ml}$  with acceptable deviation ( $P < 0.05$ ) and a P value of 0.085. Values of  $T_{max}$  for empagliflozin market and test were  $2.0 \pm 0.35$  hours,  $4.0 \pm 0.73$  hours correspondingly with the loquent conflict ( $P < 0.05$ ) and a P value 0.0005. standard and test  $t_{1/2}$  values were  $5.725 \pm 0.531$  hrs,  $8.36 \pm 1.59$  hrs, specifically with

acceptable deviation ( $P < 0.05$ ) and a P value is 0.0002. MRT values of standard and also test were found to be  $0.083 \pm 0.034$  hrs and  $0.076 \pm 0.028$  hrs with acceptable deviation with a P value is 0.002.  $AUC_{0-\infty}$  values were  $29.584 \pm 20.04$   $\mu\text{g-hr/ml}$ ,  $637.164 \pm 49.44$   $\mu\text{g-hr/ml}$  respectively for standard and test with acceptable deviation ( $P < 0.05$ ) and P value is  $< 0.0001$ .

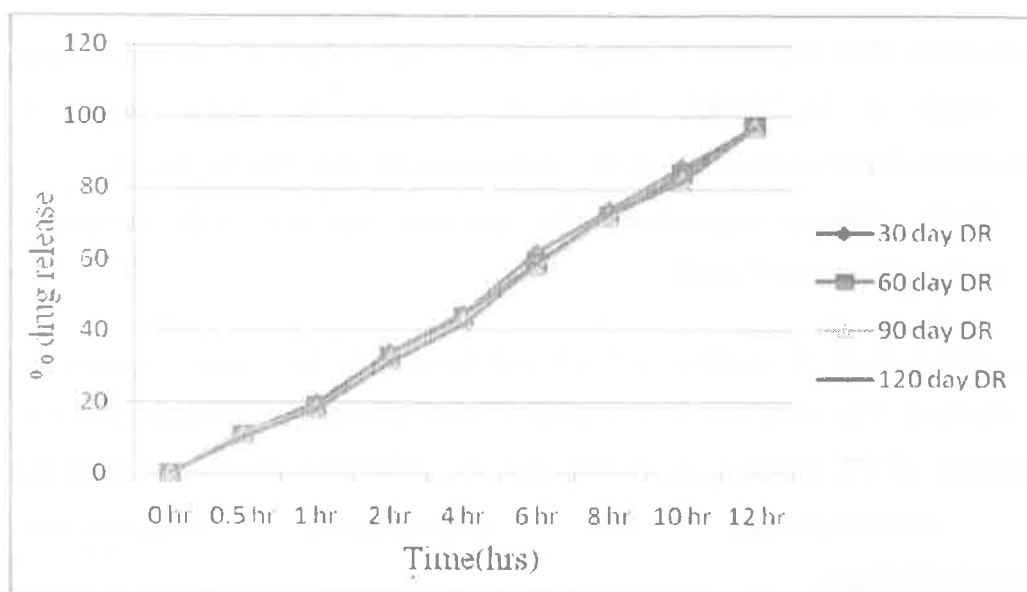
As inter and intra subject deviation is present, a difference in individual  $T_{\text{max}}$  and  $C_{\text{max}}$  variables is observed. The same case is seen in both innovative and prepared samples too. The consequences of PK parameters showed that the innovative product and test were absolutely different proving that the formulated product showed the drug has sustained release.

#### 5.15.8 Stability studies for the optimized formulation of fempagliflozin for a period of 120 days

Stability testing was performed for a period of 4 months at accelerated conditions of  $40^\circ\text{C} \pm 2^\circ\text{C} / 75\% \pm 5\% \text{RH}$  for the optimized formulation and the dissolution parameters were evaluated for 12 hr drug release. The following table: 5.107 depicts the data for dissolution studies and fig: 5.130 depicts its release in graphical representation.

**Table: 58 Stability studies of fempagliflozin optimized formulation**

Time (hrs)	30day DR	60day DR	90day DR	120day DR
0	0	0	0	0
0.5	$11 \pm 0.17$	$11 \pm 0.23$	$11 \pm 0.14$	$10 \pm 0.01$
1	$20 \pm 0.31$	$19 \pm 0.03$	$18 \pm 0.18$	$18 \pm 0.05$
2	$34 \pm 0.25$	$33 \pm 0.13$	$32 \pm 0.08$	$31 \pm 0.02$
4	$45 \pm 0.18$	$44 \pm 0.15$	$43 \pm 0.34$	$42 \pm 0.18$
6	$62 \pm 0.02$	$59 \pm 0.06$	$58 \pm 0.12$	$59 \pm 0.24$
8	$74 \pm 0.23$	$72 \pm 0.18$	$72 \pm 0.03$	$73 \pm 0.21$
10	$86 \pm 0.34$	$84 \pm 0.24$	$82 \pm 0.19$	$82 \pm 0.12$
12	$97 \pm 0.14$	$97 \pm 0.72$	$97 \pm 0.01$	$97 \pm 0.11$



**Fig:80** Stability studies indicating the drug release of fempagliflozin

**Inference:** Stability studies conducted as per ICH guidelines for optimized formulation at accelerated conditions ( $40^{\circ}\text{C} \pm 2^{\circ}\text{C}$  /  $75\% \pm 5\%$  RH) for 120 days. There was no significant change in the physical property and percent of drug release was within the limits during the stability period.

## Chapter VI. Outcome of the Project

Controlled release medication has gained a very pivotal role in the pharmaceutical dosage forms. A new and surprisingly simple, safe and inexpensive formulation for controlled release tablets is preferred which shows a release pattern for the active substance in a programmed rate of approximately zero order. It not only prolongs the duration of drug release but also increases the retention of drugs in the body thus

by maintaining a steady state concentration levels and thus a relatively uniform therapeutic blood level of the active material is thereby maintained for that period of time. Diabetes is one of the diseases in the world which is being considered as major metabolic disorders where high blood glucose levels are present in the body for a prolonged period of time. Many formulations were introduced into the market for combating its risks in life. Proper care should be taken in selecting and administering the drug based on dose and frequency of administration. Spherical agglomeration process is used as a novel technique in order to increase the bioavailability of poorly soluble drugs. To form the spherical crystals or agglomerates parameters like amount of bridging liquid used, addition of poor solvent and good solvent are processed. To optimize the formulations, speed of agitation, stirring rate, and temperature were maintained. The use of natural polymers like *caesalpinia spinosa* which is commonly known as tamarind, are preferred for its controlled release nature to prolong the drug effect in the plasma. In addition to the controlled release, unique techniques like spherical agglomeration is advantageous to have a sustained action by increasing the globule size and by increasing the bioavailability of poorly soluble drugs. Polymers like HPMC, ethyl cellulose and sodium alginate were used under different viscosity grades.

### **Based on the investigations the following conclusions were drawn**

Spherical agglomeration technique has been proved its efficiency in increasing the solubility and dissolution rate of poorly water soluble drugs which is a very big concern in the pharmaceutical market.

- The present study was aimed to develop and evaluate anti-diabetic sustained release oral tablets of empagliflozin.

On the basis of literature survey and compatibility tests, excipients like microcrystalline cellulose, magnesium stearate were used.

- $C_{max}$  of fempagliflozin market and test formulations were  $4.75 \pm 0.1 \mu\text{g/ml}$  and  $4.845 \pm 0.01 \mu\text{g/ml}$  with acceptable deviation ( $P < 0.05$ ) and a P value of 0.085. Values of  $T_{max}$  for fempagliflozin market and test were  $2.0 \pm 0.35$  hours,  $4.0 \pm 0.73$  hours correspondingly with eloquent conflict ( $P < 0.05$ ) and a P value 0.0005. Standard and test  $t_{1/2}$  values were  $5.725 \pm 0.531$  hrs,  $8.36 \pm 1.59$  hrs, specifically with acceptable deviation ( $P < 0.05$ ) and a P value is 0.0002. MRT values of standard and test were found to be  $0.083 \pm 0.034$  hrs and  $0.076 \pm 0.028$  hrs with acceptable deviation with a P value is 0.002.  $AUC_{0-\infty}$  values were  $295.84 \pm 20.04 \mu\text{g-hr/ml}$ ,  $637.16 \pm 49.44 \mu\text{g-hr/ml}$  respectively for standard and test with acceptable deviation ( $P < 0.05$ ) and P value is  $< 0.0001$ .
- As inter and intra subject deviation is present, a difference in individual  $T_{max}$  and  $C_{max}$  variables is observed. The same case is seen in both innovative and prepared sample too. The consequences of pharmacokinetic parameters showed that the innovative product and test were absolutely different proving that the formulated product showed the drug has extended release. The graph was plotted with mean and standard deviation of all the four rabbits.
- It concludes that direct compression of spherical crystallization of anti-diabetic drugs with selective polymers is an efficient method to improve compressibility and also dissolution profile of prepared tablets.

## 7.2 RECOMMENDATIONS AND FUTURE SCOPE OF THE STUDY

The results of current research indicated that the preparation of fempagliflozin tablets by spherical agglomerates method improved the solubility and dissolution rate. All the prepared spherical agglomerates of both the drugs exhibited improved flow and are spherical in shape. The spherical agglomeration technique along with natural polymer had its success in prolonged release rate of the drugs. All the formulations that were prepared by spherical agglomeration method complied with the Indian Pharmacopoeial standards in its kinetics and stability studies criteria. Out of all the polymers used *Caesalpinia spinosa* has better sustainability and showed better dissolution rate thus

increased bioavailability is observed in rabbit models. The rate of release of drug had its prolonged action i.e., sustained release when combined with natural polymer i.e., *Caesalpinia spinosa*. Hence the objective of the current research was achieved by spherical agglomerate technique.

In this thesis, sustained release studies were performed. Other types of controlled release medications can also be done by substituting some other type of natural or synthetic polymers with other drugs with respect to disease and its classification. Other

formulations like patches, film set can be studied for commercialization.

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